

Pensieve header: The linear algebra preliminaries for the partial quadratic signature formalism for tangles; with Jessica Liu.

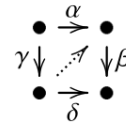
230109 Def. Given a v.s. V , a Partial Quadratic (PQ) Q on V is a symmetric bilinear form Q on a subspace $\mathcal{D}(Q) \subset V$. For $U \subset \mathcal{D}(Q)$, denote $\text{ann}_Q(U) := \{v \in \mathcal{D}(Q) : Q(U, v) = 0\}$.

Def. $Q_1 + Q_2$ is with $\mathcal{D}(Q_1 + Q_2) = \mathcal{D}(Q_1) \cap \mathcal{D}(Q_2)$.

Def. Given a linear $\psi: V \rightarrow W$ and a PQ Q on W , the pullback is $(\psi^*Q)(v_1, v_2) = Q(\psi v_1, \psi v_2)$ with $\mathcal{D}(\psi^*Q) = \phi^{-1}(\mathcal{D}(Q))$.

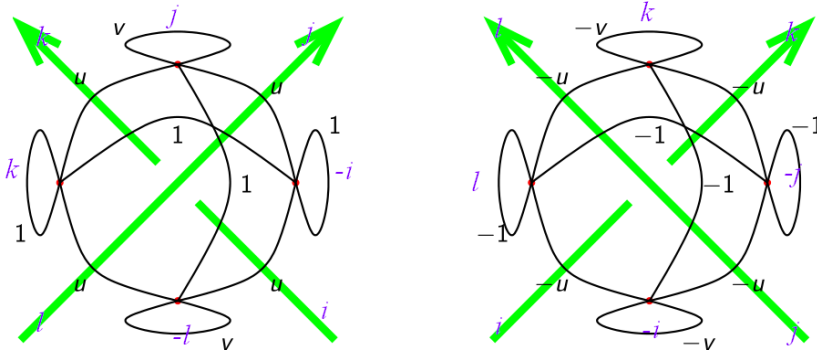
Def. Given $\phi: V \rightarrow W$ and a PQ Q on V the pushforward ϕ_*Q is with $\mathcal{D}(\phi_*Q) = \phi(\text{ann}_Q(\mathcal{D}(Q) \cap \ker \phi))$ and $(\phi_*Q)(w_1, w_2) = Q(v_1, v_2)$, where v_i are s.t. $\phi(v_i) = w_i$ and $Q(v_i, \text{rad } Q|_{\ker \phi}) = 0$.

Thm(?). ψ^* and ϕ_* are well-defined and functorial, and if $\alpha // \beta = \gamma // \delta$, then $\gamma^* // \alpha_* = \delta_* // \beta^*$. ψ^* is additive but ϕ_* isn't.



Thm(?). Over \mathbb{R} , given $\phi: V \rightarrow W$ and PQs Q on V and C on W , $\text{sign}_V(Q + \phi^*C) = \text{sign}_{\ker \phi}(\iota^*Q) + \text{sign}_W(C + \phi_*Q)$.

For a knot K and a complex unit ω set $u = \Re(\omega^{1/2})$, $v = \Im(\omega)$, make an $F \times F$ matrix A with contributions



Handwritten notes and calculations:

$\begin{matrix} \nearrow 5 \\ \searrow -3 \end{matrix} \begin{matrix} \nearrow 6 \\ \searrow -4 \end{matrix} \xrightarrow{\text{Kas}} (\text{Perm}([-4, 6, 5, -3], [-2, 4, 3, -1]), \text{PQ} \quad \square)$

$\begin{matrix} \nearrow 3 \\ \searrow -1 \end{matrix} \begin{matrix} \nearrow 4 \\ \searrow -2 \end{matrix}$

$\begin{matrix} \nearrow 5 \\ \searrow 3 \end{matrix} \begin{matrix} \nearrow 2 \\ \searrow 4 \end{matrix}$

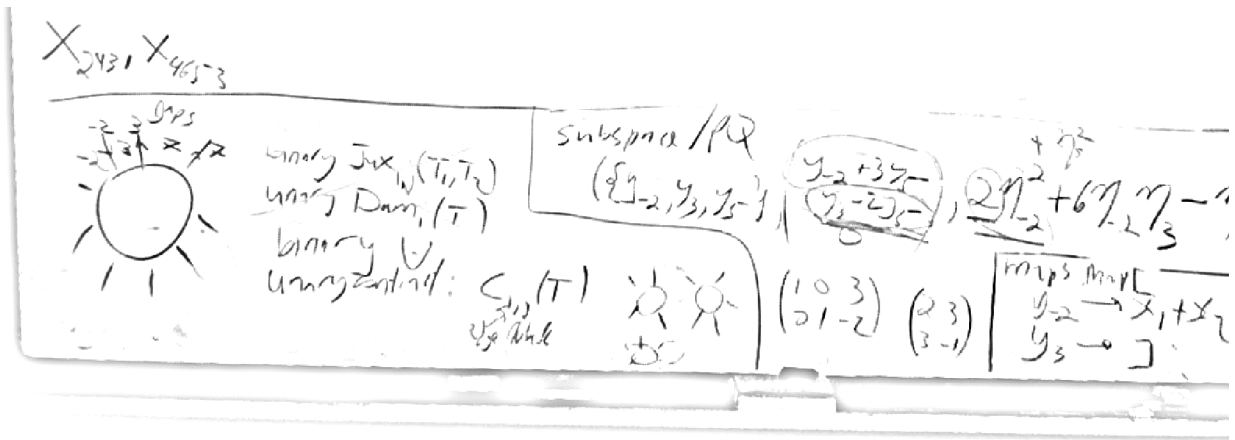
$\begin{matrix} \nearrow k \\ \searrow -i \end{matrix} \begin{matrix} \nearrow j \\ \searrow i \end{matrix} \mapsto$

$v = \begin{pmatrix} 1 & 0 & 2 \\ 0 & 1 & 3 \end{pmatrix} \quad Q = \eta^2$

$\eta = \begin{pmatrix} 1 & 1 \\ 1 & 2 \end{pmatrix} \quad \eta^2 = \begin{pmatrix} 2 & 3 \\ 3 & 5 \end{pmatrix}$

$Q(v_1, v_1) \eta_1^2 + Q(v_1, v_2) \eta_1 \eta_2 + Q(v_2, v_1) \eta_2 \eta_1 + Q(v_2, v_2) \eta_2^2$

$= 4\eta_1^2 + 6\eta_1 \eta_2 + 9\eta_2^2$



pdf

```
In[*]:= RowRed[Subspace[vs_, gens_]] :=
  RowReduce[Join[Table[Coefficient[g, v], {g, gens}, {v, Sort[vs]}],
    IdentityMatrix[Length@gens], 2]];
```

```
In[*]:= RowRed[Subspace[{y, z, x, w}, {x + y, x - y + z, x + 2 y + w}]] // MatrixForm
```

Out[*]//MatrixForm=

$$\begin{pmatrix} 1 & 0 & 0 & \frac{1}{2} & -\frac{3}{2} & \frac{1}{2} & 1 \\ 0 & 1 & 0 & \frac{1}{2} & \frac{1}{2} & \frac{1}{2} & 0 \\ 0 & 0 & 1 & -\frac{1}{2} & \frac{1}{2} & -\frac{1}{2} & 0 \end{pmatrix}$$

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```
In[*]:= CF[Subspace[{}, {0...}]] := Subspace[{}, {}];
CF[Subspace[vs_, {}]] := Subspace[Sort[vs], {}];
CF[Subspace[vs_, gens_]] := Module[{cvs = Sort[vs]},
  Subspace[cvs,
    DeleteCases[(RowRed[Subspace[vs, gens]]][[All, ;; Length@vs]].cvs, 0]
  ]];
CF[Lt_LT] := Sort /@ Lt
CFSteps[Subspace[{}, {0...}]] := {};
CFSteps[Subspace[vs_, {}]] := {};
CFSteps[sub_] := RowRed[sub][[All, -Length@RowRed[sub] ;;]];
```

```
In[*]:= CF[Subspace[{y, z, x, w}, {x + y, x - y + z, x + 2 y + w}]]
```

Out[*]=

$$\text{Subspace}\left[\{w, x, y, z\}, \left\{w + \frac{z}{2}, x + \frac{z}{2}, y - \frac{z}{2}\right\}\right]$$

```
In[*]:= CFSSteps[Subspace[{y, z, x, w}, {x + y, x - y + z, x + 2 y + w}]] // MatrixForm
```

```
Out[*]//MatrixForm=
```

$$\begin{pmatrix} -\frac{3}{2} & \frac{1}{2} & 1 \\ \frac{1}{2} & \frac{1}{2} & 0 \\ \frac{1}{2} & -\frac{1}{2} & 0 \end{pmatrix}$$

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```
In[*]:= Eval[Q_, v_, w_] := Expand[Q v w] /. {ηi__ yi__ => 1, ηi^2 yi^2 => 2} /. (η | y) __ -> 0;
Eval[φ_, v_] := Expand[φ v] /. {ηi__ yi__ => 1, ηi^2 yi^2 => 2 ηi} /. y__ -> 0;
```

```
In[*]:= Eval[u η1^2 + v η1 η2, y1 + y2]
```

```
Out[*]=
2 u η1 + v η1 + v η2
```

```
In[*]:= Eval[Eval[u η1^2 + v η1 η2, y1], y1 + y2]
```

```
Out[*]=
2 u + v
```

```
In[*]:= Eval[u η1^2 + v η1 η2, y1 + y2, y1]
```

```
Out[*]=
2 u + v
```

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```
In[*]:= Pivot[v_Plus] := v[[1]]; Pivot[v_] := v;
yi* := ηi; ηi* := yi; (vs_List)* := Table[v*, {v, vs}];
```

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```
In[*]:= CF[PQ[sub_Subspace, Q_]] := Module[{csub, cvs, cgens},
{cvs, cgens} = List@@(csub = CF[sub]);
PQ[csub, Sum[Eval[Q, v, w] Pivot[v]* Pivot[w]* / 2, {v, cgens}, {w, cgens}]]
]
```

```
In[*]:= CF[PQ[Subspace[{y1, y2, y3}, {y1 + 2 y3, y2 + 3 y3}], η3^2]]
```

```
Out[*]=
PQ[Subspace[{y1, y2, y3}, {y1 + 2 y3, y2 + 3 y3}], 4 η1^2 + 12 η1 η2 + 9 η2^2]
```

```
In[*]:= Eval[η3^2, y1 + 2 y3, y2 + 3 y3]
```

```
Out[*]=
12
```

```
In[*]:= Eval[4 η1^2 + 12 η1 η2 + 9 η2^2, y1 + 2 y3, y2 + 3 y3]
```

```
Out[*]=
12
```

In[*]:= **Eval**[$4 \eta_1^2 + 12 \eta_1 \eta_2 + 9 \eta_2^2$, y_1, y_2]
 Out[*]=
 12

In[*]:= **Eval**[$12 \eta_1 \eta_2$, y_1, y_2]
 Out[*]=
 12

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```
In[*]:= Perp[Subsp_] := Module[{pp, cvs, cgens},
  {cvs, cgens} = List@@CF@Subsp;
  pp = Complement[cvs, Pivot /@ cgens]*;
  CF@Subspace[cvs*,
    Table[p - Sum[Coefficient[g, p]* Pivot[g]*, {g, cgens}], {p, pp}]
  ]
]
```

In[*]:= **Perp**@**Subspace**[{ y_1, y_2, y_3 }, { $y_1 - y_2$ }]
 Out[*]=
Subspace[{ η_1, η_2, η_3 }, { $\eta_1 + \eta_2, \eta_3$ }]

In[*]:= **Perp**@**Perp**@**Subspace**[{ y_1, y_2, y_3 }, { $y_1 - y_2$ }]
 Out[*]=
Subspace[{ y_1, y_2, y_3 }, { $y_1 - y_2$ }]

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```
In[*]:= Id[vs_] := LT[vs, vs, Table[v → v, {v, vs}]]
```

In[*]:= **Id**[{ y_1, y_2 }]
 Out[*]=
LT[{ y_1, y_2 }, { y_1, y_2 }, { $y_1 \rightarrow y_1, y_2 \rightarrow y_2$ }]

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```
In[*]:= LT[dom_, ran_, rs_]*Subspace[ran_, gens_] := Perp@CF@Subspace[dom*, Table[
  Sum[Eval[p, v /. rs] v*, {v, dom}],
  {p, Perp[Subspace[ran, gens]] [[2]]}
  ]]
```

In[*]:= **LT**[{ y_{-1}, y_{-2}, y_{-3} }, { y_1, y_2, y_3 }, { $y_{-1} \rightarrow y_1 + 2 y_3, y_{-2} \rightarrow 2 y_2 - y_3, y_{-3} \rightarrow y_3$ }]*
Subspace[{ y_1, y_2, y_3 }, { $y_1 - y_2$ }]

Out[*]=
Subspace[{ y_{-3}, y_{-2}, y_{-1} }, { $y_{-3} + \frac{y_{-2}}{5} - \frac{2 y_{-1}}{5}$ }]

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```
In[*]:= LT[dom_, ran_, rs_] * [PQ[sub_, Q_]] := CF@PQ[
  LT[dom, ran, rs] * [sub],
  Sum[Eval[Q, v1 /. rs, v2 /. rs] v1 * v2 * / 2, {v1, dom}, {v2, dom}]
]
```

```
In[*]:= Id[{y1, y2, y3}] * [PQ[Subspace[{y1, y2, y3}, {y1 + 2 y3, y2 + 3 y3}], 4 η1^2 + 12 η1 η2 + 9 η2^2]]
```

Out[*]=

PQ[Subspace[{y1, y2, y3}, {y1 + 2 y3, y2 + 3 y3}], 4 η1^2 + 12 η1 η2 + 9 η2^2]

```
In[*]:= LT[{y-1, y-2, y-3}, {y1, y2, y3}, {y-1 → y1 + 2 y3, y-2 → 2 y2 - y3, y-3 → y3}] * [
  PQ[Subspace[{y1, y2, y3}, {y1 + 2 y3, y2 + 3 y3}], 4 η1^2 + 12 η1 η2 + 9 η2^2]]
```

Out[*]=

PQ[Subspace[{y-3, y-2, y-1}, {y-3 + $\frac{y-2}{7}$, y-1}], $\frac{36 \eta_{-3}^2}{49} + \frac{24}{7} \eta_{-3} \eta_{-1} + 4 \eta_{-1}^2$]

```
In[*]:= Eval[ $\frac{36 \eta_{-3}^2}{49} + \frac{24}{7} \eta_{-3} \eta_{-1} + 4 \eta_{-1}^2$ , y-3 +  $\frac{y-2}{7}$ , y-3 +  $\frac{y-2}{7}$ ]
```

Out[*]=

$\frac{72}{49}$

```
In[*]:= Eval[4 η1^2 + 12 η1 η2 + 9 η2^2,
  y-3 +  $\frac{y-2}{7}$  /. {y-1 → y1 + 2 y3, y-2 → 2 y2 - y3, y-3 → y3},
  y-3 +  $\frac{y-2}{7}$  /. {y-1 → y1 + 2 y3, y-2 → 2 y2 - y3, y-3 → y3}]
```

Out[*]=

$\frac{72}{49}$

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```
In[*]:= Subspace /: Subspace[v1s_, gen1s_] ⊕ Subspace[v2s_, gen2s_] :=
  CF@Subspace[v1s ∪ v2s, gen1s ∪ gen2s];
Subspace /: Subspace[vs_, gen1s_] + Subspace[vs_, gen2s_] :=
  CF@Subspace[vs, gen1s ∪ gen2s];
Subspace /: sub1_Subspace ∩ sub2_Subspace := Perp[Perp[sub1] + Perp[sub2]];
Subspace /: v_ ∈ Subspace[vs_, gens_] :=
  (Subspace[vs, gens] ∩ Subspace[vs, {v}]) [[2]] != {};
```

```
In[*]:= Subspace[{y1, y2}, {y1 - 3 y2}] ⊕ Subspace[{y-1, y-2, y-3}, {y-3, y-1 + y-2}]
```

Out[*]=

Subspace[{y-3, y-2, y-1, y1, y2}, {y-3, y-2 + y-1, y1 - 3 y2}]

```
In[*]:= Subspace[{y1, y2, y3}, {y1 + 2 y3}] + Subspace[{y1, y2, y3}, {3 y3}]
```

Out[*]=

Subspace[{y1, y2, y3}, {y1, y3}]

```
In[*]:= Subspace[{y1, y2, y3}, {y1 + 2 y3}] ∩ Subspace[{y1, y2, y3}, {2 y3, y1}]
Out[*]= Subspace[{y1, y2, y3}, {y1 + 2 y3}]
```

```
In[*]:= y3 ∈ Subspace[{y1, y2, y3}, {y1 + 2 y3}]
Out[*]= False
```

```
In[*]:= y3 ∈ Subspace[{y1, y2, y3}, {y1 + 2 y3, y1 + y3}]
Out[*]= True
```

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```
In[*]:= PQ /: PQ[sub1_, Q1_] ⊕ PQ[sub2_, Q2_] := CF@PQ[sub1 ⊕ sub2, Q1 + Q2];
PQ /: CirclePlus[PQ1_PQ, PQs__PQ] :=
CirclePlus@@Join[{PQ1 ⊕ First[{PQs}]}], {PQs}[[2 ;;]]];
```

```
In[*]:= PQ1 = PQ[Subspace[{y1, y2}, {y2 + 2 y1}], 4 η1^2 + 12 η1 η2 + 9 η2^2];
PQ2 = PQ[Subspace[{y-3, y-2, y-1}, {y-3 + y-2/7, y-1}], 36 η-3^2/49 + 24 η-3 η-1/7 + 4 η-1^2];
PQ3 = PQ[Subspace[{y3}, {y3}], η3^2];
```

```
In[*]:= CirclePlus[PQ1, PQ2, PQ3]
Out[*]= PQ[Subspace[{y-3, y-2, y-1, y1, y2, y3}, {y-3 + y-2/7, y-1, y1 + y2/2, y3}],
36 η-3^2/49 + 24 η-3 η-1/7 + 4 η-1^2 + 49 η1^2/4 + η3^2]
```

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```
In[*]:= AnnPQ[⊂_Subspace, Q_] [Subspace[vs_, gens_]] :=
⊂ ∩ Perp@Subspace[vs*, Table[Eval[Q, g], {g, gens}]]
```

```
In[*]:= AnnPQ[Subspace[{y1, y2, y3}, {y1 + 2 y3, y2 + 3 y3}], 4 η1^2 + 12 η1 η2 + 9 η2^2] [Subspace[{y1, y2, y3}, {y1 + 2 y3}]]
Out[*]= Subspace[{y1, y2, y3}, {y1 - 2 y2/3}]
```

```
In[*]:= y1 - 2 y2/3 ∈ Subspace[{y1, y2, y3}, {y1 + 2 y3, y2 + 3 y3}]
Out[*]= True
```

```
In[*]:= Eval[4 η1^2 + 12 η1 η2 + 9 η2^2, y1 - 2 y2/3, y1 + 2 y3]
Out[*]= 0
```

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```
In[*]:= Ker[LT[{}, _, _] := Subspace[{}, {}];
Ker[LT[dom_, {}, _] := Subspace[dom, dom];
Ker[LT[dom_, ran_, rs_] := Module[{ns},
  ns = NullSpace[Table[Coefficient[d /. rs, r], {r, ran}, {d, dom}]];
  If[Length@ns > 0, CF@Subspace[dom, ns.dom], Subspace[dom, {}]]
]
```

```
In[*]:= Ker[LT[{y-1, y-2, y-3}, {y1, y2, y3}, {y-1 → y1 + 2 y3, y-2 → 2 y2 - y3, y-3 → y3}]]
```

Out[*]=

Subspace[{y₋₁, y₋₂, y₋₃}, {}]

```
In[*]:= Ker[LT[{y-1, y-2, y-3}, {y1, y2, y3}, {y-1 → y1 + 2 y3, y-2 → -y3, y-3 → y3}]]
```

Out[*]=

Subspace[{y₋₃, y₋₂, y₋₁}, {y₋₃ + y₋₂}]

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```
In[*]:= (*will return a LT that is a section on the image*)
Section[LT[dom_, ran_, rs_] := Module[{im = Subspace[ran, dom /. rs], newrs = {}},
  newrs = Thread[Pivot /@ (CF[im][[2]]) → (CFSteps[im].dom)[[ ; Length@CF[im][[2]]]];
  LT[ran, dom, Join[newrs, Thread[Complement[ran, Pivot /@ (CF[im][[2]])] → 0]]]
]
```

```
In[*]:= Section[LT[{y-1, y-2, y-3}, {y1, y2, y3}, {y-1 → y1 + 2 y3, y-2 → 2 y2 - y3, y-3 → y3}]]
```

Out[*]=

LT[{y₁, y₂, y₃}, {y₋₁, y₋₂, y₋₃}, {y₁ → -2 y₃ + y₋₁, y₂ → $\frac{y_{-3}}{2} + \frac{y_{-2}}{2}$, y₃ → y₋₃}]]

```
In[*]:= Section[LT[{y-1, y-2, y-3}, {y1, y2, y3}, {y-1 → 0, y-2 → 2 y2 - y3, y-3 → y3}]]
```

Out[*]=

LT[{y₁, y₂, y₃}, {y₋₁, y₋₂, y₋₃}, {y₂ → $\frac{y_{-3}}{2} + \frac{y_{-2}}{2}$, y₃ → y₋₃, y₁ → 0}]]

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```
In[*]:= Section[LT[Subspace[vs_, gens_], ran_, rs_] := Section[LT[gens, ran, rs]];
```

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```
In[*]:= (LT[dom_, ran_, rs_])*[Subspace[dom_, gens_] := CF@Subspace[ran, gens /. rs]
```

```
In[*]:= LT[{y1, y2, y3}, {y1, y2}, {y1 → 0, y2 → y1, y3 → y2}]*[Subspace[{y1, y2, y3}, {y1, y3}]]
```

Out[*]=

Subspace[{y₁, y₂}, {y₂}]

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```
In[*]:= Lt_LT*[PQ[sub_, Q_]] := CF@Section[CF[Lt]]*[CF@PQ[AnnPQ[sub,Q][Ker[CF[Lt]]], Q]];
```

```
In[*]:= LT[{{y-1, y-2, y-3}, {y1, y2, y3}, {y-1 → y1 + 2 y3, y-2 → 2 y2 - y3, y-3 → y3}] * [
  PQ[Subspace[{y-1, y-2, y-3}, {y-1 + 2 y3, y-2 + 3 y3}], η-32]]
```

Out[*]=

$$\text{PQ}\left[\text{Subspace}\left[\{y_1, y_2, y_3\}, \{y_1 + 4 y_3, y_2 + y_3\}\right], 4 \eta_1^2 + 6 \eta_1 \eta_2 + \frac{9 \eta_2^2}{4}\right]$$

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```
In[*]:= Sig[PQ[Subspace[vs_, gens_], Q_]] :=
  Plus@@Sign@Eigenvalues[Table[Eval[Q, v, w], {v, gens}, {w, gens}]];
```

```
In[*]:= Sig[PQ[Subspace[{y1, y2, y3}, {y1 + 4 y3, y2 + y3}], 4 η12 + 6 η1 η2 +  $\frac{9 \eta_2^2}{4}$ ]]
```

Out[*]=

1