



The Strongest Genuinely Computable Knot Invariant in 2024

Abstract. “Genuinely computable” means we have computed it for random knots with over 300 crossings. “Strongest” means it separates prime knots with up to 15 crossings better than the less-computable HOMFLY-PT and Khovanov homology taken together. And hey, it’s also meaningful and fun.



van der Veen

Continues Rozansky, Garoufalidis, Kricker, and Ohtsuki, joint with van der Veen.

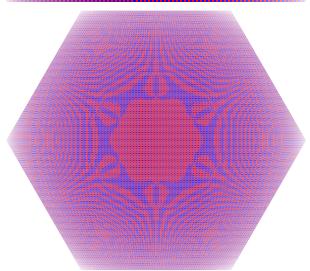
Acknowledgement. This work was supported by NSERC grant RGPIN-2018-04350 and by the Chu Family Foundation (NYC).

Strongest. Testing $\Theta = (\Delta, \theta)$ on prime knots up to mirrors and reversals, counting the number of distinct values (with deficits in parenthesis):

(ρ₁: [Ro1, Ro2, Ro3, Ov, BV1])

	knots	(H, Kh)	(Δ, ρ₁)	Θ = (Δ, θ)	together
reign		2005-22	2022-24	2024-	
xing ≤ 10	249	248 (1)	249 (0)	249 (0)	249 (0)
xing ≤ 11	801	771 (30)	787 (14)	798 (3)	798 (3)
xing ≤ 12	2,977	(214)	(95)	(19)	(18)
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xing ≤ 15	313,230	(70,245)	(42,914)	(6,758)	(6,555)

Genuinely Computable. Here’s Θ on a random 300 crossing knot (from [DHOEBL]). For almost every other invariant, that’s science fiction.

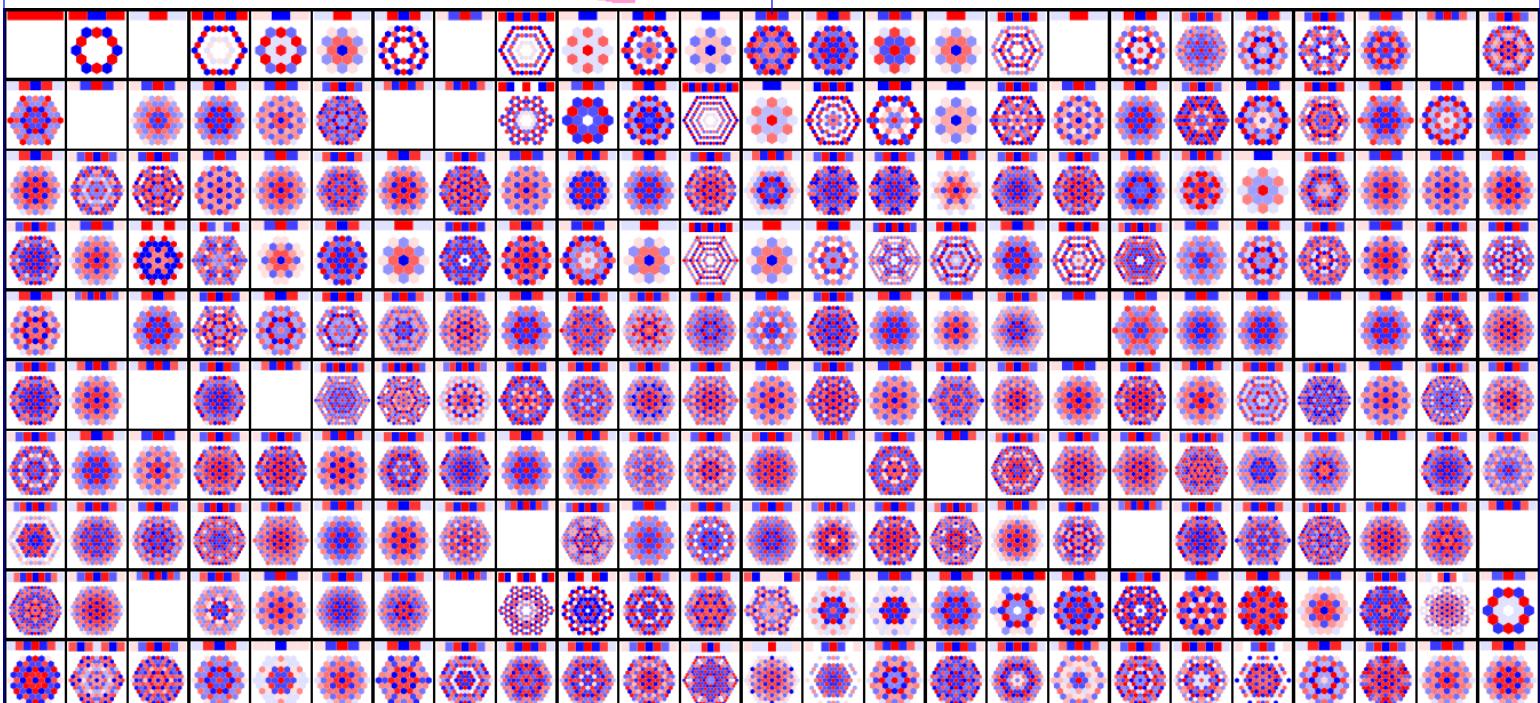


Fun. There’s so much more to see in 2D pictures than in 1D ones! Yet almost nothing of the patterns you see we know how to prove. We’ll have fun with that over the next few years.

Would you join?

Meaningful. Θ gives a genus bound (unproven yet with confidence). We hope (with reason) it says something about ribbon knots.

The Bad(?). Θ art is more glass blowing than pottery.



Jones:

Formulas stay;
stories change with time.

Formulas. Draw an n -crossing knot K as on the right: all crossings face up, and the edges are marked with a running index $k \in \{1, \dots, 2n+1\}$ and with rotation numbers φ_k . Let A be the $(2n+1) \times (2n+1)$ matrix constructed by starting with the identity matrix I , and adding a 2×2 block for each crossing:

$$c : \begin{array}{ccc} s = +1 & & s = -1 \\ j+1 \uparrow & i+1 \uparrow & i+1 \uparrow & j+1 \uparrow \\ i & j & j & i \end{array} \rightarrow \begin{array}{c|cc} A & \text{col } i+1 & \text{col } j+1 \\ \hline \text{row } i & -T^s & T^s - 1 \\ \text{row } j & 0 & -1 \end{array}$$

Let $G = (g_{\alpha\beta}) = A^{-1}$. For the trefoil example, it is:

$$A = \left(\begin{array}{cccccc} 1 & -T & 0 & 0 & T-1 & 0 & 0 \\ 0 & 1 & -1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & -T & 0 & 0 & T-1 \\ 0 & 0 & 0 & 1 & -1 & 0 & 0 \\ 0 & 0 & T-1 & 0 & 1 & -T & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & -1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 \end{array} \right),$$

$$G = \left(\begin{array}{cccccc} 1 & T & 1 & T & 1 & T & 1 \\ 0 & 1 & \frac{1}{T^2-T+1} & \frac{T}{T^2-T+1} & \frac{T}{T^2-T+1} & \frac{T^2}{T^2-T+1} & 1 \\ 0 & 0 & \frac{1}{T^2-T+1} & \frac{1}{T^2-T+1} & \frac{1}{T^2-T+1} & \frac{1}{T^2-T+1} & 1 \\ 0 & 0 & \frac{1-T}{T^2-T+1} & \frac{1}{T^2-T+1} & \frac{1}{T^2-T+1} & \frac{T}{T^2-T+1} & 1 \\ 0 & 0 & \frac{1-T}{T^2-T+1} & -\frac{(T-1)T}{T^2-T+1} & \frac{1}{T^2-T+1} & \frac{T}{T^2-T+1} & 1 \\ 0 & 0 & 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 \end{array} \right)$$

“The Green Function”

Note. The Alexander polynomial Δ is given by

$$\Delta = T^{(-\varphi-w)/2} \det(A), \quad \text{with } \varphi = \sum_k \varphi_k, w = \sum_c s.$$

Classical Topologists: This is boring. Yawn.



New Stuff. Now let T_1 and T_2 be indeterminates and let $T_3 = \Theta[\{s0_, i0_, j0_\}, \{s1_, i1_, j1_\}] := \text{CF}[s1 (T_1^{s0} - 1) (T_2^{s1} - 1)^{-1} (T_3^{s2} - 1) g_{1,j1,i0} g_{3,j0,i1} - (T_2^{s0} g_{2,i1,i0} - g_{2,i1,j0}) - (T_2^{s0} g_{2,j1,i0} - g_{2,j1,j0})]$. For $v = 1, 2, 3$ let Δ_v and $G_v = (g_{v\alpha\beta})$ be Δ and G subject to the substitution $T \rightarrow T_v$. Define

$$\theta(K) := \Delta_1 \Delta_2 \Delta_3 \left(\sum_c R_1(c) + \sum_{c_0, c_1} \theta(c_0, c_1) + \sum_k \Gamma_1(\varphi_k, k) \right),$$

where the first summation is over crossings $c = (s, i, j)$, the second is over pairs of crossings ($c_0 = (s_0, i_0, j_0), c_1 = (s_1, i_1, j_1)$), and the third is over edges k , and where

$$R_1(c) := s \left[1/2 - g_{3ii} + T_2^s g_{1ii} g_{2ji} - T_2^s g_{3jj} g_{2ji} - (T_2^s - 1) g_{3ii} g_{2ji} \right. \\ \left. + (T_3^s - 1) g_{2ji} g_{3ji} - g_{1ii} g_{2jj} + 2 g_{3ii} g_{2jj} + g_{1ii} g_{3jj} - g_{2ii} g_{3jj} \right] \\ + \frac{s}{T_2^s - 1} \left[(T_1^s - 1) T_2^s (g_{3jj} g_{1ji} - g_{2jj} g_{1ji} + T_2^s g_{1ji} g_{2ji}) \right. \\ \left. + (T_3^s - 1) (g_{3ji} - T_2^s g_{1ii} g_{3ji} + g_{2ij} g_{3ji} + (T_2^s - 2) g_{2jj} g_{3ji}) \right. \\ \left. - (T_1^s - 1)(T_2^s + 1)(T_3^s - 1) g_{1ji} g_{3ji} \right] \\ \theta(c_0, c_1) := \frac{s_1(T_1^{s0} - 1)(T_3^{s1} - 1) g_{1j1i0} g_{3j0i1}}{T_2^{s1} - 1} \\ \cdot (T_2^{s0} g_{2i1i0} + g_{2j1j0} - T_2^{s0} g_{2j1i0} - g_{2i1j0}) \\ \Gamma_1(\varphi, k) := \varphi(-1/2 + g_{3kk})$$

Theorem. θ and hence Θ are knot invariants.

Preliminaries

This is Theta.nb of <http://drorbn.net/ubc24/ap>.

```
⊕ Once[<< KnotTheory` ; << Rot.m; << PolyPlot.m];
⊖ C:\drorbn\AcademicPensieve\Projects\KnotTheory\KnotTheory
⊖ Loading KnotTheory` version
  of September 27, 2024, 13:23:33.5336.
  Read more at http://katlas.org/wiki/KnotTheory.
⊖ Loading Rot.m from http://drorbn.net/ubc24/ap
  to compute rotation numbers.
⊖ Loading PolyPlot.m from http://drorbn.net/ubc24/ap
  to plot 2-variable polynomials.
```

The Program

```
⊕ CF[ε_] :=
  Module[{vs = Union@Cases[ε, g_, ∞], ps, c},
    Total[CoefficientRules[Expand[ε], vs] /.
      (ps_ → c_) → Factor[c] (Times @@ vs^ps)]];

```

```
⊕ T3 = T1 T2;
```

```
⊕ R1[s_, i_, j_] =
```

```
CF[
  s (1/2 - g_{3ii} + T_2^s g_{1ii} g_{2ji} - g_{1ii} g_{2jj} -
  (T_2^s - 1) g_{2ji} g_{3ii} + 2 g_{2jj} g_{3ii} - (1 - T_3^s) g_{2ji} g_{3ji} -
  g_{2ii} g_{3jj} - T_2^s g_{2ji} g_{3jj} + g_{1ii} g_{3jj} +
  ((T_1^s - 1) g_{1ji} (T_2^s g_{2ji} - T_2^s g_{2jj} + T_2^s g_{3jj})) +
  (T_3^s - 1) g_{3ji}
  - (1 - T_2^s g_{1ii} - (T_1^s - 1) (T_2^s + 1) g_{1ji} +
  (T_2^s - 2) g_{2jj} + g_{2ij})) / (T_2^s - 1))];
```

```
⊕ Θ[θ_] := Module[{Cs, φ, n, A, s, i, j, k, Δ, G, v, α,
  β, gEval, c, z},
  {Cs, φ} = Rot[K];
  n = Length[Cs];
  A = IdentityMatrix[2 n + 1];
  Cases[Cs, {s_, i_, j_}] :=
  (A[[{i, j}, {i + 1, j + 1}]] += {{-T^s T^s - 1}, {0, -1}})];
  Δ = T^{(-Total[φ] - Total[Cs[[All, 1]])}/2 Det[A];
  G = Inverse[A];
  gEval[ε_] :=
  Factor[ε /. g_{v_, α_, β_} :> (G[[α, β]] /. T → T_v)];
  z = gEval[Sum[n Sum[n θ[Cs[[k1]], Cs[[k2]]]];
  z += gEval[Sum[n R1 @@ Cs[[k]]];
  z += gEval[Sum[2^n I1[φ[[k]], k]];
  {Δ, (Δ /. T → T_1) (Δ /. T → T_2) (Δ /. T → T_3) z} ///
  Factor];

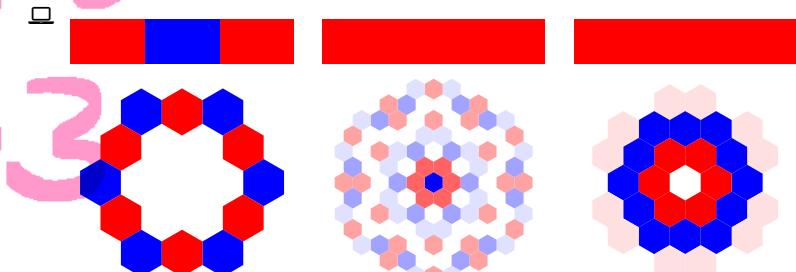
```

The Trefoil, Conway, and Kinoshita-Terasaka

```
⊕ Θ[Knot[3, 1]] // Expand
```

$$\boxed{\left\{ -1 + \frac{1}{T}, -\frac{1}{T_1^2} - \frac{1}{T_2^2} - \frac{1}{T_1^2 T_2^2} + \frac{1}{T_1 T_2^2} + \frac{1}{T_1^2 T_2} + \frac{T_1}{T_2} + \frac{T_2}{T_1} + T_1^2 T_2 - T_2^2 + T_1 T_2^2 - T_1^2 T_2^2 \right\}}$$

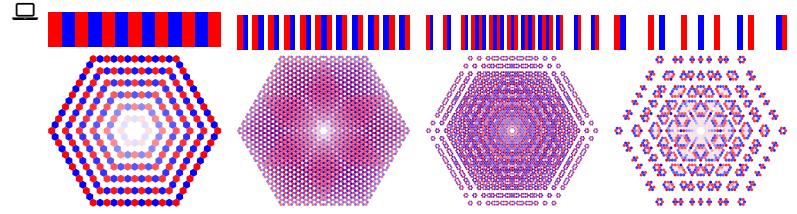
```
⊕ GraphicsRow[PolyPlot[Θ[Knot[#, #]]] & /@
  {"3_1", "K11n34", "K11n42"}]
```



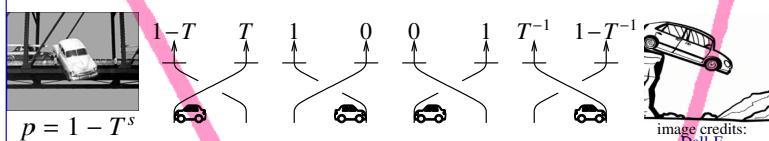
(Note that the genus of the Conway knot appears to be bigger than the genus of Kinoshita-Terasaka)

Some Torus Knots

```
⊕ GraphicsRow[PolyPlot[TorusKnot @@ #] &
  /@ {{13, 2}, {17, 3}, {13, 5}, {7, 6}},
  Spacings → Scaled@0.05]
```



Cars, Interchanges, and Traffic Counters. Cars always drive forward. When a car crosses over a bridge it goes through with (algebraic) probability $T^s \sim 1$, but falls off with probability $1 - T^s \sim 0^*$. At the very end, cars fall off and disappear. See also [Jo, LTW].



* In algebra $x \sim 0$ if for every y in the ideal generated by x , $1 - y$ is invertible.

Theorem. The Green function $g_{\alpha\beta}$ is the reading of a traffic counter at β , if car traffic is injected at α (if $\alpha = \beta$, the counter is *after* the injection point).

Example.

$$\sum_{p \geq 0} (1-T)^p = T^{-1}$$

Proof. Near a crossing c with sign s , incoming upper edge i and incoming lower edge j , both sides satisfy the g -rules:

$g_{i\beta} = \delta_{i\beta} + T^s g_{i+1,\beta} + (1 - T^s) g_{j+1,\beta}$, $g_{j\beta} = \delta_{j\beta} + g_{j+1,\beta}$,
and always $g_{-2m+1} = 1$; use common sense and $AG = I (= GA)$.

Bonus. Near c , both sides satisfy the further g -rules:

$$g_{\alpha i} = T^{-s}(g_{\alpha i+1} - \delta_{\alpha i+1}), \quad g_{\alpha i} = g_{\alpha i+1} - (1-T^s)g_{\alpha i} - \delta_{\alpha i+1}.$$

Invariance of Θ . We start with the hardest, Reidemeister 3:

- ⇒ Overall traffic patterns are unaffected by Reid3!
- ⇒ Green's $g_{\alpha\beta}$ is unchanged by Reid3, provided the cars injection site α and the traffic counters β are away.
- ⇒ Only the contribution from the R_1 and θ terms within the Reid3 move matters, and using g -rules the relevant $g_{\alpha\beta}$'s can be pushed outside of the Reid3 area:

$\delta_{i,j} := \text{If}[i == j, 1, 0];$

$$\begin{aligned} \mathbf{gR}_{s,i,j} &:= \left\{ \mathbf{g}_{v_i \beta} \Rightarrow \delta_{i\beta} + T_v^s g_{v i^+ \beta} + (1 - T_v^s) g_{v j^+ \beta}, \right. \\ &\quad \mathbf{g}_{v_j \beta} \Rightarrow \delta_{j\beta} + g_{v j^+ \beta}, \quad \mathbf{g}_{v_\alpha i} \Rightarrow T_v^{-s} (g_{v \alpha i^+} - \delta_{\alpha i^+}), \\ &\quad \left. \mathbf{g}_{v_\alpha j} \Rightarrow g_{v \alpha j^+} - (1 - T_v^s) g_{v \alpha i^+} - \delta_{\alpha j^+} \right\} \end{aligned}$$

```
DSum[Cs___] := Sum[R1 @@ c, {c, {Cs}}] +
  Sum[θ[c0, c1], {c0, {Cs}}, {c1, {Cs}}]
```

```
lhs = DSum[{1, j, k}, {1, i, k^+}, {1, i^+, j^+},
    {s, m, n}] // . gR1,j,k ∪ gR1,i,k^+ ∪ gR1,i^+,j^+;
```

```
rhs = DSum[{1, i, j}, {1, i+, k}, {1, j+, k+},
    {s, m, n}] //.; gR1..i ∪ gR1..i+.k ∪ gR1..i+.k+;
```

Simplify[lhs == rhs]

True



Questions, Conjectures, Expectations, Dreams.

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Dream 9. These invariants can be explained by something less foreign than semisimple Lie algebras.

Dream 10. θ will have something to say about ribbon knots.

- [BN1] D. Bar-Natan, *Everything around sl_2^* is DoPeGDO*. So **References**, what?, talk in Da Nang, May 2019. Handout and video at [ωεβ/DPG](#).

[BN2] —, *Knot Invariants from Finite Dimensional Integration*, talks in Beijing ([ωεβ/icbs24](#)) and in Geneva ([ωεβ/ge24](#)).

[BV1] —, R. van der Veen, *A Perturbed-Alexander Invariant*, to appear in Quantum Topology, [ωεβ/APAI](#).

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[GK] S. Garoufalidis, R. Kashaev, *Multivariable Knot Polynomials from Braided Hopf Algebras with Automorphisms*, [arXiv:2311.11528](#).

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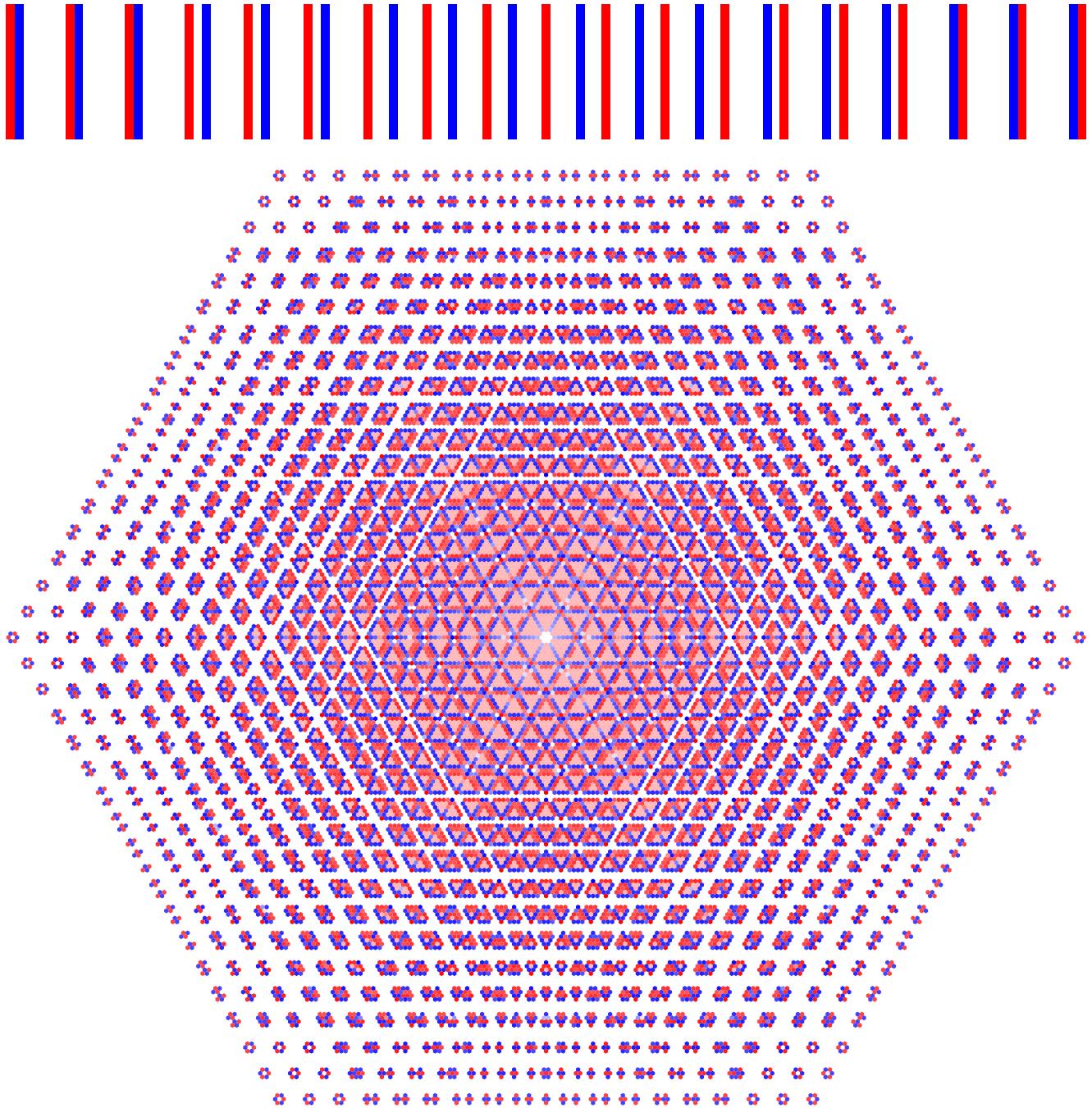
[Ro2] —, *The Universal R-Matrix, Burau Representation and the Melvin-Morton Expansion of the Colored Jones Polynomial*, Adv. Math. **134-1** (1998) 1–31, [arXiv:q-alg/9604005](#).

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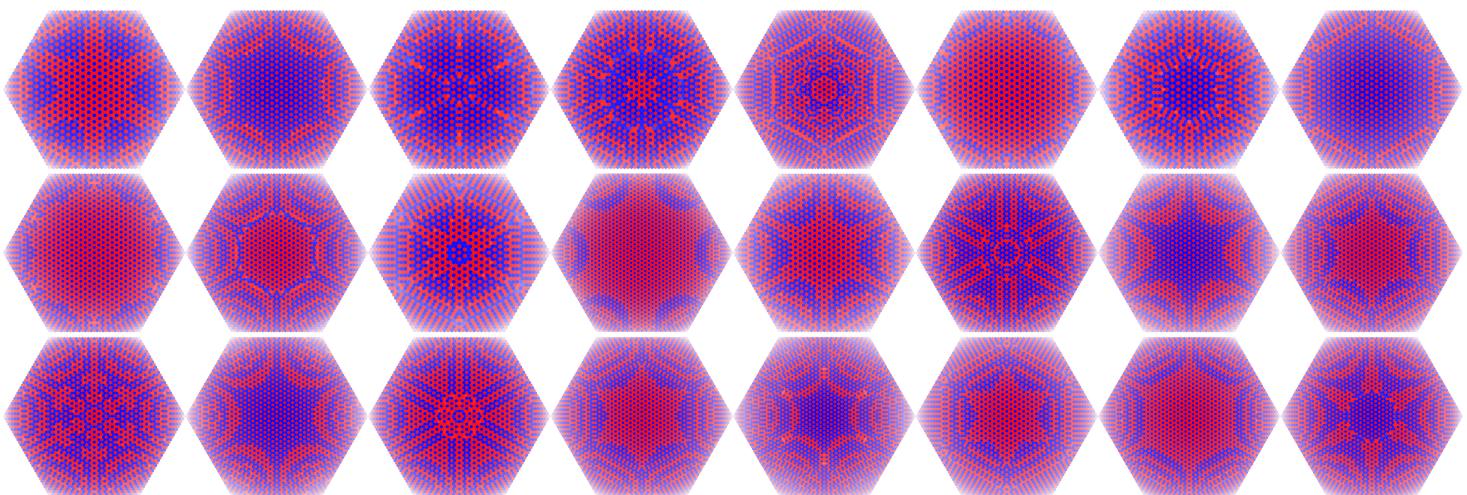
The torus knot $T_{22/7}$:

(many more at [ωεβ/TK](#))



Random knots from [DHOEBL], with 50-73 crossings:

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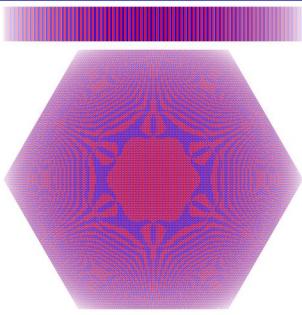
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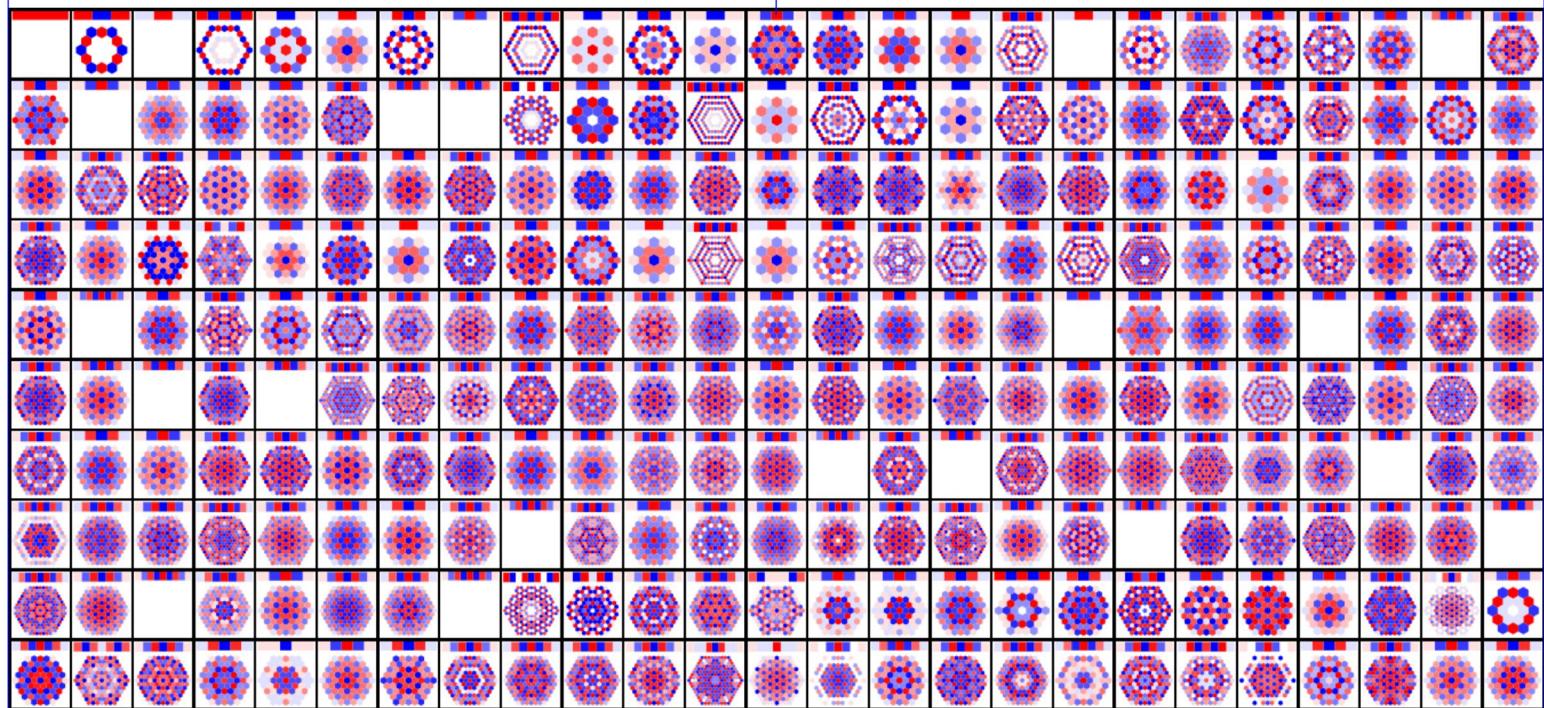
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References.

Preliminaries

This is Theta.nb of <http://drorbn.net/ubc24/ap>.

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 $\text{Once}[\text{KnotTheory`}; \text{Rot.m}; \text{PolyPlot.m}]$ ;
 $\text{C:\drorbn\AcademicPensieve\Projects\KnotTheory\KnotTheory}$ 

 $\text{Loading KnotTheory` version}$ 
 $\text{of September 27, 2024, 13:23:33.5336.}$ 
 $\text{Read more at } \text{http://katlas.org/wiki/KnotTheory.}$ 
 $\text{Loading Rot.m from } \text{http://drorbn.net/ubc24/ap}$ 
 $\text{to compute rotation numbers.}$ 
 $\text{Loading PolyPlot.m from } \text{http://drorbn.net/ubc24/ap}$ 
 $\text{to plot 2-variable polynomials.}$ 

```

The Program

```

 $\text{CF}[\mathcal{E}_\text{_] := }$ 
 $\text{Module}[\{\mathbf{vs} = \text{Union}@\text{Cases}[\mathcal{E}, \mathbf{g}_{\_\_}, \infty], \mathbf{ps}, \mathbf{c}\},$ 
 $\text{Total}[\text{CoefficientRules}[\text{Expand}[\mathcal{E}], \mathbf{vs}] /.$ 
 $(\mathbf{ps}_\text{_] \rightarrow \mathbf{c}_\text{_]}) \rightarrow \text{Factor}[\mathbf{c}] (\text{Times} @@\mathbf{vs}^{\mathbf{ps}})]]$ ];

 $\text{T}_3 = \mathbf{T}_1 \mathbf{T}_2;$ 

 $\text{R}_1[\mathbf{s}_\text{_, i}_\text{_, j}_\text{_] = }$ 
 $\text{CF}[\mathbf{s} (1/2 - \mathbf{g}_{3ii} + \mathbf{T}_2^s \mathbf{g}_{1ii} \mathbf{g}_{2ji} - \mathbf{g}_{1ii} \mathbf{g}_{2jj} -$ 
 $(\mathbf{T}_2^s - 1) \mathbf{g}_{2ji} \mathbf{g}_{3ii} + 2 \mathbf{g}_{2jj} \mathbf{g}_{3ii} - (1 - \mathbf{T}_3^s) \mathbf{g}_{2ji} \mathbf{g}_{3ji} -$ 
 $\mathbf{g}_{2ii} \mathbf{g}_{3jj} - \mathbf{T}_2^s \mathbf{g}_{2ji} \mathbf{g}_{3jj} + \mathbf{g}_{1ii} \mathbf{g}_{3jj} +$ 
 $((\mathbf{T}_2^s - 1) \mathbf{g}_{1ji} (\mathbf{T}_2^{2s} \mathbf{g}_{2ji} - \mathbf{T}_2^s \mathbf{g}_{2jj} + \mathbf{T}_2^s \mathbf{g}_{3jj}) +$ 
 $(\mathbf{T}_3^s - 1) \mathbf{g}_{3ji}$ 
 $(1 - \mathbf{T}_2^s \mathbf{g}_{1ii} - (\mathbf{T}_2^s - 1) (\mathbf{T}_2^s + 1) \mathbf{g}_{1ji} +$ 
 $(\mathbf{T}_2^s - 2) \mathbf{g}_{2jj} + \mathbf{g}_{2ij})) / (\mathbf{T}_2^s - 1))]$ ];

 $\theta[\{\mathbf{s}\theta_\text{_, i}\theta_\text{_, j}\theta_\text{_, }\}, \{\mathbf{s}\mathbf{i}_\text{_, i}_\text{_, j}_\text{_] := }$ 
 $\text{CF}[\mathbf{s}\mathbf{i}_1 (\mathbf{T}_1^{s\theta} - 1) (\mathbf{T}_2^{s\mathbf{i}} - 1)^{-1} (\mathbf{T}_3^{s\mathbf{j}} - 1) \mathbf{g}_{1,j_1,i_\theta} \mathbf{g}_{3,j_\theta,i_1}$ 
 $((\mathbf{T}_2^{s\theta} \mathbf{g}_{2,i_1,i_\theta} - \mathbf{g}_{2,i_1,j_\theta}) - (\mathbf{T}_2^{s\theta} \mathbf{g}_{2,j_1,i_\theta} - \mathbf{g}_{2,j_1,j_\theta}))]$ ]

 $\text{T}_1[\varphi_\text{_, k}_\text{_] = -\varphi / 2 + \varphi \mathbf{g}_{3kk};$ 

 $\theta[K_\text{_] := }$ 

```

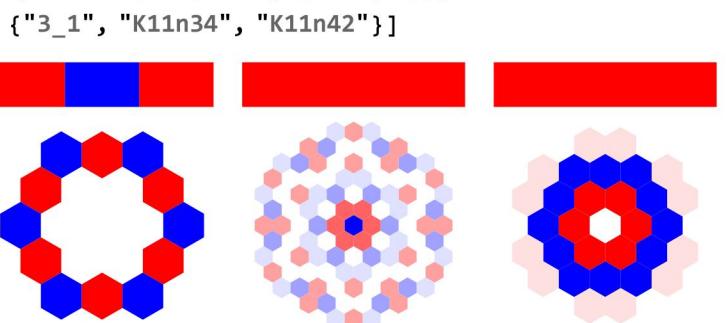
```

 $\text{Module}[\{\mathbf{Cs}, \varphi, \mathbf{n}, \mathbf{A}, \mathbf{s}, \mathbf{i}, \mathbf{j}, \mathbf{k}, \Delta, \mathbf{G}, \mathbf{v}, \alpha,$ 
 $\beta, \text{gEval}, \mathbf{c}, \mathbf{z}\},$ 
 $\{\mathbf{Cs}, \varphi\} = \text{Rot}[K]; \mathbf{n} = \text{Length}[\mathbf{Cs}];$ 
 $\mathbf{A} = \text{IdentityMatrix}[2 \mathbf{n} + 1];$ 
 $\text{Cases}[\mathbf{Cs}, \{\mathbf{s}_\text{_, i}_\text{_, j}_\text{_] \rightarrow }$ 
 $(\mathbf{A}[[\mathbf{i}, \mathbf{j}], [\mathbf{i} + 1, \mathbf{j} + 1]] += \begin{pmatrix} -\mathbf{T}^s & \mathbf{T}^s - 1 \\ 0 & -1 \end{pmatrix})]$ ];
 $\Delta = \mathbf{T}^{(-\text{Total}[\varphi] - \text{Total}[\mathbf{Cs}[[All, 1]]]) / 2} \text{Det}[\mathbf{A}];$ 
 $\mathbf{G} = \text{Inverse}[\mathbf{A}];$ 
 $\text{gEval}[\mathcal{E}_\text{_] := }$ 
 $\text{Factor}[\mathcal{E} /. \mathbf{g}_{\nu_\text{_, \alpha_\text{_, \beta_\text{_]}}} \rightarrow (\mathbf{G}[[\alpha, \beta]] /. \mathbf{T} \rightarrow \mathbf{T}_\nu)];$ 
 $\mathbf{z} = \text{gEval}[\sum_{k1=1}^n \sum_{k2=1}^n \theta[\mathbf{Cs}[[k1]], \mathbf{Cs}[[k2]]]];$ 
 $\mathbf{z} += \text{gEval}[\sum_{k=1}^n \mathbf{R}_1 @@\mathbf{Cs}[[k]]];$ 
 $\mathbf{z} += \text{gEval}[\sum_{k=1}^{n^2} \mathbf{R}_1[\varphi[[k]], k]];$ 
 $\{\Delta, (\Delta /. \mathbf{T} \rightarrow \mathbf{T}_1) (\Delta /. \mathbf{T} \rightarrow \mathbf{T}_2) (\Delta /. \mathbf{T} \rightarrow \mathbf{T}_3) \mathbf{z}\} //$ 
 $\text{Factor}];$ 

```

The Trefoil, Conway, and Kinoshita-Terasaka

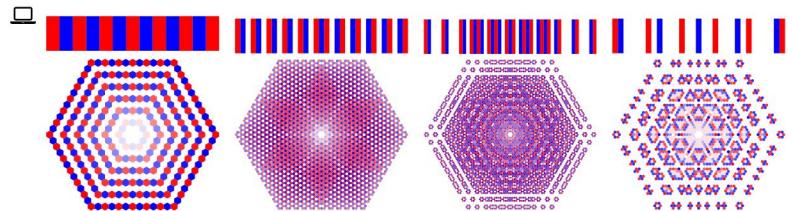
$\text{GraphicsRow}[\text{PolyPlot}[\theta[\text{Knot}[\#]]] & /@$



(Note that the genus of the Conway knot appears to be bigger than the genus of Kinoshita-Terasaka)

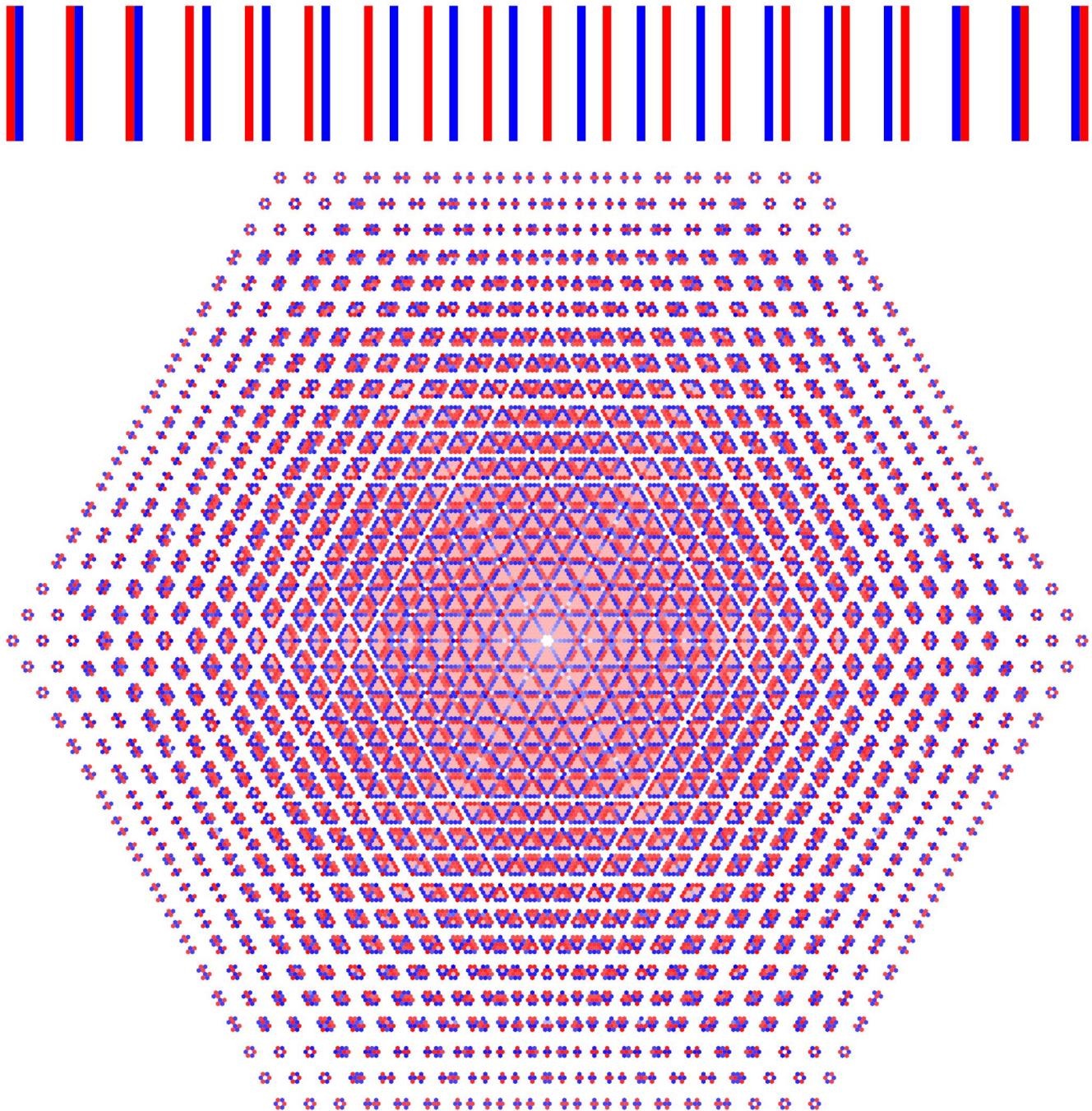
Some Torus Knots

$\text{GraphicsRow}[\text{PolyPlot}[\theta[\text{TorusKnot} @@ \#]] & /@ \{\{13, 2\}, \{17, 3\}, \{13, 5\}, \{7, 6\}\},$
 $\text{Spacings} \rightarrow \text{Scaled}@0.05]$



The torus knot $T_{22/7}$:

(many more at [ωεβ/TK](#))



Random knots from [DHOEBL], with 50-73 crossings:

(many more at [ωεβ/DK](#))

