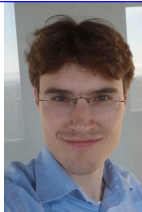




Knot Invariants from Zero-Dimensional QFT

Abstract. For the purpose of today, an “I-Type Knot Invariant” is a knot invariant computed from a knot diagram by integrating the exponential of a *perturbed Gaussian* Lagrangian which is a sum over the features of that diagram (crossings, edges, faces) of locally defined quantities, over a product of finite dimensional spaces associated to those same features.

joint with
R. van der Veen

Q. Are there any such things? **A.** Yes.

Q. Are they any good? **A.** They are the strongest we know per CPU cycle, and are excellent in other ways too.

Q. Didn't Witten do that back in 1988 with path integrals?

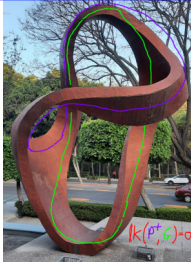
A. No. His constructions are infinite dimensional and far from rigorous.

Q. But integrals belong in analysis!

A. Ours only use squeaky-clean algebra.

Dreams. Given a knot K with a Seifert surface Σ , we dream that there is a 0D Lagrangian $L_\Sigma: 6H_1(\Sigma; \mathbb{R}) \rightarrow \mathbb{R}$ whose coefficients are (low degree) finite type invariants of graphs representing multiple homology classes, such that

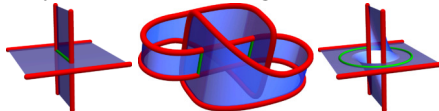
$$Z = \oint_{6H_1(\Sigma; \mathbb{R})} \exp(L_\Sigma)$$



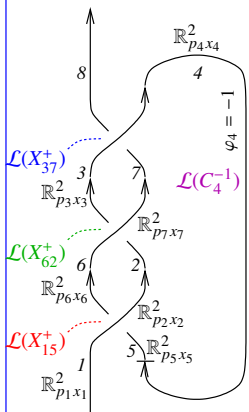
is the invariant θ presented below. We dream the formulas are simple and natural, and that they have a universal generalization.

Sweet. Even sweeter:

May say something about ribbon knots!



The $sl_2^{\epsilon^2}$ Example. With T an indeterminate and with $\epsilon^2 = 0$:



$$\longrightarrow Z = \oint_{\mathbb{R}^{14}_{p_i x_i}} \mathcal{L}(X_{15}^+) \mathcal{L}(X_{62}^+) \mathcal{L}(X_{37}^+) \mathcal{L}(C_4^{-1})$$

where $\mathcal{L}(X_{ij}^s) = T^{s/2} e^{L(X_{ij}^s)}$ and $\mathcal{L}(C_i^\varphi) = T^{\varphi/2} e^{L(C_i^\varphi)}$, and

$$L(X_{ij}^s) = x_i(p_{i+1} - p_i) + x_j(p_{j+1} - p_j) + (T^s - 1)x_i(p_{i+1} - p_{j+1}) + \frac{\epsilon s}{2} \left(x_i(p_i - p_j) \left(\frac{(T^s - 1)x_i p_j}{+2(1 - x_j p_j)} \right) - 1 \right)$$

$$L(C_i^\varphi) = x_i(p_{i+1} - p_i) + \epsilon \varphi(1/2 - x_i p_i)$$

$$\text{So } Z = T \oint e^{L(\odot)} dp_1 \dots dp_7 dx_1 \dots dx_7, \text{ where } L(\odot) = \sum_{i=1}^7 x_i(p_{i+1} - p_i) + (T-1)(x_1(p_2 - p_6) + x_6(p_7 - p_3) + x_3(p_4 - p_8)) + \frac{\epsilon}{2} \left(\begin{aligned} &x_1(p_1 - p_5)((T-1)x_1 p_5 + 2(1 - x_5 p_5)) - 1 \\ &+ x_6(p_6 - p_2)((T-1)x_6 p_2 + 2(1 - x_2 p_2)) - 1 \\ &+ x_3(p_3 - p_7)((T-1)x_3 p_7 + 2(1 - x_7 p_7)) - 1 \\ &+ 2x_4 p_4 - 1 \end{aligned} \right),$$

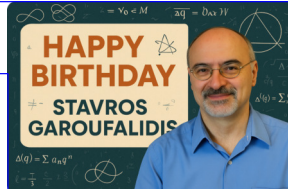
and so $Z = (T - 1 + T^{-1})^{-1} \exp\left(\epsilon \cdot \frac{(T-2+T^{-1})(T+T^{-1})}{(T-1+T^{-1})^2}\right) = \Delta^{-1} \exp\left(\epsilon \cdot \frac{(T-2+T^{-1})\rho_1}{\Delta^2}\right)$. Here Δ is the Alexander polynomial and ρ_1 is the Rozansky-Overbay polynomial [R1, R2, R3, Ov, BV1, BV2].



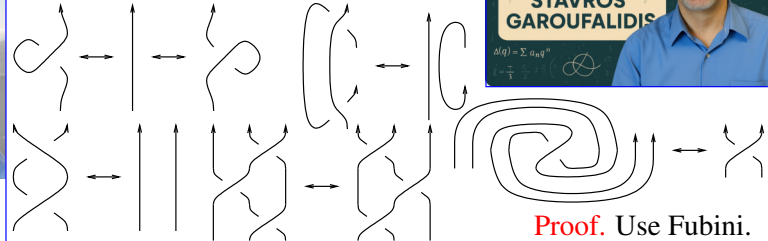
Rozansky



Overbay



Theorem. Z is a knot invariant.



Proof. Use Fubini.

(Alternative) Gaussian Integration.

Gauss



Goal. Compute $\int_{\mathbb{R}^n} dx \exp\left(-\frac{1}{2} a^{ij} x_i x_j + V(x)\right)$. (if convergent)

Solution. Set $Z_\lambda(x) := \lambda^{-n/2} \int_{\mathbb{R}^n} dy \exp\left(-\frac{1}{2\lambda} a^{ij} y_i y_j + V(x+y)\right)$.

Then $Z_1(0)$ is what we want, $Z_0(x) = (\det A)^{-1/2} \exp V(x)$, and with g_{ij} the inverse matrix of a^{ij} and noting that under the dy integral $\partial_y = 0$,

$$\begin{aligned} &= \frac{\lambda^{-n/2}}{2} \int_{\mathbb{R}^n} dy g_{ij} (\partial_{x_i} - \partial_{y_i}) (\partial_{x_j} - \partial_{y_j}) \exp\left(-\frac{1}{2\lambda} a^{ij} y_i y_j + V(x+y)\right) \\ &= \frac{\lambda^{-n/2}}{2\lambda^2} \int_{\mathbb{R}^n} dy (g_{ij} a^{i'j'} \partial_{y_i} \partial_{y_j} - \lambda g_{ij} a^{ji}) \exp\left(-\frac{1}{2\lambda} a^{ij} y_i y_j + V(x+y)\right) \\ &= \frac{\lambda^{-n/2}}{2\lambda^2} \int_{\mathbb{R}^n} dy (a^{ij} y_i y_j - \lambda n) \exp\left(-\frac{1}{2\lambda} a^{ij} y_i y_j + V(x+y)\right) \\ &= \partial_\lambda Z_\lambda(x). \end{aligned}$$

Hence

$$(*) \quad \partial_\lambda Z_\lambda(x) = \frac{1}{2} g_{ij} \partial_{x_i} \partial_{x_j} Z_\lambda(x),$$

and therefore

$$Z_\lambda(x) = (\det A)^{-1/2} \exp\left(\frac{\lambda}{2} g_{ij} \partial_{x_i} \partial_{x_j}\right) \exp V(x).$$

We've just witnessed the birth of “Feynman Diagrams”.

Even better. With $Z_\lambda := \log(\sqrt{\det A} Z_\lambda)$, by a simple substitution into (*), we get the “Synthesis Equation”:

$$Z_0 = V, \quad \partial_\lambda Z_\lambda = \frac{1}{2} \sum_{i,j=1}^n g_{ij} (\partial_{x_i, x_j} Z_\lambda + (\partial_{x_i} Z_\lambda)(\partial_{x_j} Z_\lambda)) =: F(Z_\lambda),$$

an ODE (in λ) whose solution is pure algebra.

Picard Iteration (used to prove the existence and uniqueness of solutions of ODEs). To solve $\partial_\lambda f_\lambda = F(f_\lambda)$ with a given f_0 , start with f_0 , iterate $f \mapsto f_0 + \int_0^\lambda F(f_\lambda) d\lambda$, and seek a fixed point. In our cases, it is always reached after finitely many iterations!

Definition. $\oint$: The result of this process, ignoring the convergence of the actual integral.

Strong. The pair (Δ, ρ_1) attains 270,316 distinct values on the 313,230 prime knots with up to 15 crossings (a deficit of 42,914), whereas the pair $(H = \text{HOMFLYPT polynomial}, Kh = \text{Khovanov Homology})$ attains only 242,985 distinct values on the same knots (a deficit of 70,245). The pair (Δ, θ) , discussed later, has a deficit of only 6,758, and the triple (Δ, θ, ρ_2) , of only 6,341.

Yet better than (H, Kh) and other Reshetikhin-Turaev-Witten invariants and knot homologies, Δ, ρ_1, ρ_2 and θ can be computed in **polynomial time** (and hence, even for very large knots).

So ugly as the formulas may be (and θ 's formulas are uglier), these invariants are possibly **the best we have!**

Acknowledgement. This work was supported by NSERC grants RGPIN-2018-04350 and RGPIN-2025-06718 and by the Chu Family Foundation (NYC).

Implementation (see IType.nb of ωεβ/ap).

☺ Once [`<< KnotTheory``; `<< Rot.m`];

☐ Loading KnotTheory` version

of October 29, 2024, 10:29:52.1301.

Read more at <http://katlas.org/wiki/KnotTheory>.

☐ Loading Rot.m from <http://drorbn.net/AP/Talks/Bonn-2505> to compute rotation numbers.

```
☺ CF[ω_ . ε_ E] := CF[ω] × CF / @ ε;
CF[ε_List] := CF / @ ε;
CF[ε_] := Module[{vs, ps, c},
  vs = Cases[ε, (x | p | ε | π | g) __, ∞] ∪ {ε};
  Total[CoefficientRules[Expand[ε], vs] /.
    (ps_ → c_) ⇒ Factor[c] (Times @@ vs^ps)]];
```

Integration using Picard iteration. The **core is in yellow** and **hacks are in pink**.

☺ E /: E[A_] × E[B_] := E[A + B];

☺ \$π = Identity; (* The Wisdom Projection *)

☺ Unprotect[Integrate];

```
∫ ω_ . E[L_] d(vs_List) :=
Module[{n, L0, Q, Δ, G, Z0, Z, λ, DZ, DDZ,
  FZ, a, b},
  n = Length@vs; L0 = L /. ε → 0;
  Q = Table[(-∂_vs[[a], vs[[b]] L0) /. Thread[vs → 0] /.
    (p | x) → 0, {a, n}, {b, n}];
  If[(Δ = Det[Q]) == 0, Return@"Degenerate Q!"];
  Z = Z0 = CF@$π[L + vs.Q.vs / 2]; G = Inverse[Q];
  FixedPoint[(DZ = Table[∂_v Z, {v, vs}];
    DDZ = Table[∂_i DZ, {u, vs}];
    FZ = Sum[G[[a, b]] (DDZ[[a, b]] + DZ[[a]] × DZ[[b]],
      {a, n}, {b, n}) / 2;
    Z = CF[Z0 + ∫_0^λ $π[FZ] dλ] &, Z];
  PowerExpand@Factor[ω Δ^(-1/2) ×
    E[CF[Z /. λ → 1 /. Thread[vs → 0]]]];
Protect[Integrate];
```

☺ ∫ E[-μ x² / 2 + i ξ x] d{x}

☐ E[-ξ² / (2μ)] / √μ

☺ FofG = ∫ E[-μ (x - a)² / 2 + i ξ x] d{x}

☐ E[(i (2aμ + i ξ) ξ) / (2μ)] / √μ

☺ ∫ FofG E[-i ξ x] d{ξ}

☐ E[-1/2 (a - x)² μ]

So we've tested and nearly proven the Fourier inversion formula!



Joseph Fourier

$$\odot L = -\frac{1}{2} \{x_1, x_2\} \cdot \begin{pmatrix} a & b \\ b & c \end{pmatrix} \cdot \{x_1, x_2\} + \{\xi_1, \xi_2\} \cdot \{x_1, x_2\};$$

$$\odot Z12 = \int E[L] d\{x_1, x_2\}$$

$$\square E \left[\frac{c \xi_1^2}{2(-b^2 + ac)} + \frac{b \xi_1 \xi_2}{b^2 - ac} + \frac{a \xi_2^2}{2(-b^2 + ac)} \right] \sqrt{-b^2 + ac}$$

$$\odot \{Z1 = \int E[L] d\{x_1\}, Z12 = \int Z1 d\{x_2\}\}$$

$$\square E \left[-\frac{(-b^2 + ac) x_2^2}{2a} - \frac{b x_2 \xi_1}{a} + \frac{\xi_1^2}{2a} + x_2 \xi_2 \right] \sqrt{a}, \text{ True}$$

$$\odot \$\pi = \text{Normal}[\# + O[\epsilon]^{13}] \&; \int E[-\phi^2/2 + \epsilon \phi^3/6] d\{\phi\}$$

$$\square E \left[\frac{5\epsilon^2}{24} + \frac{5\epsilon^4}{16} + \frac{1105\epsilon^6}{1152} + \frac{565\epsilon^8}{128} + \frac{82825\epsilon^{10}}{3072} + \frac{19675\epsilon^{12}}{96} \right]$$

From <https://oeis.org/A226260>:

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(Greetings from [The On-Line Encyclopedia of Integer Sequences!](https://oeis.org/))

A226260 Numerators of mass formula for connected vacuum graphs on 2n nodes for a phi^3 field theory.
1, 5, 5, 1105, 565, 82825, 19675, 1282031525, 80727925, 1683480621875, 13209845125,
2239646759308375, 19739117098375, 6320791709083309375, 32468078556378125, 38362676768845045751875,
281365778405032973125, 2824650747089425586152484375, 776632157034116712734375 (list; graph; refs; listen;
history; text; internal format)

The Right-Handed Trefoil.

☺ K = Mirror@Knot[3, 1]; Features[K]

☐ Features[7, C4[-1] X1,5[1] X3,7[1] X6,2[1]]

```
☺ L[Xi_, j_ [s_]] := T^(s/2) E[
  xi (pi_{i+1} - pi) + xj (pj_{+1} - pj) +
  (T^s - 1) xi (pi_{i+1} - pj_{+1}) +
  (ε s / 2) ×
  (xi (pi - pj) ((T^s - 1) xi pj + 2 (1 - xj pj)) - 1)]
L[Ci_ [φ_]] := T^(φ/2) E[xi (pi_{i+1} - pi) + ε φ (1/2 - xi pi)]
L[K_] := CF[L / @ Features[K] [[2]]]
vs[K_] :=
Join @@ Table[{pi_i, xi_i}, {i, Features[K] [[1]]}]
```

☺ {vs[K], L[K]}

☐ {p1, x1, p2, x2, p3, x3, p4, x4, p5, x5, p6, x6, p7, x7},

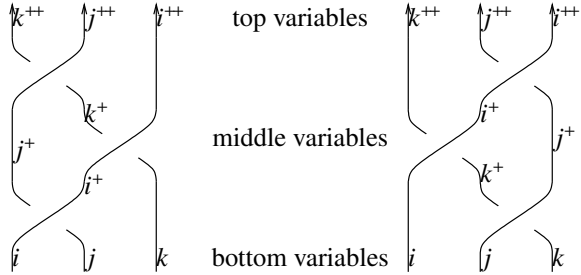
$$T E \left[-2 \epsilon - p_1 x_1 + \epsilon p_1 x_1 + T p_2 x_1 - \epsilon p_5 x_1 + (1 - T) p_6 x_1 + \right. \\ \frac{1}{2} (-1 + T) \epsilon p_1 p_5 x_1^2 + \frac{1}{2} (1 - T) \epsilon p_5^2 x_1^2 - p_2 x_2 + p_3 x_2 - p_3 x_3 + \\ \epsilon p_3 x_3 + T p_4 x_3 - \epsilon p_7 x_3 + (1 - T) p_8 x_3 + \frac{1}{2} (-1 + T) \epsilon p_3 p_7 x_3^2 + \\ \frac{1}{2} (1 - T) \epsilon p_7^2 x_3^2 - p_4 x_4 + \epsilon p_4 x_4 + p_5 x_4 - p_5 x_5 + p_6 x_5 - \\ \epsilon p_1 p_5 x_1 x_5 + \epsilon p_5^2 x_1 x_5 - \epsilon p_2 x_6 + (1 - T) p_3 x_6 - p_6 x_6 + \\ \epsilon p_6 x_6 + T p_7 x_6 + \epsilon p_2^2 x_2 x_6 - \epsilon p_2 p_6 x_2 x_6 + \frac{1}{2} (1 - T) \epsilon p_2^2 x_6^2 + \\ \left. \frac{1}{2} (-1 + T) \epsilon p_2 p_6 x_6^2 - p_7 x_7 + p_8 x_7 - \epsilon p_3 p_7 x_3 x_7 + \epsilon p_7^2 x_3 x_7 \right]$$

$$\textcircled{\$} \pi = \text{Normal}[\# + \mathbf{0}[\epsilon]^2] \ \&\int \mathcal{L}[K] \ \text{d vs}[K]$$

$$\square \frac{i \ T \ E \left[- \frac{(-1+T)^2 (1+T^2) \epsilon}{(1-T+T^2)^2} \right]}{1 - T + T^2}$$

A faster program to compute ρ_1 , and more stories about it, are at [BV2].

Invariance Under Reidemeister 3.



Reidemeister

$$\begin{aligned} \textcircled{\$} \text{lhs} &= \int (\mathcal{L} / @ (X_{i,j}[1] X_{i+1,k}[1] X_{j+1,k+1}[1])) \\ &\quad \text{d}\{p_{i+1}, p_{j+1}, p_{k+1}, x_{i+1}, x_{j+1}, x_{k+1}\}; \\ \text{rhs} &= \int (\mathcal{L} / @ (X_{j,k}[1] X_{i,k+1}[1] X_{i+1,j+1}[1])) \\ &\quad \text{d}\{x_{i+1}, p_{i+1}, p_{j+1}, p_{k+1}, x_{j+1}, x_{k+1}\}; \\ \text{lhs} &== \text{rhs} \end{aligned}$$

$\square$ False

Invariance Under Reidemeister 3, Take 2.

$$\begin{aligned} \textcircled{\$} \text{lhs} &= \int (\mathcal{L} / @ (X_{i,j}[1] X_{i+1,k}[1] X_{j+1,k+1}[1])) \\ &\quad \text{d}\{x_i, x_j, x_k, p_{i+1}, p_{j+1}, p_{k+1}, x_{i+1}, x_{j+1}, x_{k+1}\}; \\ \text{rhs} &= \int (\mathcal{L} / @ (X_{j,k}[1] X_{i,k+1}[1] X_{i+1,j+1}[1])) \\ &\quad \text{d}\{x_i, x_j, x_k, x_{i+1}, p_{i+1}, p_{j+1}, p_{k+1}, x_{j+1}, x_{k+1}\}; \\ \text{lhs} &== \text{rhs} \end{aligned}$$

$\square$ True

$\textcircled{\$} \text{lhs}$

$\square$ Degenerate Q!

Invariance Under Reidemeister 3, Take 3.

$$\begin{aligned} \textcircled{\$} \text{lhs} &= \int (\mathbb{E} [i \ \pi_i \ p_i + i \ \pi_j \ p_j + i \ \pi_k \ p_k] \times \mathcal{L} / @ (X_{i,j}[1] X_{i+1,k}[1] X_{j+1,k+1}[1])) \\ &\quad \text{d}\{p_i, p_j, p_k, x_i, x_j, x_k, p_{i+1}, p_{j+1}, p_{k+1}, x_{i+1}, x_{j+1}, x_{k+1}\}; \\ \text{rhs} &= \int (\mathbb{E} [i \ \pi_i \ p_i + i \ \pi_j \ p_j + i \ \pi_k \ p_k] \times \mathcal{L} / @ (X_{j,k}[1] X_{i,k+1}[1] X_{i+1,j+1}[1])) \\ &\quad \text{d}\{p_i, p_j, p_k, x_i, x_j, x_k, p_{i+1}, p_{j+1}, p_{k+1}, x_{i+1}, x_{j+1}, x_{k+1}\}; \\ \text{lhs} &= \text{rhs} \end{aligned}$$

$\square$ True

$\textcircled{\$} \text{lhs}$

$$\begin{aligned} \square T^{3/2} \mathbb{E} \left[- \frac{3\epsilon}{2} + i \ T^2 \ p_{2+i} \ \pi_i - i \ (-1+T) \ T \ p_{2+j} \ \pi_i + i \ T^2 \in p_{2+j} \ \pi_i - i \ (-1+T) \ p_{2+k} \ \pi_i + \right. \\ i \ T \in p_{2+k} \ \pi_i - \frac{1}{2} \ (-1+T) \ T^3 \in p_{2+i} \ p_{2+j} \ \pi_i^2 + \frac{1}{2} \ (-1+T) \ T^3 \in p_{2+j}^2 \ \pi_i^2 - \\ \frac{1}{2} \ (-1+T) \ T^2 \in p_{2+i} \ p_{2+k} \ \pi_i^2 + \frac{1}{2} \ (-1+T)^2 \ T \in p_{2+j} \ p_{2+k} \ \pi_i^2 + \\ \frac{1}{2} \ (-1+T) \ T \in p_{2+k}^2 \ \pi_i^2 + i \ T \ p_{2+j} \ \pi_j - i \ T \in p_{2+j} \ \pi_j - i \ (-1+T) \ p_{2+k} \ \pi_j + \\ i \ (-1+2T) \in p_{2+k} \ \pi_j + T^3 \in p_{2+i} \ p_{2+j} \ \pi_i \ \pi_j - T^3 \in p_{2+j}^2 \ \pi_i \ \pi_j - \\ (-1+T) \ T^2 \in p_{2+i} \ p_{2+k} \ \pi_i \ \pi_j + (-1+T)^2 \ T \in p_{2+j} \ p_{2+k} \ \pi_i \ \pi_j + \\ (-1+T) \ T \in p_{2+k}^2 \ \pi_i \ \pi_j - \frac{1}{2} \ (-1+T) \ T \in p_{2+j} \ p_{2+k} \ \pi_j^2 + \frac{1}{2} \ (-1+T) \ T \in p_{2+k}^2 \ \pi_j^2 + \\ i \ p_{2+k} \ \pi_k - 2 \ i \in p_{2+k} \ \pi_k + T^2 \in p_{2+i} \ p_{2+k} \ \pi_i \ \pi_k - (-1+T) \ T \in p_{2+j} \ p_{2+k} \ \pi_i \ \pi_k - \\ \left. T \in p_{2+k}^2 \ \pi_i \ \pi_k + T \in p_{2+j} \ p_{2+k} \ \pi_j \ \pi_k - T \in p_{2+k}^2 \ \pi_j \ \pi_k \right] \end{aligned}$$

Invariance under the other Reidemeister moves is proven in a similar way. See IType.nb at [ωεβ/ap](#).

There's more! To get sl_2 invariants mod ϵ^3 , add the following to $L(X_{ij}^+)$, $L(X_{ij}^-)$, and $L(C_i^\varphi)$, respectively (and see More.nb at [ωεβ/ap](#) for the verifications):

$$\textcircled{\$} \epsilon^2 \ r_2[1, i, j]$$

$$\begin{aligned} \square \frac{1}{12} \epsilon^2 \left(-6 \ p_i \ x_i + 6 \ p_j \ x_i - 3 \ (-1+3T) \ p_i \ p_j \ x_i^2 + \right. \\ 3 \ (-1+3T) \ p_j^2 \ x_i^2 + 4 \ (-1+T) \ p_i^2 \ p_j \ x_i^3 - 2 \ (-1+T) \ (5+T) \ p_i \ p_j^2 \ x_i^3 + \\ 2 \ (-1+T) \ (3+T) \ p_j^3 \ x_i^3 + 18 \ p_i \ p_j \ x_i \ x_j - 18 \ p_j^2 \ x_i \ x_j - 6 \ p_i^2 \ p_j \ x_i^2 \ x_j + \\ \left. 6 \ (2+T) \ p_i \ p_j^2 \ x_i^2 \ x_j - 6 \ (1+T) \ p_j^3 \ x_i^2 \ x_j - 6 \ p_i \ p_j^2 \ x_i \ x_j^2 + 6 \ p_j^3 \ x_i \ x_j^2 \right) \end{aligned}$$

$$\textcircled{\$} \epsilon^2 \ r_2[-1, i, j]$$

$$\begin{aligned} \square \frac{1}{12 T^2} \epsilon^2 \left(-6 \ T^2 \ p_i \ x_i + 6 \ T^2 \ p_j \ x_i + \right. \\ 3 \ (-3+T) \ T \ p_i \ p_j \ x_i^2 - 3 \ (-3+T) \ T \ p_j^2 \ x_i^2 - 4 \ (-1+T) \ T \ p_i^2 \ p_j \ x_i^3 + \\ 2 \ (-1+T) \ (1+5T) \ p_i \ p_j^2 \ x_i^3 - 2 \ (-1+T) \ (1+3T) \ p_j^3 \ x_i^3 + \\ 18 \ T^2 \ p_i \ p_j \ x_i \ x_j - 18 \ T^2 \ p_j^2 \ x_i \ x_j - 6 \ T^2 \ p_i^2 \ p_j \ x_i^2 \ x_j + 6 \ T \ (1+2T) \ p_i \ p_j^2 \ x_i^2 \ x_j - \\ \left. 6 \ T \ (1+T) \ p_j^3 \ x_i^2 \ x_j - 6 \ T^2 \ p_i \ p_j^2 \ x_i \ x_j^2 + 6 \ T^2 \ p_j^3 \ x_i \ x_j^2 \right) \end{aligned}$$

$$\textcircled{\$} \epsilon^2 \ \gamma_2[\varphi, i]$$

$$\square - \frac{1}{2} \epsilon^2 \ \varphi^2 \ p_i \ x_i$$

Even more! • The sl_2 formulas mod ϵ^4 are in the last page of the handout of [BN3].

- Using [GPV] we can show that every finite type invariant is I-Type.
- Probably, $\langle \text{Reshetikhin-Turaev} \rangle \subset \langle \text{I-Type} \rangle$ efficiently.
- Possibly, $\langle \text{Rozansky Polynomials} \rangle \subset \langle \text{I-Type} \rangle$ efficiently.
- Knot signatures are I-Type, at least mod 8.
- We already have some work on sl_3 , and it leads to the strongest genuinely-computable knot invariant presently known.

The $sl_3^{\epsilon^2}$ Example [BV3]. Here we have two formal variables $T_1 \otimes F_1[\{s_-, i_-, j_-\}] := CF[$

$\ominus T_3 = T_1 T_2; i_-^+ := i + 1;$
 $\$ \pi =$
 $(CF@Normal[\# + O[\epsilon]^2] / .$
 $\{\pi_{is_} \Rightarrow B^{-1} \pi_{is}, x_{is_} \Rightarrow B^{-1} x_{is}, p_{is_} \Rightarrow B p_{is}\} / .$
 $\in B^{b-} / ; b < 0 \rightarrow 0 / . B \rightarrow 1) \&;$

$\ominus vs_{i_-} := Sequence[p_{1,i}, p_{2,i}, p_{3,i}, x_{1,i}, x_{2,i}, x_{3,i}];$
 $\mathcal{F}[is_] := E[Sum[\pi_{v,i} p_{v,i}, \{i, \{is\}\}, \{v, 3\}]];$
 $\mathcal{L}[K_] := CF[\mathcal{L} / @ Features[K][[2]]];$
 $vs[K_] :=$
 $Union@@Table[\{vs_i\}, \{i, Features[K][[1]]\}]$

The Lagrangian.

$\ominus \mathcal{L}[X_{i,j}, [s_]] := T_3^s E[CF@Plus[$
 $\sum_{v=1}^3 (x_{vi} (p_{vi+} - p_{vi}) + x_{vj} (p_{vj+} - p_{vj}) + (T_v^s - 1) x_{vi} (p_{vi+} - p_{vj+})),$
 $(T_1^s - 1) p_{3j} x_{1i} (T_2^s x_{2i} - x_{2j}),$
 $\in s (T_3^s - 1) p_{1j} (p_{2i} - p_{2j}) x_{3i} / (T_2^s - 1),$
 $\in s (1/2 + T_2^s p_{1i} p_{2j} x_{1i} x_{2i} - p_{1i} p_{2j} x_{1i} x_{2j} - p_{3i} x_{3i} -$
 $(T_2^s - 1) p_{2j} p_{3i} x_{2i} x_{3i} + (T_3^s - 1) p_{2j} p_{3j} x_{2i} x_{3i} +$
 $2 p_{2j} p_{3i} x_{2j} x_{3i} + p_{1i} p_{3j} x_{1i} x_{3j} - p_{2i} p_{3j} x_{2i} x_{3j} -$
 $T_2^s p_{2j} p_{3j} x_{2i} x_{3j} +$
 $(T_1^s - 1) p_{1j} x_{1i} (T_2^s p_{2j} x_{2i} - T_2^s p_{2j} x_{2j} -$
 $(T_2^s + 1) (T_3^s - 1) p_{3j} x_{3i} + T_2^s p_{3j} x_{3j}) +$
 $(T_3^s - 1) p_{3j} x_{3i} (1 - T_2^s p_{1i} x_{1i} + p_{2i} x_{2j} + (T_2^s - 2) p_{2j} x_{2j})) /$
 $(T_2^s - 1))]]$

$\ominus \mathcal{L}[C_{i_-}[\varphi_]] := T_3^\varphi E[\sum_{v=1}^3 x_{vi} (p_{vi+} - p_{vi}) + \in \varphi (p_{3i} x_{3i} - 1/2)]$

Reidemeister 3.

$\ominus Short[$
 $lhs = \int \mathcal{F}[i, j, k] \times \mathcal{L} / @ (X_{i,j}[1] X_{i^+,k}[1] X_{j^+,k^+}[1])$
 $\mathcal{d}\{vs_i, vs_j, vs_k, vs_{i^+}, vs_{j^+}, vs_{k^+}\}$

$\sqsubseteq T_1^3 T_2^3$
 $E[\frac{3\epsilon}{2} + T_1^2 p_{1,2+i} \pi_{1,i} - (-1 + T_1) T_1 p_{1,2+j} \pi_{1,i} + \ll 150 \gg]$

$\ominus rhs = \int \mathcal{F}[i, j, k] \times \mathcal{L} / @ (X_{j,k}[1] X_{i,k^+}[1] X_{i^+,j^+}[1])$
 $\mathcal{d}\{vs_i, vs_j, vs_k, vs_{i^+}, vs_{j^+}, vs_{k^+}\};$
 $lhs = rhs$

$\sqsubseteq True$

The Trefoil.

$\ominus K = Knot[3, 1]; \int \mathcal{L}[K] \mathcal{d} vs[K]$

$\sqsubseteq -((i T_1^2 T_2^2$
 $E[-((\in (1 - T_1 + T_1^2 - T_2 - T_1^3 T_2 + T_2^2 + T_1^4 T_2^2 - T_1 T_2^3 -$
 $T_1^4 T_2^3 + T_1^2 T_2^4 - T_1^3 T_2^4 + T_1^4 T_2^4)) / ((1 - T_1 + T_1^2)$
 $(1 - T_2 + T_2^2) (1 - T_1 T_2 + T_1^2 T_2^2)))]) /$
 $((1 - T_1 + T_1^2) (1 - T_2 + T_2^2) (1 - T_1 T_2 + T_1^2 T_2^2)))$



$F_1[\{s_-, i_-, j_-\}] := CF[$
 $s (1/2 - g_{3ii} + T_2^s g_{1ii} g_{2ji} - g_{1ii} g_{2jj} - (T_2^s - 1) g_{2ji} g_{3ii} +$
 $2 g_{2jj} g_{3ii} - (1 - T_3^s) g_{2ji} g_{3ji} - g_{2ii} g_{3jj} - T_2^s g_{2ji} g_{3jj} +$
 $g_{1ii} g_{3jj} +$
 $((T_1^s - 1) g_{1ji} (T_2^s g_{2ji} - T_2^s g_{2jj} + T_2^s g_{3jj}) +$
 $(T_3^s - 1) g_{3ji} (1 - T_2^s g_{1ii} - (T_1^s - 1) (T_2^s + 1) g_{1ji} +$
 $(T_2^s - 2) g_{2jj} + g_{2ij})) / (T_2^s - 1)]$

$\ominus F_2[\{s\theta_-, i\theta_-, j\theta_-\}, \{s1_-, i1_-, j1_-\}] :=$
 $CF[s1_-(T_1^{s\theta} - 1) (T_2^{s1} - 1)^{-1} (T_3^{s1} - 1) g_{1,j1,i\theta} g_{3,j\theta,i1}$
 $(T_2^{s\theta} g_{2,i1,i\theta} - g_{2,i1,j\theta}) - (T_2^{s\theta} g_{2,j1,i\theta} - g_{2,j1,j\theta}))]$

$\ominus F_3[\varphi_-, k_-] = \varphi g_{3kk} - \varphi / 2;$

We call the invariant computed θ :

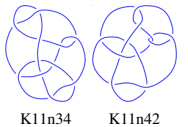
$\ominus \Theta[K_] := \Theta[K] = Module[$
 $\{X, \varphi, n, A, \Delta, G, ev, \theta\},$
 $\{X, \varphi\} = Rot[K]; n = Length[X];$
 $A = IdentityMatrix[2 n + 1];$
 $Cases[X, \{s_-, i_-, j_-\} \Rightarrow$
 $(A[[\{i, j\}, \{i + 1, j + 1\}]] += (-T^s T^s - 1))];$
 $\Delta = T^{(-Total[\varphi] - Total[X[[All, 1]]]) / 2} Det[A];$
 $G = Inverse[A];$
 $ev[\mathcal{E}_-] := Factor[\mathcal{E} / . g_{v,-,\alpha,-,\beta_-} \Rightarrow (G[[\alpha, \beta]] / . T \rightarrow T_v)];$
 $\theta = ev[\sum_{k=1}^n F_1[X[[k]]]];$
 $\theta += ev[\sum_{k1=1}^n \sum_{k2=1}^n F_2[X[[k1]], X[[k2]]]];$
 $\theta += ev[\sum_{k=1}^{T_2^n} F_3[\varphi[[k]], k]];$
 $Factor@{\Delta, (\Delta / . T \rightarrow T_1) (\Delta / . T \rightarrow T_2) (\Delta / . T \rightarrow T_3) \theta}$
 $];$

Some Knots.

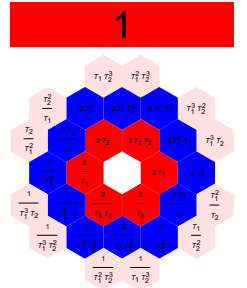
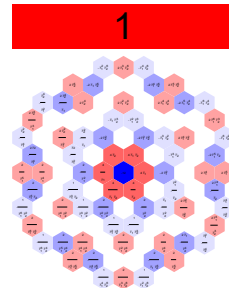
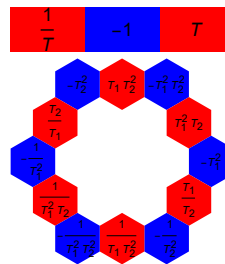
$\ominus Expand[\Theta[Knot[3, 1]]]$
 $\sqsubseteq \{-1 + \frac{1}{T} + T, -\frac{1}{T^2} - T^2 - \frac{1}{T^2} - \frac{1}{T_1^2 T_2^2} + \frac{1}{T_1 T_2^2} +$
 $\frac{1}{T_1^2 T_2} + \frac{T_1}{T_2} + \frac{T_2}{T_1} + T_1^2 T_2 - T_2^2 + T_1 T_2^2 - T_1^2 T_2^2\}$

$\ominus (* PolyPlot suppressed *)$

$\ominus GraphicsRow[PolyPlot[\Theta[Knot[#]],$
 $Labeled \rightarrow True] \&$
 $/@ \{"3_1", "K11n34", "K11n42"\}]$



$\sqsubseteq$



So θ detects knot mutation and separates the Conway knot K11n34 from the Kinoshita-Terasaka knot K11n42!



Conway



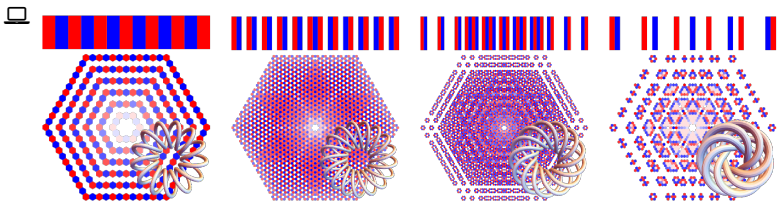
Kinoshita



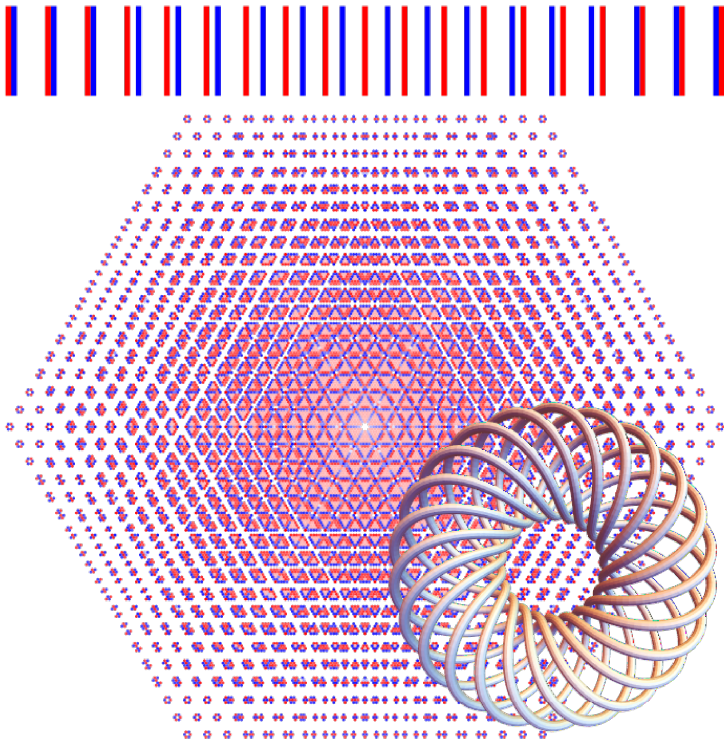
Terasaka

A faster program, in which the Feynman diagrams are “pre-computed” (see theta.nb at [wefß/ap](https://www.wolfram.com/language/notebooks/theta.nb)):

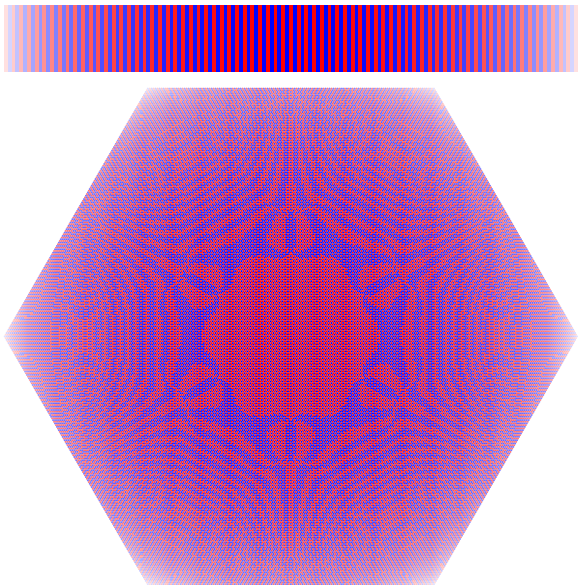

```
☺ GraphicsRow[ImageCompose[
  PolyPlot[Θ[TorusKnot @@ #], ImageSize → 480],
  TubePlot[TorusKnot @@ #, ImageSize → 240],
  {Right, Bottom}, {Right, Bottom}
] & /@ {{13, 2}, {17, 3}, {13, 5}, {7, 6}}]
```



The torus knot $T_{22/7}$:

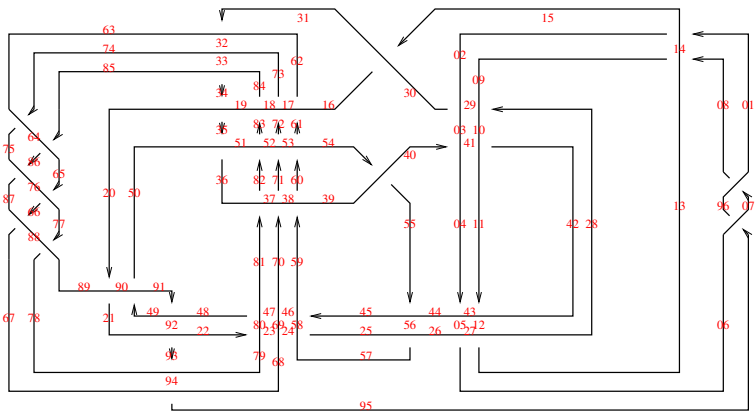


Next, a random 300 crossing knot from [DHOEBL] (more at $\omega\epsilon\beta$ /DK):



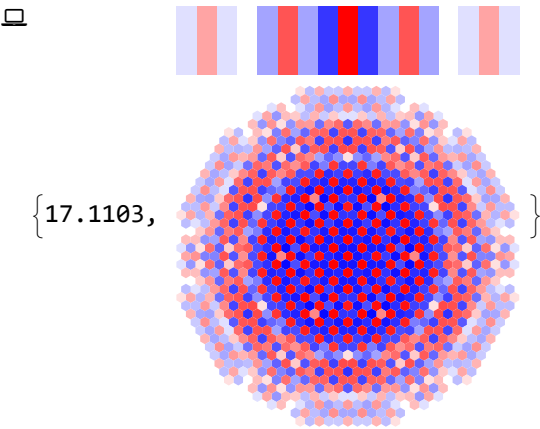
Unproven Fact. For any knot K , twice its genus $g(K)$ bounds the T_1 degree of θ : $\deg_{T_1} \theta(K) \leq 2g(K)$.

The 48-crossing Gompf-Scharlemann-Thompson GST_{48} knot [GST] is significant because it may be a counterexample to the slice-ribbon conjecture:



☺ $GST_{48} = EPD[X_{14,1}, \bar{X}_{2,29}, X_{3,40}, X_{43,4}, \bar{X}_{26,5}, X_{6,95}, X_{96,7}, X_{13,8}, \bar{X}_{9,28}, X_{10,41}, X_{42,11}, \bar{X}_{27,12}, X_{30,15}, \bar{X}_{16,61}, \bar{X}_{17,72}, \bar{X}_{18,83}, X_{19,34}, \bar{X}_{89,20}, \bar{X}_{21,92}, \bar{X}_{79,22}, \bar{X}_{68,23}, \bar{X}_{57,24}, \bar{X}_{25,56}, X_{62,31}, X_{73,32}, X_{84,33}, \bar{X}_{50,35}, X_{36,81}, X_{37,70}, X_{38,59}, \bar{X}_{39,54}, X_{44,55}, X_{58,45}, X_{69,46}, X_{80,47}, X_{48,91}, X_{90,49}, X_{51,82}, X_{52,71}, X_{53,60}, \bar{X}_{63,74}, \bar{X}_{64,85}, \bar{X}_{76,65}, \bar{X}_{87,66}, \bar{X}_{67,94}, \bar{X}_{75,86}, \bar{X}_{88,77}, \bar{X}_{78,93}];$

```
AbsoluteTiming[PolyPlot[Θ48 = Θ@GST48, ImageSize → Small]]
```



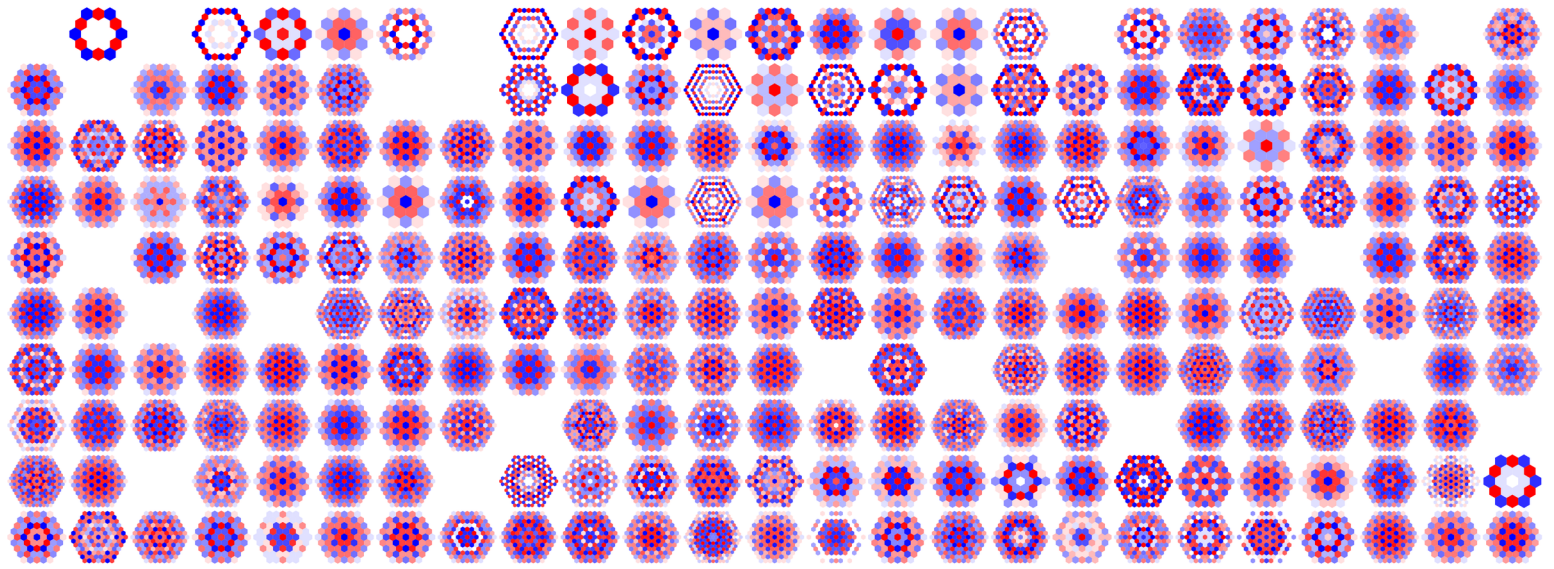
```
☺ {Exponent[Θ48[[1]], T], Floor[Exponent[Θ48[[2]], T2] / 2]}
☹ {8, 10}
```

So Θ knows things about GST_{48} that Δ doesn't!



Prior Art. θ is probably equal to the “2-loop polynomial” studied by Ohtsuki [Oh2] (at greater difficulty, with harder computations), continuing B-N, Garoufalidis, Rozansky, Kricker, and Schaveling [BNG, GR, R1, R2, R3, Kr, Sch]. θ is related, but probably not equivalent, to the invariant studied by Garoufalidis-Kashaev [GK].

The Rolfsen Table of Knots.



Where is it coming from? The most honest answer is “we don’t know” (and *that’s good!*). The second most, “undetermined coefficients for an ansatz that made sense”. The ansatz comes from the following principles / earlier work:

Morphisms have generating functions. Indeed, there is an isomorphism

$$\mathcal{G}: \text{Hom}(\mathbb{Q}[x_i], \mathbb{Q}[y_j]) \rightarrow \mathbb{Q}[y_j][[\xi_i]],$$

and by PBW, many relevant spaces are polynomial rings, though only as vector spaces.

Composition is integration. Indeed, if $f \in \text{Hom}(\mathbb{Q}[x_i], \mathbb{Q}[y_j])$ and $g \in \text{Hom}(\mathbb{Q}[y_j], \mathbb{Q}[z_k])$, then

$$\mathcal{G}(g \circ f) = \int e^{-y \cdot \eta} f g \, dy \, d\eta$$

Use universal invariants. These take values in a universal enveloping algebra (perhaps quantized), and thus they are expressible as long compositions of generating functions. See [La, Oh1].

“Solvable approximation” $\leadsto$ perturbed Gaussians. Let $\mathfrak{g}$ be a semisimple Lie algebra, let $\mathfrak{h}$ be its Cartan subalgebra, and let $\mathfrak{b}^u$ and $\mathfrak{b}^l$ be its upper and lower Borel subalgebras. Then $\mathfrak{b}^u$ has a bracket β , and as the dual of $\mathfrak{b}^l$ it also has a cobracket δ , and in fact, $\mathfrak{g} \oplus \mathfrak{h} \equiv \text{Double}(\mathfrak{b}^u, \beta, \delta)$. Let $\mathfrak{g}_\epsilon^+ := \text{Double}(\mathfrak{b}^u, \beta, \epsilon\delta) \pmod{\epsilon^{d+1}}$ it is solvable for any d . Then by [BV3, BN1] (in the case of $\mathfrak{g} = \mathfrak{sl}_2$) all the interesting tensors of $\mathcal{U}(\mathfrak{g}_\epsilon^+)$ (quantized or not) are perturbed Gaussian with perturbation parameter ϵ with understood bounds on the degrees of the perturbations.

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Thanks for bearing with me!