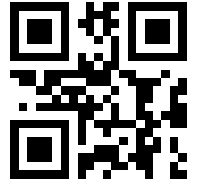


# Dror Bar-Natan — Handout Portfolio

As of October 26, 2023 — see also <http://drorbn.net/hp> — paper copies are available from the author, at a muffin plus cappuccino each or the monetary equivalent (to offset printing costs). This document has 48 pages.



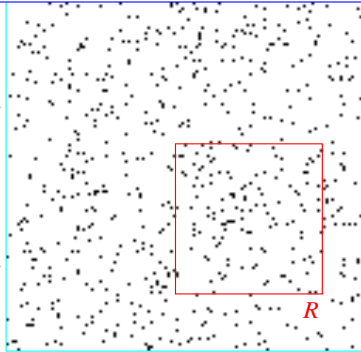
**Warning.** This is a reduced quality version with some content removed to control file size. My full handout portfolio is at the URL above.

**Abstract.** Following joint work with Itai Bar-Natan, Iva Halacheva, and Nancy Scherich, I will show that the Best Known Time (BKT) to compute a typical Finite Type Invariant (FTI) of type  $d$  on a typical knot with  $n$  crossings is roughly equal to  $n^{d/2}$ , which is roughly the square root of what I believe was the standard belief before, namely about  $n^d$ .

**My Primary Interest.** Strong, fast, homomorphic knot and tangle invariants.  $\omega\epsilon\beta/\text{Nara}$ ,  $\omega\epsilon\beta/\text{Kyoto}$ ,  $\omega\epsilon\beta/\text{Tokyo}$

**Conventions.** •  $\underline{n} := \{1, 2, \dots, n\}$ . • For complexity estimates we ignore constant and logarithmic terms:  $n^3 \sim 2023d!(\log n)^d n^3$ .

**A Key Preliminary.** Let  $Q \subset \underline{n}^l$  be an enumerated subset, with  $1 \ll q = |Q| \ll n^l$ . In time  $\sim q$  we can set up a lookup table of size  $\sim q$  so that we will be able to compute  $|Q \cap R|$  in time  $\sim 1$ , for any rectangle  $R \subset \underline{n}^l$ .

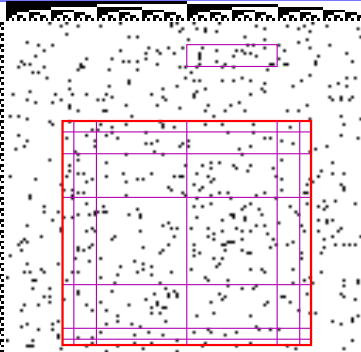


**Fails.** • Count after  $R$  is presented. • Make a lookup table of  $|Q \cap R|$  counts for all  $R$ 's.

**Unfail.** Make a restricted lookup table of the form

$$\left\{ \begin{array}{l} R \rightarrow |Q \cap R| \\ \text{dyadic} \\ > 0 \end{array} \right\}$$

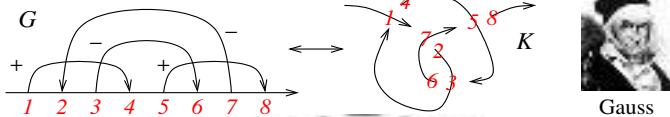
• Make the table by running through  $x \in Q$ , and for each one increment by 1 only the entries for dyadic  $R \ni x$  (or create such an entry, if it didn't exist already). This takes  $q \cdot (\log_2 n)^l \sim q$  ops.



- Entries for empty dyadic  $R$ 's are not needed and not created.
- Using standard sorting techniques, access takes  $\log_2 q \sim 1$  ops.
- A general  $R$  is a union of at most  $(2 \log_2 n)^l \sim 1$  dyadic ones, so counting  $|Q \cap R|$  takes  $\sim 1$  ops.

**Generalization.** Without changing the conclusion, replace counts  $|Q \cap R|$  with summations  $\sum_R \theta$ , where  $\theta: \underline{n}^l \rightarrow V$  is supported on a sparse  $Q$ , takes values in a vector space  $V$  with  $\dim V \sim 1$ , and in some basis, all of its coefficients are "easy".

**Gauss Diagrams.**

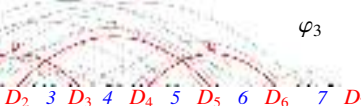


Here's  $|G| = n = 100$  (signs suppressed):



**Definitions.** Let  $\mathcal{G} := \mathbb{Q}\langle \text{Gauss Diagrams} \rangle$ , with  $\mathcal{G}_d / \mathcal{G}_{\leq d}$  the diagrams with exactly / at most  $d$  arrows. Let  $\varphi_d: \mathcal{G} \rightarrow \mathcal{G}_d$  be  $\varphi_d: G \mapsto \sum_{D \subset G, |D|=d} D = \sum_{D \in \binom{G}{d}} D$ , and let  $\varphi_{\leq d} = \sum_{e \leq d} \varphi_e$ .

Naively, it takes  $\binom{n}{d} \sim n^d$  ops to compute  $\varphi_d$ .



**The [GPV] Theorem.** A knot invariant is finite type of type  $d$  iff it is of the form  $\omega \circ \varphi_{\leq d}$  for some  $\omega \in \mathcal{G}_{\leq d}^*$ .

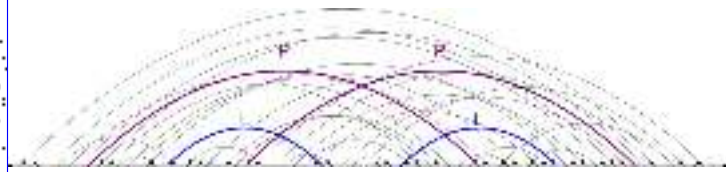


Goussarov-Polyak-Viro

- $\Leftarrow$  is easy;  $\Rightarrow$  is hard and IMHO not well understood.
- $\varphi_{\leq d}$  is not an invariants and not every  $\omega$  gives an invariant!
- The theory of finite type invariants is very rich. Many knot invariants factor through finite type invariants, and it is possible that they separate knots.
- We need a fast algorithm to compute  $\varphi_{\leq d}$ !

**Our Main Theorem.** On an  $n$ -arrow Gauss diagram,  $\varphi_d$  can be computed in time  $\sim n^{d/2}$ .

**Proof.** With  $d = p + l$  ( $p$  for "put",  $l$  for "lookup"), pick  $p$  arrows and look up in how many ways the remaining  $l$  can be placed in between the legs of the first  $p$ :



To reconstruct  $D = P\#_{\lambda}L$  from  $P$  and  $L$  we need a non-decreasing "placement function"  $\lambda: \underline{2l} \rightarrow \underline{2p+1}$ .




$$\varphi_d(G) = \sum_{D \in \binom{G}{d}} D = \binom{d}{p}^{-1} \sum_{P \in \binom{G}{p}} \sum_{\substack{\text{non-decreasing} \\ \lambda: \underline{2l} \rightarrow \underline{2p+1}}} \sum_{L \in \binom{G}{l}} P\#_{\lambda}L$$

Define  $\theta_G: \underline{2n} \rightarrow \mathcal{G}_l$  by

$$(L_1, \dots, L_{2l}) \mapsto \begin{cases} L & \text{if } (L_1, \dots, L_{2l}) \text{ are the ends of some } L \subset G \\ 0 & \text{otherwise} \end{cases}$$

$$\text{and now } \varphi_d(G) = \binom{d}{p}^{-1} \sum_{P \in \binom{G}{p}} \sum_{\substack{\text{non-decreasing} \\ \lambda: \underline{2l} \rightarrow \underline{2p+1}}} P\#_{\lambda} \left( \sum_{\prod_i (P_{\lambda(i)-1}, P_{\lambda(i)})} \theta_G \right)$$

can be computed in time  $\sim n^p + n^l$ . Now take  $p = \lceil d/2 \rceil$ .  $\square$

**Question** ([BBHS],  $\omega\epsilon\beta/\text{Fields}$ ). For computations, planar projections are better than braids (as likely  $l \sim n^{3/2}$ ). But are yarn balls better than planar projections (here likely  $n \sim L^{4/3}$ )?   

**References.**

[BBHS] D. Bar-Natan, I. Bar-Natan, I. Halacheva, and N. Scherich, *Yarn Ball Knots and Faster Computations*, J. of Appl. and Comp. Topology (to appear), arXiv:2108.10923.  
 [GPV] M. Goussarov, M. Polyak, and O. Viro, *Finite type invariants of classical and virtual knots*, Topology **39** (2000) 1045–1068, arXiv:math.GT/9810073.



# Computing the Zombian of an Unfinished Columbarium

Confession. It's about 50% of what I do.

**Apology.** It's a 20 minutes talk. Necessarily, it will be superficial.

**Abstract.** The zombies need to compute a quantity, the zombian, that pertains to some structure — say, a columbarium. But unfortunately (for them), a part of that structure will only be known in the future. What can they compute today with the parts they already have to hasten tomorrow's computation?

That's a common quest, and I will illustrate it with a few examples from knot theory and with two examples about matrices — determinants and signatures. I will also mention two of my dreams (perhaps delusions): that one day I will be able to reproduce, and extend, the Rolfsen table of knots using code of the highest level of beauty.



Columbaria in an East Sydney Cemetery

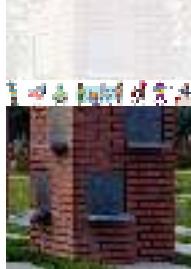
Jacobian, Hamiltonian, Zombian

Image: Freepik.com

Zombies: Freepik.com

## Computing Zombians of Unfinished Columbaria.

- Future zombies must be able to complete the computation.
- Must be no slower than for finished ones.
- Future zombies must not even know the size of the task that today's zombies were facing.
- We must be able to extend to ZPUCs, Zombie Processed Unfinished Columbaria!



Columbarium near Assen

**Exercise 1.** Compute the sum of 1,000 numbers, the last 50 of which are still unknown.

**Exercise 2.** Compute the determinant of a  $1,000 \times 1,000$  matrix in which 50 entries are not yet given.

**Example 3.** Same, for signatures of matrices / quadratic forms.

A **quadratic form** on a v.s.  $V$  over  $\mathbb{C}$  is a quadratic  $Q: V \rightarrow \mathbb{C}$ , or a sesquilinear Hermitian  $\langle \cdot, \cdot \rangle$  on  $V \times V$  (so  $\langle x, y \rangle = \langle y, x \rangle$  and  $Q(y) = \langle y, y \rangle$ ), or given a basis  $\eta_i$  of  $V^*$ , a matrix  $A = (a_{ij})$  with  $A = \bar{A}^T$  and  $Q = \sum a_{ij} \eta_i \eta_j$ . The **signature**  $\sigma$  of  $Q$  is  $\sigma_+ - \sigma_-$ , where for some  $P$ ,  $\bar{P}^T A P = \text{diag}(1, \dots, 1, -1, \dots, -1, 0, \dots)$ .

A **Partial Quadratic (PQ)** on  $V$  is a quadratic  $Q$  defined only on a subspace  $\mathcal{D}_Q \subset V$ . We add PQs with  $\mathcal{D}_{Q_1+Q_2} := \mathcal{D}_{Q_1} \cap \mathcal{D}_{Q_2}$ . Given a linear  $\psi: V \rightarrow W$  and a PQ  $Q$  on  $W$ , there is an obvious **pullback**  $\psi^*Q$ , a PQ on  $V$ .

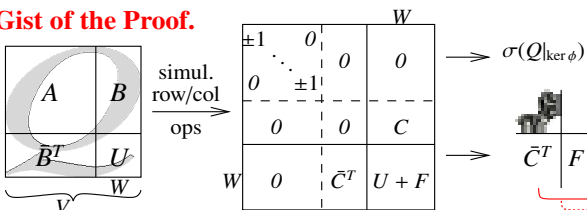
**Theorem 1** (with Jessica Liu). Given a linear  $\phi: V \rightarrow W$  and a PQ  $Q$  on  $V$ , there is a unique **pushforward** PQ  $\phi_*Q$  on  $W$  such that for every PQ  $U$  on  $W$ ,

$$\sigma_V(Q + \phi^*U) = \sigma_{\ker \phi}(Q|_{\ker \phi}) + \sigma_W(U + \phi_*Q).$$



Jessica Liu

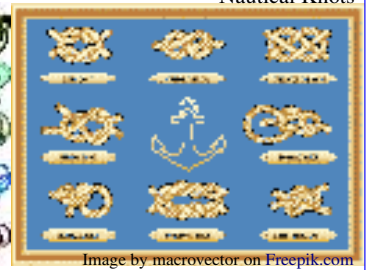
### Gist of the Proof.



... and the quadratic  $F := \phi_*Q$  is well-defined only on  $D := \ker C$ . (more at œβ/icerm.)

**Acknowledgement.** This work was partially supported by NSERC grant RGPIN-2018-04350 and by the Chu Family Foundation (NYC).

## Knots and Tangles.



"Nautical Knots"

Image by macrovector on Freepik.com

**Why Tangles?** • As common as knots!

- Faster computations!
- Conceptually clearer proofs of invariance (and of skein relations).
- Often fun and consequential:
  - The Alexander polynomial  $\leadsto$  Zombian = det.
  - Knot signatures  $\leadsto$  Pushforwards of quadratic forms.
  - The Jones Polynomial  $\leadsto$  The Temperley-Lieb Algebra.
  - Khovanov Homology  $\leadsto$  "Unfinished complexes", complexes in a category.
  - The Kontsevich Integral  $\leadsto$  Drinfel'd Associators. ...

$$2^{n/2} + 2^{n/2} + 2\sqrt{n} \ll 2^n$$

## One more story is left to tell, of knot tabulation.

Two slides from R. Jason Parsley's œβ/history:

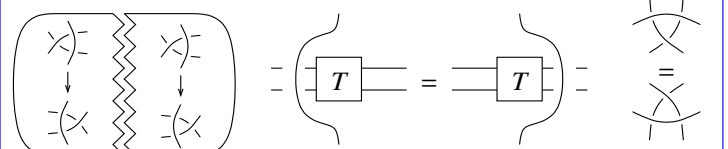
There's also Burton's tabulation to 19 crossings œβ/Burton, and Khesin's K250, arXiv:1705.10319.

**Embarrassment 1 (personal).** I don't know how to reproduce the Rolfsen table of knots! Many others can, yet I still take it on faith, contradicting one of the tenets of our practice, "thou shalt not use what thou canst not prove".

It's harder than it seems! Producing all knot diagrams is a mess, identifying all available Reidemeister moves is a mess, and you sometimes have to go up in crossing number before you can go down again.

**Embarrassment 2 (communal).** There isn't anywhere a tabulation of tangles! When you want to test your new discoveries, where do you go?

**Dream.** Conquer both embarrassments at once. Reproduce the Rolfsen table, and extend it to tangles, using code of the highest level of beauty. The algorithm should be so clear and simple that anyone should be able to easily implement it in an afternoon without messing with any technicalities.



We don't even need to look at all knot diagrams!

The dreaded slide moves, which go up in crossing number, are parameterized by tangles!

R-moves are tangle equalities!



Shifted Partial Quadratics, their Pushforwards, and Signature Invariants for Tangles

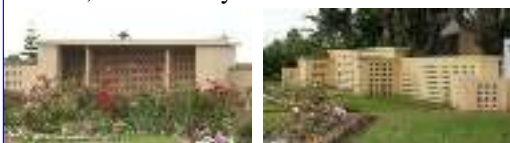
http://drorbn.net/icerm23



Abstract. Following a general discussion of the computation of zombians of unfinished columbaria (with examples), I will tell you about my recent joint work w/ Jessica Liu on what we feel is the "textbook" extension of knot signatures to tangles, which for unknown reasons, is not in any of the textbooks that we know.



Jessica Liu



Columbaria in an East Sydney Cemetery



Jacobian, Hamiltonian, Zombian

A quadratic form on a v.s. V over C is a quadratic Q: V -> C, or a sesquilinear Hermitian <.,.> on V x V (so <x,y> = <y,x> and Q(y) = <y,y>), or given a basis eta\_i of V\*, a matrix A = (a\_ij) with A = A^T and Q = sum a\_ij eta\_i eta\_j. The signature sigma of Q is sigma\_+ - sigma\_-, where for some P, P^T A P = diag(1, ..., 1, -1, ..., -1, 0, ...).

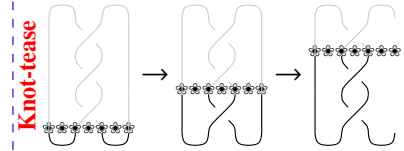
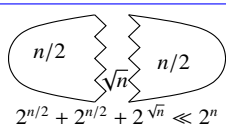
A Partial Quadratic (PQ) on V is a quadratic Q defined only on a subspace D\_Q subset V. We add PQs with D\_{Q1+Q2} := D\_{Q1} union D\_{Q2}. Given a linear psi: V -> W and a PQ Q on W, there is an obvious pullback psi^\* Q, a PQ on V.

Theorem 1. Given a linear phi: V -> W and a PQ Q on V, there is a unique pushforward PQ phi\_\* Q on W such that for every PQ U on W, sigma\_V(Q + phi^\* U) = sigma\_{ker phi}(Q|\_{ker phi}) + sigma\_W(U + phi\_\* Q). (If you must, D(phi\_\* Q) = phi(ann\_Q(D(Q) intersect ker phi)) and (phi\_\* Q)(w) = Q(v), where v is s.t. phi(v) = w and Q(v, rad Q|\_{ker phi}) = 0).

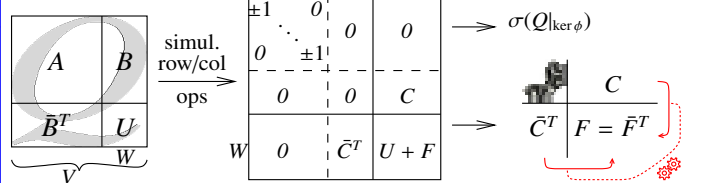
Prior Art on signatures for tangles / braids. Gambaudo and Ghys [GG], Cimasoni and Conway [CC], Conway [Co], Merz [Me]. All define signatures of tangles / braids by first closing them to links and then work hard to derive composition properties.

Why Tangles? • Faster!

- Conceptually clearer proofs of invariance (and of skein relations).
- Often fun and consequential:
  - o The Jones Polynomial ~> The Temperley-Lieb Algebra.
  - o Khovanov Homology ~> "Unfinished complexes", complexes in a category.
  - o The Kontsevich Integral ~> Associators.
  - o HFK ~> OMG, type D, type A, A\_infinity, ...

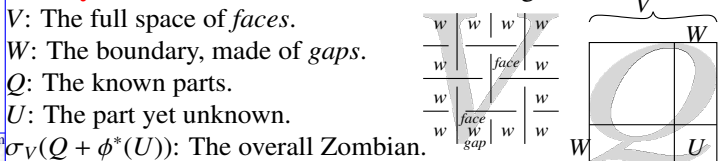


Gist of the Proof.



... and the quadratic F := phi\_\* Q is well-defined only on D := ker C.

Exactly what we want, if the Zombian is the signature!



sigma\_V(Q + phi^\*(U)): The overall Zombian. sigma(Q|\_{ker phi}): An internal bit. U + phi\_\* Q: A boundary bit. And so our ZPUC is the pair S = (sigma(Q|\_{ker phi}), phi\_\* Q).

Computing Zombians of Unfinished Columbaria.

- Must be no slower than for finished ones.
- Future zombies must be able to complete the computation.
- Future zombies must not even know the size of the task that today's zombies were facing.
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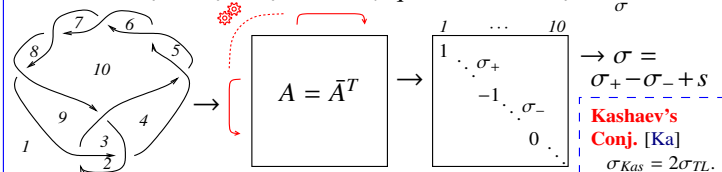


Columbarium near Assen

Example / Exercise. Compute the determinant of a 1,000 x 1,000 matrix in which 50 entries are not yet given.

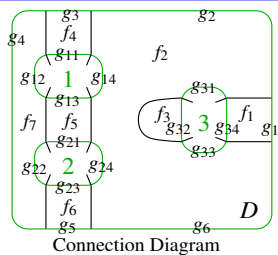
Homework / Research Projects. • What with ZPUCs? • Use this to get an Alexander tangle invariant.

Reminders. {knots} -> {matrices / quadratic forms} -> Z: signature / sigma



Definition. S (cyclic sets) := {SPQ S} on {g\_i}

Theorem 3. {S(cyclic sets)} is a planar algebra, with compositions S(D)((S\_i)) := phi\_\*^D(psi\_D^\*(oplus\_i S\_i)), where psi\_D: <f\_i> -> <g\_{ai}> maps every face of D to the sum of the input gaps adjacent to it and phi^D: <f\_i> -> <g\_i> maps every face of the output gaps adjacent to it. So for our D, psi\_D is f\_1 -> g\_{34}, f\_2 -> g\_{31} + g\_{14} + g\_{24} + g\_{33}, f\_3 -> g\_{32}, f\_4 -> g\_{11}, f\_5 -> g\_{13} + g\_{21}, f\_6 -> g\_{23}, f\_7 -> g\_{12} + g\_{22} and phi^D is f\_1 -> g\_1, f\_2 -> g\_2 + g\_6, f\_3 -> 0, f\_4 -> g\_3, f\_5 -> 0, f\_6 -> g\_5, f\_7 -> g\_4.



Tristram-Levine (TL) and Kashaev (Kas) matrices and their relations.

TL matrix: A += [[-r, -t, 2t, i-bar], [-i-bar, 0, i-bar, 0], [2i-bar, t, -r, -i-bar], [t, 0, -t, 0]]

Kas matrix: A += [[v, u, 1, u], [u, 1, u, 1], [1, u, v, u], [u, 1, u, 1]]

Relations: s = 0, sigma\_Kas = 2\*sigma\_TL

where |omega| = 1, t = 1 - omega, r = t + i-bar, v = Re(omega), and u = Re(omega^{1/2}).

Theorem 4. TL and Kas, defined on X and X-bar as before, extend to planar algebra morphisms {tangles} -> {S}.





**Implementation** (sources: <http://drorbn.net/icerm23/ap>). I like it most when the implementation matches the math perfectly. We failed here.

Once[<< KnotTheory`];

Loading KnotTheory` version

of February 2, 2020, 10:53:45.2097.

Read more at <http://katlas.org/wiki/KnotTheory>.

**Utilities.** The step function, algebraic numbers, canonical forms.

$\theta[x\_]$  /; NumericQ[x\_] := UnitStep[x]

```
 $\omega 2[v\_][p\_]$  := Module[{q = Expand[p], n, c},
  If[q ===  $\theta$ ,  $\theta$ ,
    c = Coefficient[q,  $\omega$ , n = Exponent[q,  $\omega$ ]];
    c  $v^n + \omega 2[v][q - c(\omega + \omega^{-1})^n]$ ];
```

```
sign[ $\mathcal{E}$ _] := Module[{n, d, v, p, rs, e, k},
  {n, d} = NumeratorDenominator[ $\mathcal{E}$ ];
  {n, d} /=  $\omega^{\text{Exponent}[n, \omega] / 2 + \text{Exponent}[n, \omega, \text{Min}] / 2}$ ;
  p = Factor[ $\omega 2[v]@n * \omega 2[v]@d /. v \rightarrow 4u^2 - 2$ ];
  rs = Solve[p ==  $\theta$ , u, Reals];
  If[rs === {}, Sign[p /. u  $\rightarrow \theta$ ],
    rs = Union@{u /. rs};
    Sign[(-1)e=Exponent[p, u] Coefficient[p, u, e]] + Sum[
      k =  $\theta$ ;
      While[{d = RootReduce[ $\partial_{\{u, ++k\}} p /. u \rightarrow r$ ]} ==  $\theta$ ];
      If[EvenQ[k],  $\theta$ , 2 Sign[d]] *  $\theta[u - r]$ ,
      {r, rs}]
  ]
]
```

SetAttributes[B, Orderless];

CF[b\_B] := RotateLeft[#, First@Ordering[#] - 1] & /@ DeleteCases[b, {}]

```
CF[ $\mathcal{E}$ _] := Module[{ $\gamma$ s = Union@Cases[ $\mathcal{E}$ ,  $\gamma$ _ |  $\bar{\gamma}$ _,  $\infty$ ]},
  Total[CoefficientRules[ $\mathcal{E}$ ,  $\gamma$ s] /.
    (ps_  $\rightarrow$  c_)  $\Rightarrow$  Factor[c]  $\times$  Times@@ $\gamma$ sps]
```

CF[{}] = {};

CF[C\_List] :=

```
Module[{ $\gamma$ s = Union@Cases[C,  $\gamma$ _,  $\infty$ ],  $\gamma$ },
  CF /@ DeleteCases[ $\theta$ ] [
    RowReduce[Table[ $\partial_{\gamma} r$ , {r, C}, { $\gamma$ ,  $\gamma$ s}]] .  $\gamma$ s ]
```

( $\mathcal{E}$ )<sup>\*</sup> :=  $\mathcal{E} /. \{\bar{\gamma} \rightarrow \gamma, \gamma \rightarrow \bar{\gamma}, \omega \rightarrow \omega^{-1}, c\_Complex \Rightarrow c^*\}$ ;

r\_Rule<sup>\*</sup> := {r, r<sup>\*</sup>}

RulesOf[ $\gamma_i + rest\_.$ ] := ( $\gamma_i \rightarrow -rest$ )<sup>+</sup>;

```
CF[PQ[C_, q_]] := Module[{nc = CF[C]},
  PQ[nc, CF[q /. Union@@RulesOf /@ nc]] ]
```

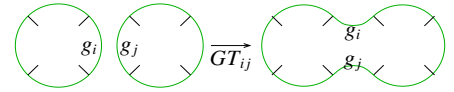
CF[ $\Sigma_b[\sigma, pq\_]$ ] :=  $\Sigma_{CF[b]}[\sigma, CF[pq]]$

## Pretty-Printing.

```
Format[ $\Sigma_{b_B}[\sigma, PQ[C_, q\_]]$ ] := Module[{ $\gamma$ s},
   $\gamma$ s =  $\gamma_{\#}$  & /@ Join@@b;
  Column[{TraditionalForm@ $\sigma$ ,
    TableForm[Join[
      Prepend[""] /@ Table[TraditionalForm[ $\partial_{c_r}$ ],
        {r, C}, {c,  $\gamma$ s}],
      {Prepend[""] [
        Join@@
          (b /. {L_, m___, r_}  $\Rightarrow$ 
            {DisplayForm@RowBox[{"(", L}],
              m, DisplayForm@RowBox[{r, ")"}]}) /.
            i_Integer  $\Rightarrow \gamma_i$  ]},
      MapThread[Prepend,
        {Table[TraditionalForm[ $\partial_{r,c} q$ ], {r,  $\gamma$ s*},
          {c,  $\gamma$ s}],  $\gamma$ s*}
      ], TableAlignments  $\rightarrow$  Center]
    }, Center] ];
```

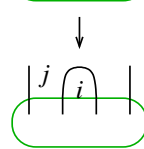
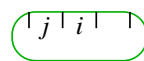
## The Face-Centric Core.

```
 $\Sigma_{b1}[\sigma_1, PQ[C1_, q1\_]] \oplus \Sigma_{b2}[\sigma_2, PQ[C2_, q2\_]] \wedge :=$ 
  CF@ $\Sigma_{\text{Join}[b1, b2]}[\sigma_1 + \sigma_2, PQ[C1 \cup C2, q1 + q2]]$ ;
```



GT for Gap Touch:

```
GT_{i,j}@ $\Sigma_B[\{(li_, i, ri_), (lj_, j, rj_), bs\_}] [\sigma, PQ[C_, q\_]] :=$ 
  CF@ $\Sigma_B[\{(ri, li, j, rj, lj, i), bs\}] [\sigma, PQ[C \cup \{\gamma_i - \gamma_j\}, q]]$ 
```



cordón (kórˈdon)



1. A line of people, military posts, or ships stationed around an area to enclose or guard it: a **police cordón**.
2. A rope, line, tape, or similar border stretched around an area, usually by the police; indicating that access is restricted.

$$s \begin{pmatrix} 0 & \phi C_{\text{rest}} \\ \bar{\phi}^T & \lambda \theta \\ \bar{C}_{\text{rest}}^T & \bar{\theta}^T A_{\text{rest}} \end{pmatrix} \rightarrow \begin{cases} \exists p \phi_p \neq 0 & \text{use } \phi_p \text{ to kill its row and} \\ \phi = 0, \lambda \neq 0 & \text{column, drop a } \begin{pmatrix} 01 \\ 10 \end{pmatrix} \text{ summand} \\ \phi = 0, \lambda = 0 & \text{use } \lambda \text{ to kill } \theta, \text{ let } s += \text{sign}(\lambda) \\ & \text{append } \theta \text{ to } C_{\text{rest}}. \end{cases}$$

```
Cordon_{i}@ $\Sigma_B[\{(li_, i, ri_), bs\_}] [\sigma, PQ[C_, q\_]] :=$ 
```

```
Module[{ $\phi = \partial_{\gamma_i} C$ ,  $\lambda = \partial_{\bar{\gamma}_i, \gamma_i} q$ ,  $n\sigma = \sigma$ ,  $nC$ ,  $nq$ ,  $p$ },
  {p} = FirstPosition[({# !=  $\theta$ ) & /@  $\phi$ , True, { $\theta$ }}];
  {nC, nq} = Which[
    p >  $\theta$ , {C, q} /. ( $\gamma_i \rightarrow -C[[p]] / \phi[[p]]$ )+ /. ( $\gamma_i \rightarrow \theta$ )+,
     $\lambda \neq \theta$ , (n $\sigma += \text{sign}[\lambda]$ ;
    {C, q} /. ( $\gamma_i \rightarrow -(\partial_{\bar{\gamma}_i} q) / \lambda$ )+ /. ( $\gamma_i \rightarrow \theta$ )+},
     $\lambda === \theta$ , {C  $\cup$  { $\partial_{\bar{\gamma}_i} q$ }, q} /. ( $\gamma_i \rightarrow \theta$ )+];
  CF@ $\Sigma_B[\text{Most}\{(ri, li), bs\}] [n\sigma,$ 
    PQ[nC, nq] /. ( $\gamma_{\text{Last}\{(ri, li)\}} \rightarrow \gamma_{\text{First}\{(ri, li)\}}$ )+ ] ]
```

**Strand Operations.** c for contract, mc for magnetic contract:

$$c_{i,j}@t : \Sigma_B[\{l_{i,j}, i, r_{i,j}\}, \{c_{i,j}, \_ \}] [ \_ ] := t // GT_{j, \text{First}\{r_i, l_i\}} // \text{Cordon}_j$$

$$c_{i,j}@t : \Sigma_B[\{c_{i,j}, i, j, \_ \}] [ \_ ] := \text{Cordon}_j @ t$$

$$c_{i,j}@t : \Sigma_B[\{j, \_ \}, i, \_ \}] [ \_ ] := \text{Cordon}_j @ t$$

$$c_{i,j}@t : \Sigma_B[\{c_{i,j}, j, i, \_ \}] [ \_ ] := \text{Cordon}_i @ t$$

$$c_{i,j}@t : \Sigma_B[\{i, \_ \}, j, \_ \}] [ \_ ] := \text{Cordon}_i @ t$$

$$mc[\mathcal{E}] := \mathcal{E} //$$

$$t : \Sigma_B[\{c_{i,j}, i, \_ \}, \{c_{i,j}, j, \_ \}] [ \_ ] | \Sigma_B[\{c_{i,j}, j, \_ \}, i, \_ \}] [ \_ ] / ; i + j = 0 \Rightarrow c_{i,j} @ t$$

**The Crossings** (and empty strands).

$$\text{Kas}@P_{i,j} := \text{CF}@ \Sigma_B[\{i,j\}] [0, \text{PQ}[\{\}, \emptyset]] ;$$

$$\text{TL}@P_{i,j} := \text{CF}@ \Sigma_B[\{i,j\}] [0, \text{PQ}[\{\}, \emptyset]]$$

$$\text{Kas}[x : X[i, j, k, l]] :=$$

$$\text{Kas}@ \text{If}[\text{PositiveQ}[x], X_{-i,j,k,-l}, \bar{X}_{-j,k,l,-i}] ;$$

$$\text{Kas}[(x : X | \bar{X})_{fs}] := \text{Module}[\{v = 2u^2 - 1, p, \gamma s, m\},$$

$$\gamma s = \gamma_{\#} \& /@ \{fs\}; p = (x == X) ;$$

$$m = \text{If}[p, \begin{pmatrix} v & u & 1 & u \\ u & 1 & u & 1 \\ 1 & u & v & u \\ u & 1 & u & 1 \end{pmatrix}, - \begin{pmatrix} v & u & 1 & u \\ u & 1 & u & 1 \\ 1 & u & v & u \\ u & 1 & u & 1 \end{pmatrix}] ;$$

$$\text{CF}@ \Sigma_B[\{fs\}] [\text{If}[p, -1, 1], \text{PQ}[\{\}, \gamma s^* \cdot m \cdot \gamma s]]$$

$$\text{TL}[x : X[i, j, k, l]] :=$$

$$\text{TL}@ \text{If}[\text{PositiveQ}[x], X_{-i,j,k,-l}, \bar{X}_{-j,k,l,-i}] ;$$

$$\text{TL}[(x : X | \bar{X})_{fs}] := \text{Module}[\{t = 1 - \omega, r, \gamma s, m\},$$

$$r = t + t^*; \gamma s = \gamma_{\#} \& /@ \{fs\};$$

$$m = \text{If}[x == X,$$

$$\begin{pmatrix} -r & -t & 2t & t^* \\ -t^* & \theta & t^* & \theta \\ 2t^* & t & -r & -t^* \\ t & \theta & -t & \theta \end{pmatrix}, \begin{pmatrix} r & -t & -2t^* & t^* \\ -t^* & \theta & t^* & \theta \\ -2t & t & r & -t^* \\ t & \theta & -t & \theta \end{pmatrix}] ;$$

$$\text{CF}@ \Sigma_B[\{fs\}] [0, \text{PQ}[\{\}, \gamma s^* \cdot m \cdot \gamma s]]$$

**Evaluation on Tangles and Knots.**

$$\text{Kas}[K] := \text{Fold}[\text{mc}[\#1 @ \#2] \&, \Sigma_B[0, \text{PQ}[\{\}, \emptyset]],$$

$$\text{List}@@ (\text{Kas} /@ \text{PD}@K) ;$$

$$\text{KasSig}[K] := \text{Expand}[\text{Kas}[K][1] / 2]$$

$$\text{TL}[K] :=$$

$$\text{Fold}[\text{mc}[\#1 @ \#2] \&, \Sigma_B[0, \text{PQ}[\{\}, \emptyset]],$$

$$\text{List}@@ (\text{TL} /@ \text{PD}@K) / .$$

$$\theta[c_+ + u] / ; \text{Abs}[c] \geq 1 \Rightarrow \theta[c] ;$$

$$\text{TL} \text{Sig}[K] := \text{TL}[K][1]$$

**Reidemeister 3.**

$$\text{R3L} = \text{PD}[X_{-2,5,4,-1}, X_{-3,7,6,-5},$$

$$X_{-6,9,8,-4}] ;$$

$$\text{R3R} = \text{PD}[X_{-3,5,4,-2}, X_{-4,6,8,-1},$$

$$X_{-5,7,9,-6}] ;$$

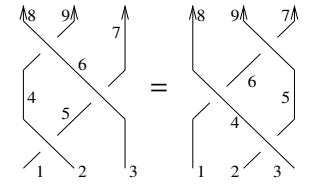
$$\{\text{TL}@R3L == \text{TL}@R3R, \text{Kas}@R3L == \text{Kas}@R3R\}$$

$$\{\text{True}, \text{True}\}$$

**Kas@R3L**

$$2\theta(u - \frac{1}{2}) - 2\theta(u + \frac{1}{2}) - 2$$

	$\gamma_{-3}$	$\gamma_7$	$\gamma_9$	$\gamma_8$	$\gamma_{-1}$	$\gamma_{-2}$
$\bar{\gamma}_{-3}$	$\frac{2u^2(4u^2-3)}{(2u-1)(2u+1)}$	$\frac{u(4u^2-3)}{(2u-1)(2u+1)}$	$-\frac{1}{(2u-1)(2u+1)}$	$-\frac{2u}{(2u-1)(2u+1)}$	$-\frac{1}{(2u-1)(2u+1)}$	$\frac{u(4u^2-3)}{(2u-1)(2u+1)}$
$\bar{\gamma}_7$	$\frac{u(4u^2-3)}{(2u-1)(2u+1)}$	$\frac{2(2u^2-1)}{(2u-1)(2u+1)}$	$\frac{u(4u^2-3)}{(2u-1)(2u+1)}$	$-\frac{1}{(2u-1)(2u+1)}$	$-\frac{2u}{(2u-1)(2u+1)}$	$-\frac{1}{(2u-1)(2u+1)}$
$\bar{\gamma}_9$	$-\frac{1}{(2u-1)(2u+1)}$	$\frac{u(4u^2-3)}{(2u-1)(2u+1)}$	$\frac{2u^2(4u^2-3)}{(2u-1)(2u+1)}$	$\frac{u(4u^2-3)}{(2u-1)(2u+1)}$	$-\frac{1}{(2u-1)(2u+1)}$	$-\frac{2u}{(2u-1)(2u+1)}$
$\bar{\gamma}_8$	$-\frac{2u}{(2u-1)(2u+1)}$	$-\frac{1}{(2u-1)(2u+1)}$	$\frac{u(4u^2-3)}{(2u-1)(2u+1)}$	$\frac{2u^2(4u^2-3)}{(2u-1)(2u+1)}$	$\frac{u(4u^2-3)}{(2u-1)(2u+1)}$	$-\frac{1}{(2u-1)(2u+1)}$
$\bar{\gamma}_{-1}$	$-\frac{1}{(2u-1)(2u+1)}$	$-\frac{2u}{(2u-1)(2u+1)}$	$-\frac{1}{(2u-1)(2u+1)}$	$\frac{u(4u^2-3)}{(2u-1)(2u+1)}$	$\frac{2(2u^2-1)}{(2u-1)(2u+1)}$	$\frac{u(4u^2-3)}{(2u-1)(2u+1)}$
$\bar{\gamma}_{-2}$	$\frac{u(4u^2-3)}{(2u-1)(2u+1)}$	$-\frac{1}{(2u-1)(2u+1)}$	$-\frac{2u}{(2u-1)(2u+1)}$	$-\frac{1}{(2u-1)(2u+1)}$	$\frac{u(4u^2-3)}{(2u-1)(2u+1)}$	$\frac{2u^2(4u^2-3)}{(2u-1)(2u+1)}$



**Reidemeister 2.**

$$\text{TL}@ \text{PD}[X_{-2,4,3,-1}, \bar{X}_{-4,6,5,-3}]$$

$$\begin{matrix} & \theta & & & \\ & 1 & 0 & -1 & \theta \\ (\gamma_{-2} & \gamma_6 & \gamma_5 & \gamma_{-1}) & \\ \bar{\gamma}_{-2} & \theta & \theta & \theta & \theta \\ \bar{\gamma}_6 & \theta & \theta & \theta & \theta \\ \bar{\gamma}_5 & \theta & \theta & \theta & \theta \\ \bar{\gamma}_{-1} & \theta & \theta & \theta & \theta \end{matrix}$$

$$\{\text{TL}@ \text{PD}[X_{-2,4,3,-1}, \bar{X}_{-4,6,5,-3}] == \text{GT}_{5,-2} @ \text{TL}@ \text{PD}[P_{-1,5}, P_{-2,6}],$$

$$\{\text{True}, \text{True}\}$$

**Reidemeister 1.**

$$\{\text{TL}@ \text{PD}[X_{-3,3,2,-1}] == \text{TL}@ P_{-1,2},$$

$$\text{Kas}@ \text{PD}[X_{-3,3,2,-1}] == \text{Kas}@ P_{-1,2}\}$$

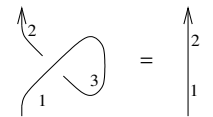
$$\{\text{True}, \text{True}\}$$

**A Knot.**

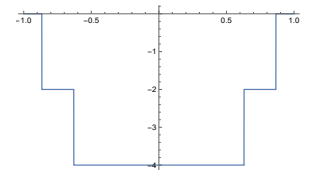
$$f = \text{TL} \text{Sig}[\text{Knot}[8, 5]]$$

$$2\theta\left[-\frac{\sqrt{3}}{2} + u\right] - 2\theta\left[\frac{\sqrt{3}}{2} + u\right] -$$

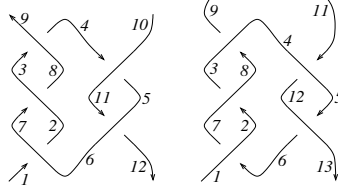
$$2\theta\left[u - \sqrt{-0.630\dots}\right] + 2\theta\left[u - \sqrt{0.630\dots}\right]$$



$$\text{Plot}[f, \{u, -1, 1\}]$$



**The Conway-Kinoshita-Terasaka Tangles.**



$T1 = PD[\bar{X}_{-6,2,7,-1}, \bar{X}_{-2,8,3,-7}, \bar{X}_{-8,4,9,-3}, X_{-11,6,12,-5}, X_{-4,11,5,-10}]$ ;  
 $T2 = PD[X_{-6,2,7,-1}, X_{-2,8,3,-7}, X_{-8,4,9,-3}, \bar{X}_{-12,6,13,-5}, \bar{X}_{-4,12,5,-11}, \bar{X}_{-10,15,11,-14}, \bar{X}_{-15,10,16,-9}]$

**Column@{TL [T1], Kas [T1]}**

$$-2\theta(u - \frac{\sqrt{3}}{2}) + 2\theta(u + \frac{\sqrt{3}}{2}) - 1$$

$\bar{Y}_{-10}$	$\frac{\omega-1}{\omega}$	$\frac{2\omega}{\omega^2-\omega+1}$	$\frac{\omega-1}{\omega}$	$\frac{2\omega}{\omega^2-\omega+1}$
$\bar{Y}_9$	$\frac{\omega-1}{\omega}$	$\frac{2\omega}{\omega^2-\omega+1}$	$\frac{\omega-1}{\omega}$	$\frac{2\omega}{\omega^2-\omega+1}$
$\bar{Y}_{-1}$	$\frac{\omega-1}{\omega}$	$\frac{2\omega}{\omega^2-\omega+1}$	$\frac{\omega-1}{\omega}$	$\frac{2\omega}{\omega^2-\omega+1}$
$\bar{Y}_{12}$	$\frac{\omega-1}{\omega}$	$\frac{2\omega}{\omega^2-\omega+1}$	$\frac{\omega-1}{\omega}$	$\frac{2\omega}{\omega^2-\omega+1}$

$-2\theta(u - \frac{\sqrt{3}}{2}) + 2\theta(u + \frac{\sqrt{3}}{2}) - 1$

$\bar{Y}_{-10}$	$2(u-1)(u+1)(4u^2-3)$	$\frac{\theta}{2(4u^2-3)}$	$-2(u-1)(u+1)(4u^2-3)$	$\frac{\theta}{2(4u^2-3)}$
$\bar{Y}_9$	$\theta$	$\frac{\theta}{2(4u^2-3)}$	$\theta$	$-\frac{\theta}{2(4u^2-3)}$
$\bar{Y}_{-1}$	$-2(u-1)(u+1)(4u^2-3)$	$\frac{\theta}{2(4u^2-3)}$	$2(u-1)(u+1)(4u^2-3)$	$\frac{\theta}{2(4u^2-3)}$
$\bar{Y}_{12}$	$\theta$	$-\frac{\theta}{2(4u^2-3)}$	$\theta$	$\frac{\theta}{2(4u^2-3)}$

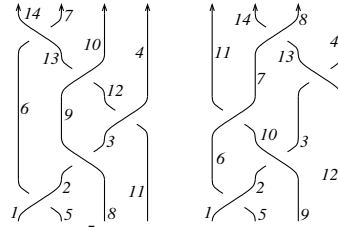
**Column@{TL [T2], Kas [T2]}**

$\bar{Y}_{-14}$	$\frac{\omega-1}{\omega}$	$-\frac{2(\omega-1)^2\omega}{\omega^4-3\omega^3+5\omega^2-3\omega+1}$	$\frac{\omega-1}{\omega}$	$-\frac{2(\omega-1)^2\omega}{\omega^4-3\omega^3+5\omega^2-3\omega+1}$
$\bar{Y}_{16}$	$\frac{\omega-1}{\omega}$	$-\frac{2(\omega-1)^2\omega}{\omega^4-3\omega^3+5\omega^2-3\omega+1}$	$\frac{\omega-1}{\omega}$	$-\frac{2(\omega-1)^2\omega}{\omega^4-3\omega^3+5\omega^2-3\omega+1}$
$\bar{Y}_{-1}$	$\frac{\omega-1}{\omega}$	$-\frac{2(\omega-1)^2\omega}{\omega^4-3\omega^3+5\omega^2-3\omega+1}$	$\frac{\omega-1}{\omega}$	$-\frac{2(\omega-1)^2\omega}{\omega^4-3\omega^3+5\omega^2-3\omega+1}$
$\bar{Y}_{13}$	$\frac{\omega-1}{\omega}$	$-\frac{2(\omega-1)^2\omega}{\omega^4-3\omega^3+5\omega^2-3\omega+1}$	$\frac{\omega-1}{\omega}$	$-\frac{2(\omega-1)^2\omega}{\omega^4-3\omega^3+5\omega^2-3\omega+1}$

1

$\bar{Y}_{-14}$	$\frac{1}{2}(-16u^4 + 28u^2 - 13)$	$\frac{\theta}{16u^4 - 28u^2 + 13}$	$\frac{1}{2}(16u^4 - 28u^2 + 13)$	$\frac{\theta}{16u^4 - 28u^2 + 13}$
$\bar{Y}_{16}$	$\theta$	$-\frac{2(u-1)(u+1)}{16u^4 - 28u^2 + 13}$	$\theta$	$\frac{2(u-1)(u+1)}{16u^4 - 28u^2 + 13}$
$\bar{Y}_{-1}$	$\frac{1}{2}(16u^4 - 28u^2 + 13)$	$\frac{\theta}{16u^4 - 28u^2 + 13}$	$\frac{1}{2}(-16u^4 + 28u^2 - 13)$	$\frac{\theta}{16u^4 - 28u^2 + 13}$
$\bar{Y}_{13}$	$\theta$	$\frac{2(u-1)(u+1)}{16u^4 - 28u^2 + 13}$	$\theta$	$-\frac{2(u-1)(u+1)}{16u^4 - 28u^2 + 13}$

**Examples with non-trivial co-dimension.**



$B1 = PD[X_{-5,2,6,-1}, \bar{X}_{-8,3,9,-2}, X_{-11,4,12,-3}, X_{-12,10,13,-9}, \bar{X}_{-13,7,14,-6}]$ ;  
 $B2 = PD[X_{-5,2,6,-1}, \bar{X}_{-9,3,10,-2}, X_{-10,7,11,-6}, \bar{X}_{-12,4,13,-3}, X_{-13,8,14,-7}]$

**Column@{TL [B1], Kas [B1]}**

$\bar{Y}_{-11}$	$\frac{\omega-1}{\omega}$	$\frac{2\omega}{\omega^2-\omega+1}$	$\frac{\omega-1}{\omega}$	$\frac{2\omega}{\omega^2-\omega+1}$
$\bar{Y}_4$	$\frac{\omega-1}{\omega}$	$\frac{2\omega}{\omega^2-\omega+1}$	$\frac{\omega-1}{\omega}$	$\frac{2\omega}{\omega^2-\omega+1}$
$\bar{Y}_{10}$	$\frac{\omega-1}{\omega}$	$\frac{2\omega}{\omega^2-\omega+1}$	$\frac{\omega-1}{\omega}$	$\frac{2\omega}{\omega^2-\omega+1}$
$\bar{Y}_7$	$\frac{\omega-1}{\omega}$	$\frac{2\omega}{\omega^2-\omega+1}$	$\frac{\omega-1}{\omega}$	$\frac{2\omega}{\omega^2-\omega+1}$
$\bar{Y}_{14}$	$\frac{\omega-1}{\omega}$	$\frac{2\omega}{\omega^2-\omega+1}$	$\frac{\omega-1}{\omega}$	$\frac{2\omega}{\omega^2-\omega+1}$
$\bar{Y}_{-1}$	$\frac{\omega-1}{\omega}$	$\frac{2\omega}{\omega^2-\omega+1}$	$\frac{\omega-1}{\omega}$	$\frac{2\omega}{\omega^2-\omega+1}$
$\bar{Y}_{-5}$	$\frac{\omega-1}{\omega}$	$\frac{2\omega}{\omega^2-\omega+1}$	$\frac{\omega-1}{\omega}$	$\frac{2\omega}{\omega^2-\omega+1}$
$\bar{Y}_{-8}$	$\frac{\omega-1}{\omega}$	$\frac{2\omega}{\omega^2-\omega+1}$	$\frac{\omega-1}{\omega}$	$\frac{2\omega}{\omega^2-\omega+1}$

0

$\bar{Y}_{-11}$	$\theta$	$\theta$	$\theta$	$\theta$
$\bar{Y}_4$	$\theta$	$\theta$	$\theta$	$\theta$
$\bar{Y}_{10}$	$\theta$	$\theta$	$\theta$	$\theta$
$\bar{Y}_7$	$\theta$	$\theta$	$\theta$	$\theta$
$\bar{Y}_{14}$	$\theta$	$\theta$	$\theta$	$\theta$
$\bar{Y}_{-1}$	$\theta$	$\theta$	$\theta$	$\theta$
$\bar{Y}_{-5}$	$\theta$	$\theta$	$\theta$	$\theta$
$\bar{Y}_{-8}$	$\theta$	$\theta$	$\theta$	$\theta$

**Column@{TL [B2], Kas [B2]}**

$\bar{Y}_{-12}$	$\frac{\omega-1}{\omega}$	$\frac{2\omega}{\omega^2-\omega+1}$	$\frac{\omega-1}{\omega}$	$\frac{2\omega}{\omega^2-\omega+1}$
$\bar{Y}_4$	$\frac{\omega-1}{\omega}$	$\frac{2\omega}{\omega^2-\omega+1}$	$\frac{\omega-1}{\omega}$	$\frac{2\omega}{\omega^2-\omega+1}$
$\bar{Y}_8$	$\frac{\omega-1}{\omega}$	$\frac{2\omega}{\omega^2-\omega+1}$	$\frac{\omega-1}{\omega}$	$\frac{2\omega}{\omega^2-\omega+1}$
$\bar{Y}_{14}$	$\frac{\omega-1}{\omega}$	$\frac{2\omega}{\omega^2-\omega+1}$	$\frac{\omega-1}{\omega}$	$\frac{2\omega}{\omega^2-\omega+1}$
$\bar{Y}_{11}$	$\frac{\omega-1}{\omega}$	$\frac{2\omega}{\omega^2-\omega+1}$	$\frac{\omega-1}{\omega}$	$\frac{2\omega}{\omega^2-\omega+1}$
$\bar{Y}_1$	$\frac{\omega-1}{\omega}$	$\frac{2\omega}{\omega^2-\omega+1}$	$\frac{\omega-1}{\omega}$	$\frac{2\omega}{\omega^2-\omega+1}$
$\bar{Y}_{-5}$	$\frac{\omega-1}{\omega}$	$\frac{2\omega}{\omega^2-\omega+1}$	$\frac{\omega-1}{\omega}$	$\frac{2\omega}{\omega^2-\omega+1}$
$\bar{Y}_{-9}$	$\frac{\omega-1}{\omega}$	$\frac{2\omega}{\omega^2-\omega+1}$	$\frac{\omega-1}{\omega}$	$\frac{2\omega}{\omega^2-\omega+1}$

0

$\bar{Y}_{-12}$	$\theta$	$\theta$	$\theta$	$\theta$
$\bar{Y}_4$	$\theta$	$\theta$	$\theta$	$\theta$
$\bar{Y}_8$	$\theta$	$\theta$	$\theta$	$\theta$
$\bar{Y}_{14}$	$\theta$	$\theta$	$\theta$	$\theta$
$\bar{Y}_{11}$	$\theta$	$\theta$	$\theta$	$\theta$
$\bar{Y}_1$	$\theta$	$\theta$	$\theta$	$\theta$
$\bar{Y}_{-5}$	$\theta$	$\theta$	$\theta$	$\theta$
$\bar{Y}_{-9}$	$\theta$	$\theta$	$\theta$	$\theta$

$$\begin{pmatrix} A & B \\ C & U \end{pmatrix} \xrightarrow{\det(A)} \begin{pmatrix} I & A^{-1}B \\ C & U \end{pmatrix} \xrightarrow{1} \begin{pmatrix} I & A^{-1}B \\ 0 & U - CA^{-1}B \end{pmatrix}$$

so  $\det \begin{pmatrix} A & B \\ C & U \end{pmatrix} = \det(A) \det(U - CA^{-1}B)$ . (what if  $\#A^{-1}$ ?)

**Questions.** 1. Does this have a topological meaning? 2. Prove the Kashaev conjecture. Is there a version for tangles? 3. Find all solutions of R123 in our ‘‘algebra’’. 4. Braids and the Burau representation. 5. Recover the work in ‘‘Prior Art’’. 6. Are there any concordance properties? 7. What is the ‘‘SPQ group’’? 8. The jumping points of signatures are the roots of the Alexander polynomial. Does this generalize to tangles? 9. Which of the three Cordon cases is the most common? 10. Are there interesting examples of tangles for which rels is non-trivial? 11. Is the  $pq$  part determined by  $\Gamma$ -calculus? 12. Is the  $pq$  part determined by finite type invariants? 13. Does it work with closed components / links? 14. Strand-doubling formulas? 15. A multivariable version? 16. Mutation invariance? 17. Ribbon knots? 18. Are there ‘‘face-virtual knots’’? 19. Does the pushforward story extend to ranks? To formal Gaussian measures? To super Gaussian measures?

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**Proof of Theorem 1.**

Uniqueness: If  $A$  and  $B$  are 2 pushforwards, then  $\sigma_W(U + A) = \sigma_W(U + B)$  for all PQs  $U$  on  $W$ .

Thus  $\mathcal{D}_A = \mathcal{D}_B$ , because otherwise if  $w \in \mathcal{D}_A \setminus \mathcal{D}_B$ , by taking  $U(w) = 1$  on  $\mathcal{D}_U = \text{span}\{w\}$ , we get  $\sigma_W(U + A) = 1 \neq 0 = \sigma_W(U + B)$ . Furthermore,  $A$  and  $B$  must agree where they are both defined, because by taking  $U(w) = \frac{-A(w)-B(w)}{2}$  on  $\mathcal{D}_U = \text{span}\{w\}$  we get  $(U + A)(w) = \frac{A(w)-B(w)}{2} = -(U + B)(w)$ , so we must have  $A(w) = B(w)$  to satisfy  $\sigma_W(U + A) = \sigma_W(U + B)$ .

Existence: Define  $\phi_*Q$  by  $\mathcal{D}_{\phi_*Q} = \phi(\text{ann}_Q(\ker \phi))$  and  $\phi_*Q(w) = Q(v)$  where  $v \in \text{ann}_Q(\ker \phi)$ . Note that  $\phi_*Q$  is well-defined.

First consider when  $U = 0$  on all of  $W$ . Let  $K$  be a maximal non-degenerate subspace of  $\ker \phi$ . Then  $Q = Q|_K \oplus Q|_{\text{ann}_Q(K)}$ , and we can write  $\text{ann}_Q(K) = R \oplus A \oplus B$  where  $R = \text{rad}_Q(\ker \phi)$  and  $A, B$  are chosen so that  $A \subseteq \text{ann}_Q(R)$  and  $B \subseteq \text{ann}_Q(K) \setminus \text{ann}_Q(R)$ . Since  $Q : R \rightarrow B^*$  is surjective, for any  $v \in \mathcal{D}_Q$  there is some  $r_v \in R$  such that  $Q(r_v, B) = Q(v, B)$ . If we choose the  $r_v$  so that  $r_{v_1} + r_{v_2} = r_{v_1+v_2}$ , then we can replace  $A$  by  $A' = \{a - r_a : a \in A\}$  and  $B$  by  $B' = \{b - \frac{1}{2}r_b : b \in B\}$  to get  $Q = Q|_K \oplus Q|_{R \oplus B'} \oplus Q|_{A'}$ . Then notice that

- $\sigma_V(Q|_K) = \sigma_{\ker \phi}(Q|_{\ker \phi})$
- $\sigma_V(Q|_{R \oplus B'}) = 0$
- $\sigma_V(Q|_{A'}) = \sigma_W(\phi_*Q)$

so we get  $\sigma_V(Q) = \sigma_{\ker \phi}(Q|_{\ker \phi}) + \sigma_W(\phi_*Q)$ .

Now for an arbitrary  $U$ , note that  $(Q + \phi^*U)|_{\ker \phi} = Q|_{\ker \phi}$  and  $\phi_*(Q + \phi^*U) = \phi_*Q + U$  so we can replace  $Q$  in the  $U = 0$  case by  $Q + \phi^*U$  to get the general case.

**Proof of Theorem 2.**

It's clear that pullback is functorial and that pushforward by the identity is the identity. To show  $(\phi\psi)_* = \phi_*\psi_*$ , use theorem 1 repeatedly to get

$$\begin{aligned} & \sigma((\phi\psi)_*Q + U) \\ &= \sigma(Q + (\phi\psi)^*U) \\ &= \sigma(Q + \psi^*\phi^*U) - \sigma(Q|_{\ker \phi\psi}) \\ &= \sigma(\psi_*Q + \phi^*U) + \sigma(Q|_{\ker \psi}) - \sigma(Q|_{\ker \phi\psi}) \\ &= \sigma(\phi_*\psi_*Q + U) + \sigma(Q|_{\ker \psi}) + \sigma(\psi_*Q|_{\ker \phi}) - \sigma(Q|_{\ker \phi\psi}) \\ &= \sigma(\phi_*\psi_*Q + U) \end{aligned}$$

for any  $U$ , where the last step uses theorem 1 on  $Q|_{\phi\psi}$  with the map  $\psi : \ker \phi\psi \rightarrow \ker \phi$ .

To show  $\alpha_*\gamma^* = \beta^*\delta_*$ , first note that  $\beta^*\beta_*$  is the identity on any PQ since  $\beta$  is injective, so

$$\begin{array}{ccc} & \xrightarrow{\alpha} & \\ \gamma \downarrow & \nearrow & \downarrow \beta \\ & \xrightarrow{\delta} & \end{array}$$

$$\alpha_*\gamma^*Q = \beta^*(\beta\alpha)_*\gamma^*Q = \beta^*(\delta\gamma)_*\gamma^*Q = \beta^*\delta_*\gamma_*\gamma^*Q$$

As  $\beta^*\delta_*\gamma_*\gamma^*Q$  and  $\beta^*\delta_*Q$  have the same values where they are both defined, it remains to show that they have the same domain. Since  $\alpha$  is surjective and  $\gamma$  is surjective onto  $\ker(\delta)$ , we see that

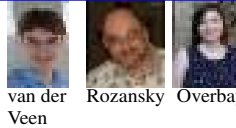
$$\beta^{-1}\delta(A) = \beta^{-1}\delta(A \cap \text{im } \gamma)$$

for any subspace  $A$ . By taking  $A = \text{ann}_Q(\ker \delta)$ , the two sides of the equality become the domains of  $\beta^*\delta_*Q$  and  $\beta^*\delta_*\gamma_*\gamma^*Q$ .

# Cars, Interchanges, Traffic Counters, and some Pretty Darned Good Knot Invariants

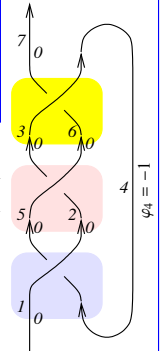
More at ωεβ/APAI

**Abstract.** Reporting on joint work with Roland van der Veen, I'll tell you some stories about  $\rho_1$ , an easy to define, strong, fast to compute, homomorphic, and well-connected knot invariant.  $\rho_1$  was first studied by Rozansky and Overbay [Ro1, Ro2, Ro3, Ov], it has far-reaching generalizations, it is dominated by the coloured Jones polynomial, and I wish I understood it. **Common misconception.** "Dominated"  $\Rightarrow$  "lesser".



**Jones:**

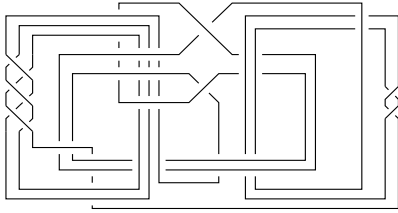
Formulas stay; interpretations change with time.



**We seek** strong, fast, homomorphic knot and tangle invariants.

**Strong.** Having a small "kernel".

**Fast.** Computable even for large knots (best: poly time).

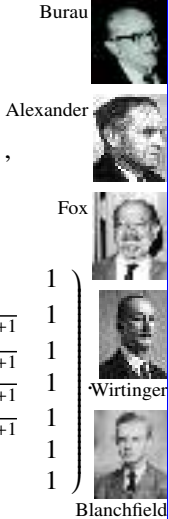


**Formulas.** Draw an  $n$ -crossing knot  $K$  as on the right: all crossings face up, and the edges are marked with a running index  $k \in \{1, \dots, 2n + 1\}$  and with rotation numbers  $\varphi_k$ . Let  $A$  be the  $(2n + 1) \times (2n + 1)$  matrix constructed by starting with the identity matrix  $I$ , and adding a  $2 \times 2$  block for each crossing:

$$c : \begin{matrix} s = +1 & s = -1 \\ \begin{matrix} j+1 \uparrow & i+1 \uparrow \\ i & j \end{matrix} & \begin{matrix} i+1 \uparrow & j+1 \uparrow \\ j & i \end{matrix} \end{matrix} \longrightarrow \begin{matrix} A & \text{col } i+1 & \text{col } j+1 \\ \text{row } i & -T^s & T^s - 1 \\ \text{row } j & 0 & -1 \end{matrix}$$

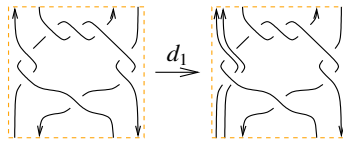
Let  $G = (g_{\alpha\beta}) = A^{-1}$ . For the trefoil example, it is:

$$A = \begin{pmatrix} 1 & -T & 0 & 0 & T-1 & 0 & 0 \\ 0 & 1 & -1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & -T & 0 & 0 & T-1 \\ 0 & 0 & 0 & 1 & -1 & 0 & 0 \\ 0 & 0 & T-1 & 0 & 1 & -T & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & -1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 \end{pmatrix}$$

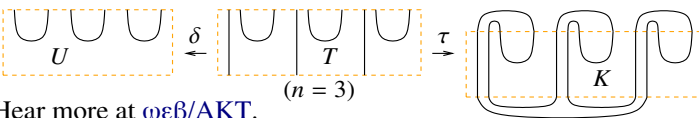


Gompf-Scharlemann-Thompson

**Homomorphic.** Extends to tangles and behaves under tangle operations; especially gluings and doublings:



**Why care for "Homomorphic"?** **Theorem.** A knot  $K$  is ribbon iff there exists a  $2n$ -component tangle  $T$  with skeleton as below such that  $\tau(T) = K$  and where  $\delta(T) = U$  is the untangle:



Hear more at ωεβ/AKT.

**Acknowledgement.** This work was partially supported by NSERC grant RGPIN-2018-04350 and by the Chu Family Foundation (NYC).

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**Note.** The Alexander polynomial  $\Delta$  is given by

$$\Delta = T^{(-\varphi-w)/2} \det(A), \quad \text{with } \varphi = \sum_k \varphi_k, \quad w = \sum_c s.$$

**Classical Topologists:** This is boring. Yawn.

**Formulas, continued.** Finally, set

$$R_1(c) := s(g_{ji}(g_{j+1,j} + g_{j,j+1} - g_{ij}) - g_{ii}(g_{j,j+1} - 1) - 1/2)$$

$$\rho_1 := \Delta^2 \left( \sum_c R_1(c) - \sum_k \varphi_k (g_{kk} - 1/2) \right).$$

In our example  $\rho_1 = -T^2 + 2T - 2 + 2T^{-1} - T^{-2}$ .

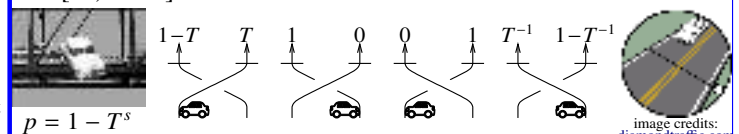
**Theorem.**  $\rho_1$  is a knot invariant.

Proof: later.

**Classical Topologists:** Whiskey Tango Foxtrot?

## Cars, Interchanges, and Traffic Counters.

Cars always drive forward. When a car crosses over a bridge it goes through with (algebraic) probability  $T^s \sim 1$ , but falls off with probability  $1 - T^s \sim 0^*$ . See also [Jo, LTW].



\* In algebra  $x \sim 0$  if for every  $y$  in the ideal generated by  $x$ ,  $1 - y$  is invertible.

## Preliminaries

This is Rho.nb of <http://drorbn.net/oa22/ap>.

Once [`<< KnotTheory``; `<< Rot.m`];

Loading KnotTheory` version

of February 2, 2020, 10:53:45.2097.

Read more at <http://katlas.org/wiki/KnotTheory>.

Loading Rot.m from <http://drorbn.net/la22/ap>  
to compute rotation numbers.

## The Program

```
R1[s_, i_, j_] :=
  S (g_{ji} (g_{j^+, j} + g_{j, j^+} - g_{ij}) - g_{ii} (g_{j, j^+} - 1) - 1/2);
Z[K_] := Module[{Cs, phi, n, A, s, i, j, k, Delta, G, rho1},
  {Cs, phi} = Rot[K]; n = Length[Cs];
  A = IdentityMatrix[2 n + 1];
  Cases[Cs, {s_, i_, j_} ->
    (A[[{i, j}, {i + 1, j + 1}]] += ( -T^s T^s - 1 ))];
  Delta = T^(-Total[phi] - Total[Cs[[All, 1]]]) / 2 Det[A];
  G = Inverse[A];
  rho1 = Sum_{k=1}^n R1 @@ Cs[[k]] - Sum_{k=1}^{2n} phi[[k]] (g_{kk} - 1/2);
  Factor@
    {Delta, Delta^2 rho1 /. alpha_ -> alpha + 1 /. g_{alpha, beta} -> G[[alpha, beta]]};
```

## The First Few Knots

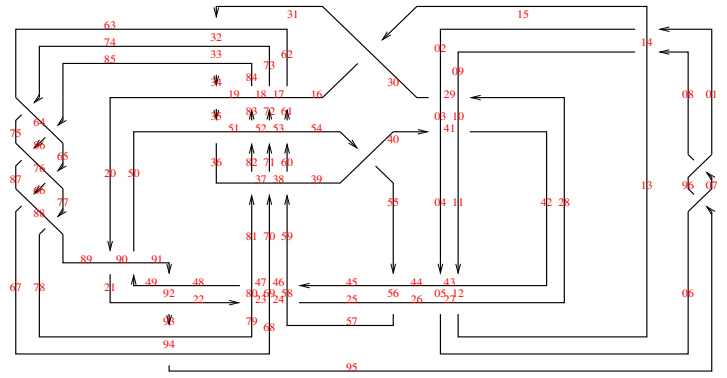
TableForm[Table[Join[{K[[1]]\_{K[[2]]}, Z[K]],  
{K, AllKnots[{3, 6]}}, TableAlignments -> Center]

3 <sub>1</sub>	$\frac{1-T+T^2}{T}$	$\frac{(-1+T)^2 (1+T^2)}{T^2}$
4 <sub>1</sub>	$-\frac{1-3T+T^2}{T}$	0
5 <sub>1</sub>	$\frac{1-T+T^2-T^3+T^4}{T^2}$	$\frac{(-1+T)^2 (1+T^2) (2+T^2+2T^4)}{T^4}$
5 <sub>2</sub>	$\frac{2-3T+2T^2}{T}$	$\frac{(-1+T)^2 (5-4T+5T^2)}{T^2}$
6 <sub>1</sub>	$-\frac{(-2+T) (-1+2T)}{T}$	$\frac{(-1+T)^2 (1-4T+T^2)}{T^2}$
6 <sub>2</sub>	$-\frac{1-3T+3T^2-3T^3+T^4}{T^2}$	$\frac{(-1+T)^2 (1-4T+4T^2-4T^3+4T^4-4T^5+T^6)}{T^4}$
6 <sub>3</sub>	$\frac{1-3T+5T^2-3T^3+T^4}{T^2}$	0



$$p = 1 - T^s$$

## Fast!



## Timing@

Z[GST48 = EPD[X<sub>14,1</sub>, X<sub>2,29</sub>, X<sub>3,40</sub>, X<sub>43,4</sub>, X<sub>26,5</sub>, X<sub>6,95</sub>,  
X<sub>96,7</sub>, X<sub>13,8</sub>, X<sub>9,28</sub>, X<sub>10,41</sub>, X<sub>42,11</sub>, X<sub>27,12</sub>, X<sub>30,15</sub>,  
X<sub>16,61</sub>, X<sub>17,72</sub>, X<sub>18,83</sub>, X<sub>19,34</sub>, X<sub>89,20</sub>, X<sub>21,92</sub>,  
X<sub>79,22</sub>, X<sub>68,23</sub>, X<sub>57,24</sub>, X<sub>25,56</sub>, X<sub>62,31</sub>, X<sub>73,32</sub>,  
X<sub>84,33</sub>, X<sub>50,35</sub>, X<sub>36,81</sub>, X<sub>37,70</sub>, X<sub>38,59</sub>, X<sub>39,54</sub>, X<sub>44,55</sub>,  
X<sub>58,45</sub>, X<sub>69,46</sub>, X<sub>80,47</sub>, X<sub>48,91</sub>, X<sub>90,49</sub>, X<sub>51,82</sub>, X<sub>52,71</sub>,  
X<sub>53,60</sub>, X<sub>63,74</sub>, X<sub>64,85</sub>, X<sub>76,65</sub>, X<sub>87,66</sub>, X<sub>67,94</sub>,  
X<sub>75,86</sub>, X<sub>88,77</sub>, X<sub>78,93</sub>]

$$\{170.313, \left\{ -\frac{1}{T^8} (-1 + 2T - T^2 - T^3 + 2T^4 - T^5 + T^8) \right.$$

$$\left. (-1 + T^3 - 2T^4 + T^5 + T^6 - 2T^7 + T^8), \frac{1}{T^{16}} \right\}$$

$$(-1 + T)^2 (5 - 18T + 33T^2 - 32T^3 + 2T^4 + 42T^5 - 62T^6 - 8T^7 + 166T^8 - 242T^9 + 108T^{10} + 132T^{11} - 226T^{12} + 148T^{13} - 11T^{14} - 36T^{15} - 11T^{16} + 148T^{17} - 226T^{18} + 132T^{19} + 108T^{20} - 242T^{21} + 166T^{22} - 8T^{23} - 62T^{24} + 42T^{25} + 2T^{26} - 32T^{27} + 33T^{28} - 18T^{29} + 5T^{30}) \}$$

## Strong!

{NumberOfKnots[{3, 12}],

Length@

Union@Table[Z[K], {K, AllKnots[{3, 12]}]},

Length@

Union@Table[{HOMFLYPT[K], Kh[K]},

{K, AllKnots[{3, 12]}]}]

{2977, 2882, 2785}

So the pair  $(\Delta, \rho_1)$  attains 2,882 distinct values on the 2,977 prime knots with up to 12 crossings (a deficit of 95), whereas the pair (HOMFLYPT, Khovanov Homology) attains only 2,785 distinct values on the same knots (a deficit of 192).

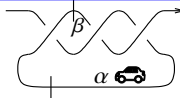


Hoste Ocneanu Millett Freyd Lickorish Yetter Przytycki Traczyk Khovanov

Video and more at <http://www.math.toronto.edu/~drorbn/Talks/Oaxaca-2210/>



**Theorem.** The Green function  $g_{\alpha\beta}$  is the reading of a traffic counter at  $\beta$ , if car traffic is injected at  $\alpha$  (if  $\alpha = \beta$ , the counter is *after* the injection point).



**Example.**

$$\sum_{p \geq 0} (1-T)^p = T^{-1} \quad T^{-1} \quad 0 \quad G = \begin{pmatrix} 1 & T^{-1} & 1 \\ 0 & T^{-1} & 1 \\ 0 & 0 & 1 \end{pmatrix}$$

**Proof.** Near a crossing  $c$  with sign  $s$ , incoming upper edge  $i$  and incoming lower edge  $j$ , both sides satisfy the  $g$ -rules:

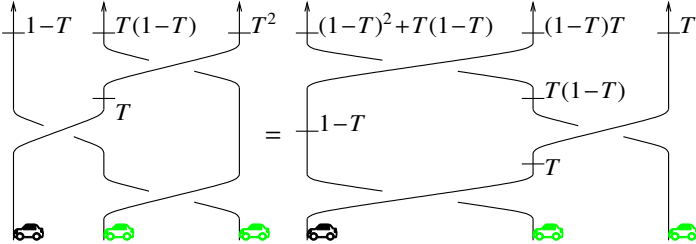
$$g_{i\beta} = \delta_{i\beta} + T^s g_{i+1,\beta} + (1-T^s) g_{j+1,\beta}, \quad g_{j\beta} = \delta_{j\beta} + g_{j+1,\beta},$$

and always,  $g_{\alpha,2n+1} = 1$ : use common sense and  $AG = I (= GA)$ .

**Bonus.** Near  $c$ , both sides satisfy the further  $g$ -rules:

$$g_{\alpha i} = T^{-s}(g_{\alpha,i+1} - \delta_{\alpha,i+1}), \quad g_{\alpha j} = g_{\alpha,j+1} - (1-T^s)g_{\alpha i} - \delta_{\alpha,j+1}.$$

**Invariance of  $\rho_1$ .** We start with the hardest, Reidemeister 3:



$\Rightarrow$  Overall traffic patterns are unaffected by Reid3!  
 $\Rightarrow$  Green's  $g_{\alpha\beta}$  is unchanged by Reid3, provided the cars injection site  $\alpha$  and the traffic counters  $\beta$  are away.  
 $\Rightarrow$  Only the contribution from the  $R_1$  terms within the Reid3 move matters, and using  $g$ -rules the relevant  $g_{\alpha\beta}$ 's can be pushed outside of the Reid3 area:

$\delta_{i_-,j_-} := \text{If}[i == j, 1, 0]$ ;  
 $gRules_{s_-,i_-,j_-} :=$

$$\{ g_{i\beta_-} \mapsto \delta_{i\beta_-} + T^s g_{i^+,\beta} + (1-T^s) g_{j^+,\beta}, \quad g_{j\beta_-} \mapsto \delta_{j\beta_-} + g_{j^+,\beta}, \\ g_{\alpha,i} \mapsto T^{-s}(g_{\alpha,i^+} - \delta_{\alpha,i^+}), \\ g_{\alpha,j} \mapsto g_{\alpha,j^+} - (1-T^s)g_{\alpha i} - \delta_{\alpha,j^+} \}$$

$lhs = R_1[1, j, k] + R_1[1, i, k^+] + R_1[1, i^+, j^+] // .$

$gRules_{1,j,k} \cup gRules_{1,i,k^+} \cup gRules_{1,i^+,j^+};$

$rhs = R_1[1, i, j] + R_1[1, i^+, k] + R_1[1, j^+, k^+] // .$

$gRules_{1,i,j} \cup gRules_{1,i^+,k} \cup gRules_{1,j^+,k^+};$

**Simplify**[lhs == rhs]

True

Next comes Reid1, where we use results from an earlier example:

$$R_1[1, 2, 1] - 1 (g_{22} - 1/2) / . \quad g_{\alpha,-,\beta} \mapsto \begin{pmatrix} 1 & T^{-1} & 1 \\ 0 & T^{-1} & 1 \\ 0 & 0 & 1 \end{pmatrix} \llbracket \alpha, \beta \rrbracket$$

$$\frac{1}{T^2} - \frac{1}{T} - \frac{-1 + \frac{1}{T}}{T} = \text{loop}$$

Invariance under the other moves is proven similarly.

**Wearing my Topology hat** the formula for  $R_1$ , and even the idea to look for  $R_1$ , remain a complete mystery to me.



**Wearing my Quantum Algebra hat,** I spy a Heisenberg algebra  $\mathbb{H} = A\langle p, x \rangle / ([p, x] = 1)$ :

cars  $\leftrightarrow p$  traffic counters  $\leftrightarrow x$

**Where did it come from?** Consider  $g_\epsilon := sl_{2+}^\epsilon := L\langle y, b, a, x \rangle$  with relations

$$[b, x] = \epsilon x, \quad [b, y] = -\epsilon y, \quad [b, a] = 0,$$

$$[a, x] = x, \quad [a, y] = -y, \quad [x, y] = b + \epsilon a.$$

At invertible  $\epsilon$ , it is isomorphic to  $sl_2$  plus a central factor, and it can be quantized à la Drinfel'd [Dr] much like  $sl_2$  to get an algebra  $QU = A\langle y, b, a, x \rangle$  subject to (with  $q = e^{\hbar\epsilon}$ ):

$$[b, a] = 0, \quad [b, x] = \epsilon x, \quad [b, y] = -\epsilon y,$$

$$[a, x] = x, \quad [a, y] = -y, \quad xy - qyx = \frac{1 - e^{-\hbar(b+\epsilon a)}}{\hbar}.$$

Now  $QU$  has an  $R$ -matrix solving Yang-Baxter (meaning Reid3),

$$R = \sum_{m,n \geq 0} \frac{y^m b^m \otimes (\hbar a)^m (\hbar x)^n}{m! [n]_q!}, \quad ([n]_q! \text{ is a "quantum factorial"})$$

and so it has an associated "universal quantum invariant" à la Lawrence and Ohtsuki [La, Oh],  $Z_\epsilon(K) \in QU$ .

Now  $QU \cong \mathcal{U}(g_\epsilon)$  (only as algebras!) and  $\mathcal{U}(g_\epsilon)$  represents into  $\mathbb{H}$  via

$$y \rightarrow -tp - \epsilon \cdot xp^2, \quad b \rightarrow t + \epsilon \cdot xp, \quad a \rightarrow xp, \quad x \rightarrow x,$$

(abstractly,  $g_\epsilon$  acts on its Verma module

$$\mathcal{U}(g_\epsilon) / (\mathcal{U}(g_\epsilon)\langle y, a, b - \epsilon a - t \rangle) \cong \mathbb{Q}[x]$$

by differential operators, namely via  $\mathbb{H}$ ), so  $R$  can be pushed to  $\mathcal{R} \in \mathbb{H} \otimes \mathbb{H}$ .

Everything still makes sense at  $\epsilon = 0$  and can be expanded near  $\epsilon = 0$  resulting with  $\mathcal{R} = \mathcal{R}_0(1 + \epsilon \mathcal{R}_1 + \dots)$ , with  $\mathcal{R}_0 = e^{t(xp \otimes 1 - x \otimes p)}$  and  $\mathcal{R}_1$  a quartic polynomial in  $p$  and  $x$ . So  $p$ 's and  $x$ 's get created along  $K$  and need to be pushed around to a standard location ("normal ordering"). This is done using

$$(p \otimes 1)\mathcal{R}_0 = \mathcal{R}_0(T(p \otimes 1) + (1-T)(1 \otimes p)),$$

$$(1 \otimes p)\mathcal{R}_0 = \mathcal{R}_0(1 \otimes p),$$

and when the dust settles, we get our formulas for  $\rho_1$ . But  $QU$  is a quasi-triangular Hopf algebra, and hence  $\rho_1$  is **homomorphic**. Read more at [BV1, BV2] and hear more at  $\omega\epsilon\beta/\text{SolvApp}$ ,  $\omega\epsilon\beta/\text{Dogma}$ ,  $\omega\epsilon\beta/\text{DoPeGDO}$ ,  $\omega\epsilon\beta/\text{FDA}$ ,  $\omega\epsilon\beta/\text{AQDW}$ .

Also, we can (and know how to) look at higher powers of  $\epsilon$  and we can (and more or less know how to) replace  $sl_2$  by arbitrary semi-simple Lie algebra (e.g., [Sch]). So  $\rho_1$  is **not alone!**



Schaveling

These constructions are very similar to Rozansky-Overbay [Ro1, Ro2, Ro3, Ov] and hence to the "loop expansion" of the Kontsevich integral and the coloured Jones polynomial.

If this all reads like **insanity** to you, it should (and you haven't seen half of it). Simple things should have simple explanations.

Hence, **Homework**. Explain  $\rho_1$  with no reference to quantum voodoo and find it a topology home (large enough to house generalizations!). Make explicit the homomorphic properties of  $\rho_1$ . Use them to do topology!

**P.S.** As a friend of  $\Delta$ ,  $\rho_1$  gives a genus bound, sometimes better than  $\Delta$ 's. How much further does this friendship extend?

**A Small-Print Page on  $\rho_d, d > 1$ .**

**Definition.**  $\langle f(z_i), h(\zeta_i) \rangle_{\zeta_i=0} := f(\partial_{\zeta_i})h|_{\zeta_i=0}$ , so  $\langle p^2 x^2, \otimes^{8\pi\epsilon} \rangle = 2g^2$ .

**Baby Theorem.** There exist (non unique) power series  $r^\pm(p_1, p_2, x_1, x_2) = \sum_d \epsilon^d r_d^\pm(p_1, p_2, x_1, x_2) \in \mathbb{Q}[T^{\pm 1}, p_1, p_2, x_1, x_2][[\epsilon]]$  with  $\deg r_d^\pm \leq 2d + 2$  ("docile") such that the power series  $Z^b = \sum \rho_d^b \epsilon^d :=$

$$\left\langle \exp\left(\sum_c r^s(p_i, p_j, x_i, x_j)\right), \exp\left(\sum_{\alpha, \beta} g_{\alpha\beta} \pi_\alpha \xi_\beta\right) \right\rangle_{\{p_\alpha, \bar{x}_\beta\}}$$

is a bnot invariant. Beyond the once-and-for-all computation of  $g_{\alpha\beta}$  (a matrix inversion),  $Z^b$  is computable in  $O(n^d)$  operations in the ring  $\mathbb{Q}[T^{\pm 1}]$ .

(Bnots are knot diagrams modulo the braid-like Reidemeister moves, but not the cyclic ones).

**Theorem.** There also exist docile power series  $\gamma^\varphi(\bar{p}, \bar{x}) = \sum_d \epsilon^d \gamma_d^\varphi \in \mathbb{Q}[T^{\pm 1}, \bar{p}, \bar{x}][[\epsilon]]$  such that the power series  $Z = \sum \rho_d \epsilon^d :=$

$$\left\langle \exp\left(\sum_c r^s(p_i, p_j, x_i, x_j) + \sum_k \gamma^{\varphi_k}(\bar{p}_k, \bar{x}_k)\right), \exp\left(\sum_{\alpha, \beta} g_{\alpha\beta}(\pi_\alpha + \bar{\pi}_\alpha)(\xi_\beta + \bar{\xi}_\beta) + \sum_\alpha \pi_\alpha \bar{\xi}_\alpha\right) \right\rangle_{\{p_\alpha, \bar{p}_\alpha, \bar{x}_\beta, \bar{y}_\beta\}}$$

is a knot invariant, as easily computable as  $Z^b$ .

**Implementation.** Data, then program (with output using the Conway variable  $z = \sqrt{T} - 1 / \sqrt{T}$ ), and then a demo. See `Rho.nb` of `wεβ/ap`.

```
V@r_{1,\varphi}[k_] := φ (1/2 - p_k x_k); V@r_{2,\varphi}[k_] := -φ^2 p_k x_k / 2;
V@r_{3,\varphi}[k_] := -φ^3 p_k x_k / 6
```

```
V@r_{1,s}[i_, j_] :=
s (-1 + 2 p_i x_i - 2 p_j x_j + (-1 + T^5) p_i p_j x_i^2 + (1 - T^5) p_j^2 x_i^2 - 2 p_i p_j x_i x_j + 2 p_j^2 x_i x_j) / 2
```

```
V@r_{2,1}[i_, j_] :=
(-6 p_i x_i + 6 p_j x_j - 3 (-1 + 3 T) p_i p_j x_i^2 + 3 (-1 + 3 T) p_j^2 x_i^2 + 4 (-1 + T) p_i^2 p_j x_i^3 -
2 (-1 + T) (5 + T) p_i p_j^2 x_i^2 + 2 (-1 + T) (3 + T) p_j^3 x_i^2 + 18 p_i p_j x_i x_j -
18 p_j^2 x_i x_j - 6 p_i^2 p_j x_i^2 x_j + 6 (2 + T) p_i p_j^2 x_i^2 x_j - 6 (1 + T) p_j^3 x_i^2 x_j -
6 p_i p_j^2 x_i x_j^2 + 6 p_j^3 x_i x_j^2) / 12
```

```
V@r_{2,-1}[i_, j_] :=
(-6 T^2 p_i x_i + 6 T^2 p_j x_j + 3 (-3 + T) T p_i p_j x_i^2 - 3 (-3 + T) T p_j^2 x_i^2 -
4 (-1 + T) T p_i^2 p_j x_i^3 + 2 (-1 + T) (1 + 5 T) p_i p_j^2 x_i^2 - 2 (-1 + T) (1 + 3 T) p_j^3 x_i^2 +
18 T^2 p_i p_j x_i x_j - 18 T^2 p_j^2 x_i x_j - 6 T^2 p_i^2 p_j x_i^2 x_j + 6 T (1 + 2 T) p_i p_j^2 x_i^2 x_j -
6 T (1 + T) p_j^3 x_i^2 x_j - 6 T^2 p_i p_j^2 x_i x_j^2 + 6 T^2 p_j^3 x_i x_j^2) / (12 T^2)
```

`Z2[GST48]` (\* takes a few minutes \*)

$$\begin{aligned} & \{1 - 4z^2 - 61z^4 - 207z^6 - 296z^8 - 210z^{10} - 77z^{12} - 14z^{14} - z^{16}, \\ & 1 + (38z^2 + 255z^4 + 1696z^6 + 16281z^8 + 86952z^{10} + 259994z^{12} + 487372z^{14} + 615066z^{16} + 543148z^{18} + 341714z^{20} + \\ & 153722z^{22} + 48983z^{24} + 10776z^{26} + 1554z^{28} + 132z^{30} + 5z^{32}) \epsilon + \\ & (-8 - 484z^2 + 9709z^4 + 165952z^6 + 1590491z^8 + 16256508z^{10} + 115341797z^{12} + 432685748z^{14} + 395838354z^{16} - 4017557792z^{18} - 23300064167z^{20} - \\ & 70082264972z^{22} - 142572271191z^{24} - 209475503700z^{26} - 221616295209z^{28} - 151502648428z^{30} - 23700199243z^{32} + \\ & 99462146328z^{34} + 164920463074z^{36} + 162550825432z^{38} + 119164552296z^{40} + 69153062608z^{42} + 32547596611z^{44} + 12541195448z^{46} + \\ & 3961384155z^{48} + 1021219696z^{50} + 212773106z^{52} + 35264208z^{54} + 4537548z^{56} + 436600z^{58} + 29536z^{60} + 1252z^{62} + 25z^{64}) \epsilon^2 \} \end{aligned}$$

`TableForm[Table[Join[{K[[1]][K[[2]]], Z3[K]}, {K, AllKnots[{3, 6]}}], TableAlignments -> Center]` (\* takes a few minutes \*)

$3_1$	$1 + z^2$	$1 + (2z^2 + z^4) \epsilon + (2 - 4z^2 + 3z^4 + 4z^6 + z^8) \epsilon^2 + (-12 + 74z^2 - 27z^4 - 20z^6 + 8z^8 + 6z^{10} + z^{12}) \epsilon^3$
$4_1$	$1 - z^2$	$1 + (-2 + 2z^2) \epsilon^2$
$5_1$	$1 + 3z^2 + z^4$	$1 + (10z^2 + 21z^4 + 12z^6 + 2z^8) \epsilon + (6 - 28z^2 + 33z^4 + 364z^6 + 655z^8 + 536z^{10} + 227z^{12} + 48z^{14} + 4z^{16}) \epsilon^2 + (-60 + 970z^2 + 645z^4 - 3380z^6 - 3280z^8 + 7470z^{10} + 19475z^{12} + 20536z^{14} + 12564z^{16} - 4774z^{18} + 1109z^{20} + 144z^{22} + 8z^{24}) \epsilon^3$
$5_2$	$1 + 2z^2$	$1 + (6z^2 + 5z^4) \epsilon + (4 - 20z^2 + 43z^4 + 64z^6 + 26z^8) \epsilon^2 + (-36 + 498z^2 - 883z^4 + 100z^6 + 816z^8 + 556z^{10} + 146z^{12}) \epsilon^3$
$6_1$	$1 - 2z^2$	$1 + (-2z^2 + z^4) \epsilon + (-4 + 4z^2 + 25z^4 - 8z^6 + 2z^8) \epsilon^2 + (12 - 154z^2 - 223z^4 - 608z^6 - 100z^8 - 52z^{10} + 10z^{12}) \epsilon^3$
$6_2$	$1 - z^2 - z^4$	$1 + (-2z^2 - 3z^4 + 2z^6 + z^8) \epsilon + (-2 - 4z^2 + 29z^4 + 28z^6 + 42z^8 - 8z^{10} - 2z^{12} + 4z^{14} + 2z^{16}) \epsilon^2 + (12 + 166z^2 + 155z^4 - 194z^6 - 2453z^8 - 1622z^{10} - 1967z^{12} - 258z^{14} + 49z^{16} - 30z^{18} + z^{20} + 6z^{22} + z^{24}) \epsilon^3$
$6_3$	$1 + z^2 + z^4$	$1 + (2 + 8z^2 - 16z^4 - 24z^6 - 16z^{10} - 2z^{12}) \epsilon^2$

```
V@r_{3,1}[i_, j_] :=
(4 p_i x_i - 4 p_j x_j + 2 (5 + 7 T) p_i p_j x_i^2 - 2 (5 + 7 T) p_j^2 x_i^2 - 4 (-5 + 6 T) p_i^2 p_j x_i^3 +
4 (-16 + 17 T + 2 T^2) p_i p_j^2 x_i^2 - 4 (-11 + 11 T + 2 T^2) p_j^3 x_i^2 + 3 (-1 + T) p_i^3 p_j x_i^3 -
3 (-1 + T) (4 + 3 T) p_i^2 p_j^2 x_i^2 + (-1 + T) (13 + 22 T + T^2) p_i p_j^3 x_i^2 -
(-1 + T) (4 + 13 T + T^2) p_j^4 x_i^2 - 28 p_i p_j x_i x_j + 28 p_j^2 x_i x_j + 36 p_i^2 p_j x_i^2 x_j -
12 (9 + 2 T) p_i p_j^2 x_i^2 x_j + 24 (3 + T) p_j^3 x_i^2 x_j - 4 p_i^3 p_j x_i^3 x_j + 28 T p_i^2 p_j^2 x_i^2 x_j -
4 (-6 + 17 T + T^2) p_i p_j^3 x_i^2 x_j + 4 (-5 + 10 T + T^2) p_j^4 x_i^2 x_j + 24 p_i p_j^2 x_i x_j^2 -
24 p_j^3 x_i x_j^2 - 24 p_i^2 p_j^2 x_i^2 x_j^2 + 6 (10 + T) p_i p_j^3 x_i^2 x_j^2 - 6 (6 + T) p_j^4 x_i^2 x_j^2 -
4 p_i p_j^3 x_i x_j^2 + 4 p_j^4 x_i x_j^2) / 24
```

```
V@r_{3,-1}[i_, j_] :=
(-4 T^3 p_i x_i + 4 T^3 p_j x_j - 2 T^2 (7 + 5 T) p_i p_j x_i^2 + 2 T^2 (7 + 5 T) p_j^2 x_i^2 -
4 T^2 (-6 + 5 T) p_i^2 p_j x_i^3 + 4 T (-2 - 17 T + 16 T^2) p_i p_j^2 x_i^2 -
4 T (-2 - 11 T + 11 T^2) p_j^3 x_i^2 + 3 (-1 + T) T^2 p_i p_j x_i^3 - 3 (-1 + T) T (3 + 4 T) p_i^2 p_j^2 x_i^2 +
(-1 + T) (1 + 22 T + 13 T^2) p_i p_j^3 x_i^2 - (-1 + T) (1 + 13 T + 4 T^2) p_j^4 x_i^2 +
28 T^3 p_i p_j x_i x_j - 28 T^3 p_j^2 x_i x_j - 36 T^3 p_i^2 p_j x_i^2 x_j + 12 T^2 (2 + 9 T) p_i p_j^2 x_i^2 x_j -
24 T^2 (1 + 3 T) p_j^3 x_i^2 x_j + 4 T^3 p_i^3 p_j x_i^3 x_j - 28 T^2 p_i^2 p_j^2 x_i^2 x_j -
4 T (-1 - 17 T + 6 T^2) p_i p_j^3 x_i^2 x_j + 4 T (-1 - 10 T + 5 T^2) p_j^4 x_i^2 x_j -
24 T^3 p_i p_j^2 x_i^2 x_j^2 + 24 T^3 p_j^3 x_i x_j^2 + 24 T^2 p_i^2 p_j^2 x_i^2 x_j^2 - 6 T^2 (1 + 10 T) p_i p_j^3 x_i^2 x_j^2 +
6 T^2 (1 + 6 T) p_j^4 x_i^2 x_j^2 + 4 T^3 p_i p_j^3 x_i x_j^2 - 4 T^3 p_j^4 x_i x_j^2) / (24 T^3)
```

```
{p*, x*, p-bar, x-bar} = {π, ε, π-bar, ε-bar}; (z_{i-})^* := (z^*)_i;
Zip_{(t)}[e_] := e;
Zip_{(z, z_s...)}[e_] :=
(Collect[e // Zip_{(z_s)}[z] /. f_ -> z^{d-} -> (D[f, {z^*, d}])] /. z^* -> 0
```

```
gPair[f_s_, w_] :=
gPair[f_s, w] =
Collect[Zip_{Join@Table[{p_α, p-bar_α, x_α, x-bar_α}, {α, w}]} [
(Times @@ (V @ f_s))
Exp[Sum[g_{α,β} (π_α + p-bar_α) (ε_β + ε-bar_β), {α, w}, {β, w}] - Sum[ε-bar_α π_α, {α, w}]]],
g_., Factor]
```

```
T2z[p_] := Module[{q = Expand[p], n, c},
If[q == 0, 0, c = Coefficient[q, T, n = Exponent[q, T]];
c z^{2^n} + T2z[q - c (T^{1/2} - T^{-1/2})^{2^n}]]];
```

```
Z_d[K_] := Module[{Cs, φ, n, A, s, i, j, k, Δ, G, d1, Z1, Z2, Z3},
{Cs, φ} = Rot[K]; n = Length[Cs]; A = IdentityMatrix[2 n + 1];
Cases[Cs, {s_, i_, j_} -> {A[[{i, j}], {i + 1, j + 1}]}] += {{-T^5 T^5 - 1} / 0};
{Δ, G} = Factor@{T^{-Total[φ] - Total[Cs[[All, 1]]]} / 2 Det@A, Inverse@A};
Z1 =
Exp[Total[Cases[Cs, {s_, i_, j_} -> Sum[e^{d1} r_{d1,s}[i, j], {d1, d}]]] +
Sum[e^{d1} γ_{d1,φ}[k], {k, 2 n}], {d1, d}] /. y_{_0}[_] -> 0];
Z2 = Expand[F[{}, {}] × Normal@Series[Z1, {ε, 0, d}]] /.
F[f_s_, {e_s...}] × (f : (r | γ)_{p_s...}[i_s...])^{p_s} ->
F[Join[f_s, Table[f, p]], DeleteDuplicates@{e_s, i_s}];
Z3 = Expand[Z2 /. F[f_s_, e_s_] -> Expand[gPair[
Replace[f_s, Thread[e_s -> Range[Length@e_s], {2}], Length@e_s
] /. g_{α,β} -> G[[e_s[[α], e_s[[β]]]]]];
Collect[{Δ, Z3 /. e^{p_s} -> p! Δ^{2^p} e^p}, ε, T2z]]];
```



# Tangles in a Pole Dance Studio: A Reading of Massuyeau, Alekseev, and Naef

**Preliminary Definitions.** Fix  $p \in \mathbb{N}$  and  $\mathbb{F} = \mathbb{Q}/\mathbb{C}$ . Let  $D_p := D^2 \setminus (p \text{ pts})$ , and let the **Pole Dance Studio** be  $PDS_p := D_p \times I$ .



**Abstract.** I will report on joint work with Zsuzsanna Dancso, Tamara Hogan, Jessica Liu, and Nancy Scherich. Little of what we do is original, and much of it is simply a reading of Massuyeau [Ma] and Alekseev and Naef [AN1].



We study the pole-strand and strand-strand double filtration on the space of tangles in a pole dance studio (a punctured disk cross an interval), the corresponding homomorphic expansions, and a strand-only HOMFLY-PT relation. When the strands are transparent or nearly transparent to each other we recover and perhaps simplify substantial parts of the work of the aforementioned authors on expansions for the Goldman-Turaev Lie bi-algebra.



Jessica, Nancy, Tamara, Zsuzsi, & Dror in PDS<sub>3</sub>

**Definitions.** Let  $\pi := FG\langle X_1, \dots, X_p \rangle$  be the free group (of deformation classes of based curves in  $D_p$ ),  $\bar{\pi}$  be the framed free group (deformation classes of based immersed curves),  $|\pi|$  and  $|\bar{\pi}|$  denote  $\mathbb{F}$ -linear combinations of cyclic words ( $|x_i w| = |w x_i|$ , unbased curves),  $A := FA\langle x_1, \dots, x_p \rangle$  be the free associative algebra, and let  $|A| := A/(x_i w = w x_i)$  denote cyclic algebra words.



**Theorem 1** (Goldman, Turaev, Massuyeau, Alekseev, Kawazumi, Kuno, Naef).  $|\bar{\pi}|$  and  $|A|$  are Lie bialgebras, and there is a “homomorphic expansion”  $W: |\bar{\pi}| \rightarrow |A|$ : a morphism of Lie bialgebras with  $W(|X_i|) = 1 + |x_i| + \dots$

**Further Definitions.** •  $\mathcal{K} = \mathcal{K}_0 = \mathcal{K}_0^0 = \mathcal{K}(S) := \mathbb{F}\langle \text{framed tangles in } PDS_p \rangle$ .  
•  $\mathcal{K}_i^s := (\text{the image via } \mathcal{X} \rightarrow \mathcal{Y} - \mathcal{Z} \text{ of tangles in } PDS_p \text{ that have } t \text{ double points, of which } s \text{ are strand-strand}).$



E.g.,  $\mathcal{K}_5^2(\bigcirc) = \left\langle \begin{array}{c} \text{Diagram} \\ \text{Diagram} \end{array} \right\rangle / \mathcal{X} \rightarrow \mathcal{Y} - \mathcal{Z}$   
•  $\mathcal{K}^s := \mathcal{K}/\mathcal{K}^s$ . Most important,  $\mathcal{K}^1(\bigcirc) = |\bar{\pi}|$ , and there is  $P: \mathcal{K}(\bigcirc) \rightarrow |\bar{\pi}|$ .  
•  $\mathcal{A} := \prod \mathcal{K}_i/\mathcal{K}_{i+1}$ ,  $\mathcal{A}^s := \prod \mathcal{K}_i^s/\mathcal{K}_{i+1}^s \subset \mathcal{A}$ ,  $\mathcal{A}^s := \mathcal{A}/\mathcal{A}^s$ .

**Fact 1.** The Kontsevich Integral is an “expansion”  $Z: \mathcal{K} \rightarrow \mathcal{A}$ , compatible with several noteworthy structures.

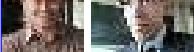
**Fact 2** (Le-Murakami, [LM1]).  $Z$  satisfies the strand-strand HOMFLY-PT relations: It descends to  $Z_H: \mathcal{K}_H \rightarrow \mathcal{A}_H$ , where

$$\mathcal{K}_H := \mathcal{K} / \left( \begin{array}{c} \text{Diagram} \\ \text{Diagram} \end{array} = (e^{\hbar/2} - e^{-\hbar/2}) \cdot \begin{array}{c} \text{Diagram} \\ \text{Diagram} \end{array} \right)$$

$$\mathcal{A}_H := \mathcal{A} / \left( \begin{array}{c} \text{Diagram} \\ \text{Diagram} \end{array} = \hbar \begin{array}{c} \text{Diagram} \\ \text{Diagram} \end{array} \text{ or } \begin{array}{c} \text{Diagram} \\ \text{Diagram} \end{array} = \hbar \begin{array}{c} \text{Diagram} \\ \text{Diagram} \end{array} \right)$$

and  $\deg \hbar = (1, 1)$ .

**Proof of Fact 2.**  $Z(\mathcal{X}) - Z(\mathcal{Y}) = \mathcal{X} \cdot (e^{\hbar/2} - e^{-\hbar/2})$   
 $= \mathcal{X} \cdot (e^{\hbar \times/2} - e^{-\hbar \times/2}) = (e^{\hbar/2} - e^{-\hbar/2}) \mathcal{Y}$ .  $\square$



Le, Murakami

**Other Passions.** With Roland van der Veen, I use “solvable approximation” and “Perturbed Gaussian Differential Operators” to unveil simple, strong, fast to compute, and topologically meaningful knot invariants near the Alexander polynomial. ( $\subset$  polymath!)

van der Veen

**Key 1.**  $W: |\bar{\pi}| \rightarrow |A|$  is  $Z_H^1: \mathcal{K}_H^1(\bigcirc) \rightarrow \mathcal{A}_H^1(\bigcirc)$ .  
**Key 2** (Schematic). Suppose  $\lambda_0, \lambda_1: |\bar{\pi}| \rightarrow \mathcal{K}(\bigcirc)$  are two ways of lifting plane curves into knots in  $PDS_p$  (namely,  $P \circ \lambda_i = I$ ). Then for  $\gamma \in |\bar{\pi}|$ ,

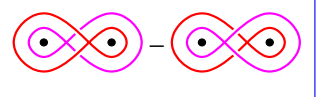
$$\eta(\gamma) := (\lambda_0(\gamma) - \lambda_1(\gamma))/\hbar \in \mathcal{K}_H^1(\bigcirc\bigcirc) = |\bar{\pi}| \otimes |\bar{\pi}|$$

and we get an operation  $\eta$  on plane curves. If Kontsevich likes  $\lambda_0$  and  $\lambda_1$  (namely if there are  $\lambda_i^q$  with  $Z^2(\lambda_i(\gamma)) = \lambda_i^q(W(\gamma))$ ), then  $\eta$  will have a compatible algebraic companion  $\eta^q$ :

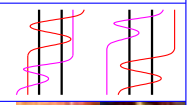
$$\eta^q(\alpha) := (\lambda_0^q(\alpha) - \lambda_1^q(\alpha))/\hbar \in \mathcal{A}_H^1(\bigcirc\bigcirc) = |A| \otimes |A|.$$

For indeed, in  $\mathcal{A}_H^2$  we have  $\hbar W(\eta(\gamma)) = \hbar Z(\eta(\gamma)) = Z(\lambda_0(\gamma)) - Z(\lambda_1(\gamma)) = \lambda_0^q(W(\gamma)) - \lambda_1^q(W(\gamma)) = \hbar \eta^q(W(\gamma))$ .

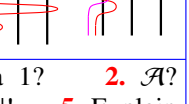
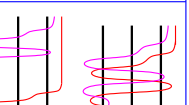
**Example 1.** With  $\gamma_1, \gamma_2 \in |\bar{\pi}|$  (or  $|\bar{\pi}|$ ) set  $\lambda_0(\gamma_1, \gamma_2) = \tilde{\gamma}_1 \cdot \tilde{\gamma}_2$  and  $\lambda_1(\gamma_1, \gamma_2) = \tilde{\gamma}_2 \cdot \tilde{\gamma}_1$  where  $\tilde{\gamma}_i$  are arbitrary lifts of  $\gamma_i$ . Then  $\eta_1$  is the Goldman bracket! Note that here  $\lambda_0$  and  $\lambda_1$  are not well-defined, yet  $\eta_1$  is.



**Example 2.** With  $\gamma_1, \gamma_2 \in \pi$  (or  $\bar{\pi}$ ) and with  $\lambda_0, \lambda_1$  as on the right, we get the “double bracket”  $\eta_2: \pi \otimes \pi \rightarrow \pi \otimes \pi$  (or  $\bar{\pi} \otimes \bar{\pi} \rightarrow \bar{\pi} \otimes \bar{\pi}$ ).



**Example 3.** With  $\gamma \in \bar{\pi}$  and  $\lambda_0(\gamma)$  its ascending realization as a bottom tangle and  $\lambda_1(\gamma)$  its descending realization as a bottom tangle, we get  $\eta_3: \bar{\pi} \rightarrow \bar{\pi} \otimes |\bar{\pi}|$ . Closing the first component and anti-symmetrizing, this is the Turaev cobracket.



**Example 4** [Ma]. With  $\gamma \in \bar{\pi}$  and  $\lambda_0(\gamma)$  its ascending outer double and  $\lambda_1(\gamma)$  its ascending inner double we get  $\eta_4: \bar{\pi} \rightarrow \bar{\pi} \otimes \bar{\pi}$ . After some massaging, it too becomes the Turaev cobracket.

The rest is essentially **Exercises**: 1. Lemma 1? 2.  $\mathcal{A}$ ? 3. Fact 2? 4.  $\mathcal{A}^1$ ? Especially,  $\mathcal{A}^1(\bigcirc) \cong |A|$ ! 5. Explain why Kontsevich likes our  $\lambda$ 's. 6. Figure out  $\eta_i^q, i = 1, \dots, 4$ .



**Kontsevich in a Pole Dance Studio.** (w/o poles? See [Ko, BN])

$$Z = \left( \sum_{m=0}^{\infty} \frac{1}{(2\pi i)^m} \sum_{\substack{I_1 < \dots < I_m \\ P = \{(z_i, z'_i)\}}} (-1)^{\#P_1} D_P \bigwedge_{i=1}^m \frac{dz_i - dz'_i}{z_i - z'_i} \right) \in \mathcal{A}$$

graded by the number of chords  
filtered by the number of ss chords



**Comments on the Kontsevich Integral.**

1. In the tangle case, the endpoints are fixed at top and bottom.
2. The  $(\dots)^\sim$  means “a correction is needed near the caps and the cups” (for the framed version, see [LM2, Da]).
3. There are never  $pp$  chords, and no  $4T_{pps}$  and  $4T_{ppp}$  relations.
4.  $Z$  is an “expansion”.
5.  $Z$  respects the  $ss$  filtration and so descends to  $Z^/s$ :  $\mathcal{K}^/s \rightarrow \mathcal{A}^/s$ .

**Comments on  $\mathcal{A}$ .** In  $\mathcal{A}^/1$  legs on poles commute, so  $\mathcal{A}^/1(\bigcirc) = |A|!$

In  $\mathcal{A}_H^/2$  we have:

$$\left[ \begin{array}{c} \text{crossing} \\ \text{with correction} \end{array} \right] = \hbar \left( \left[ \begin{array}{c} \text{crossing} \\ \text{with loop} \end{array} \right] \right)$$

**Example 1<sup>a</sup>.**  $\eta_1^a(|xyxy|, |xyx|) =$

$$\hbar^{-1} \left[ \begin{array}{c} \text{link 1} \\ \text{link 2} \end{array} \right] = \hbar^{-1} \left[ \begin{array}{c} \text{link 1} \\ \text{link 2} \end{array} \right] + \dots$$

$$= \dots = x \left[ \begin{array}{c} x \\ y \\ y \\ x \end{array} \right] - \dots = |xyxyxy| - |xyxyx| + \dots$$

**Example 3<sup>a</sup>.** Ignoring complications,  $\eta_3^a(xxyxyx) =$

$$= \hbar^{-1} \left( \left[ \begin{array}{c} \text{link 1} \\ \text{link 2} \end{array} \right] - \left[ \begin{array}{c} \text{link 3} \\ \text{link 4} \end{array} \right] \right) = \hbar^{-1} \left[ \begin{array}{c} \text{link 1} \\ \text{link 2} \end{array} \right] + \dots = \hbar^{-1} \left[ \begin{array}{c} \text{link 1} \\ \text{link 2} \end{array} \right] + \dots$$

$$= \dots = xxx \otimes |yx| - xxyx \otimes |y| + \dots$$

**Proof of Lemma 1.** We partially prove Theorem 2 instead:  
**Theorem 2.**  $\text{gr}^\bullet \mathcal{K}_H \cong \mathbb{F}[[\hbar]] \otimes (\mathcal{K}^/1)_0$ .  
**Proof mod  $\hbar^2$ .** The map  $\leftarrow$  is obvious. To go  $\rightarrow$ , map  $\mathcal{K}_H \rightarrow \mathbb{F}[[\hbar]] \otimes \mathcal{K}^/1$  using  $\nearrow \mapsto \nearrow + \frac{\hbar}{2} \zeta$  and  $\searrow \mapsto \searrow - \frac{\hbar}{2} \zeta$  and apply the functor  $\text{gr}^\bullet$ .

**Unignoring the Complications.** We need  $\lambda_0$  and  $\lambda_1$  such that:

1.  $\lambda_1(\gamma)$  is obtained from  $\lambda_0(\gamma)$  by flipping all self-intersections from ascending to descending.
2. Up to conjugation,  $\lambda_1(\gamma)$  is obtained from  $\lambda_0(\gamma)$  by a global flip.
3.  $Z(\lambda_i(\gamma))$  is computable from  $W(\gamma)$  and  $Z^/1(\lambda_i(\gamma)) = W(\gamma)$ .

View from above:  
Knitting needles  
Yarn

1. Is there more than Examples 1–4? **Homework**
2. Derive the bialgebra axioms from this perspective.
3. What more do we get if we don't mod out by HOMFLY-PT?
4. What more do we get if we allow more than one strand-strand interaction?
5. In this language, recover Kashiwara-Vergne [AKKN1, AKKN2].
6. How is all this related to w-knots?
7. Do the same with associators. Use that to derive formulas for solutions of Kashiwara-Vergne.
8. What's the relationship with the Habiro-Massuyeau invariants of links in handlebodies [HM] (different filtration!).
9. Pole dance on other surfaces!
10. Explore the action of the mapping class group.



**Acknowledgement.** This work was partially supported by NSERC grant RGPIN-2018-04350 and by the Chu Family Foundation (NYC). I also wish to thanks A. Alekseev, F. Naef, and M. Ren for listening to an earlier version and catching some bugs, and Dhanya S. for the dance studio photos. And of course, **thanks for listening!**

**References**

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[LM2] T. Q. T. Le & J. Murakami, *The Universal Vassiliev-Kontsevich Invariant for Framed Oriented Links*, Comp. Math. **102-1** (1996) 41–64, arXiv: hep-th/9401016.

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# Kashaev's Signature Conjecture

CMS Winter 2021 Meeting, December 4, 2021

Dror Bar-Natan with Sina Abbasi

These slides and all the code within are available at <http://drorbn.net/cms21>.

(I'll post the video there too)

**Agenda.** Show and tell with signatures.

**Abstract.** I will display side by side two nearly identical computer programs whose inputs are knots and whose outputs seem to always be the same. I'll then admit, very reluctantly, that I don't know how to prove that these outputs are always the same. One program I wrote mostly in Bedlewo, Poland, in the summer of 2003 and as of recently I understand why it computes the Levine-Tristram signature of a knot. The other is based on the 2018 preprint *On Symmetric Matrices Associated with Oriented Link Diagrams* by Rinat Kashaev (arXiv:1801.04632), where he conjectures that a certain simple algorithm also computes that same signature.

If you can, please turn your video on! (And mic, whenever needed).

```

Bed[K_ , ω_] :=
Module[{t, r, KingsByArmpits, bends, faces, p, A, is},
  t = 1 - ω; r = 1 + t;
  KingsByArmpits =
  List @@ PD[K] /. x : X[{i_ , j_ , h_ , l_}] =>
  If[PositiveQ[x], X[-i, j, h, -l], X[-j, h, l, -i]];
  bends = Times @@ KingsByArmpits /.
  _[X][a_ , b_ , c_ , d_ ] => Pa_ -> Pb_ -> Pc_ -> Pd_ -> c;
  faces = bends /. {Dx_ , y_ , Dx_ , z_ } => Dx_ y_ z;
  A = Table[0, Length@faces, Length@faces];
  Do[is = Position[faces, #][[1, 1]] & /@ List @@ x;
  A[is, is] += If[Head[x] == X,
    
$$\begin{pmatrix} r & -t & t & t \\ -t & 0 & t & 0 \\ 2t & t & -t & -t \\ t & 0 & -t & 0 \end{pmatrix}$$
,
    
$$\begin{pmatrix} r & -t & -2t & t \\ -t & 0 & t & 0 \\ -2t & t & t & -t \\ t & 0 & -t & 0 \end{pmatrix}$$
];
  {x, KingsByArmpits};
  MatrixSignature[A];

```

```

Kas[K_ , ω_] :=
Module[{u, v, KingsByArmpits, bends, faces, p, A, is},
  u = Re[ω]; v = Re[-ω];
  KingsByArmpits =
  List @@ PD[K] /. x : X[{i_ , j_ , h_ , l_}] =>
  If[PositiveQ[x], X[-i, j, h, -l], X[-j, h, l, -i]];
  bends = Times @@ KingsByArmpits /.
  _[X][a_ , b_ , c_ , d_ ] => Pa_ -> Pb_ -> Pc_ -> Pd_ -> c;
  faces = bends /. {Dx_ , y_ , Dx_ , z_ } => Dx_ y_ z;
  A = Table[0, Length@faces, Length@faces];
  Do[is = Position[faces, #][[1, 1]] & /@ List @@ x;
  A[is, is] += If[Head[x] == X,
    
$$\begin{pmatrix} u & v & 1 & 1 \\ u & 1 & 1 & 1 \\ 1 & u & v & 1 \\ u & 1 & 1 & 1 \end{pmatrix}$$
,
    
$$\begin{pmatrix} u & v & 1 & 1 \\ u & 1 & 1 & 1 \\ 1 & u & v & 1 \\ u & 1 & 1 & 1 \end{pmatrix}$$
];
  {x, KingsByArmpits};
  (MatrixSignature[A] - Writhe[K]) / 2;

```

### Why am I showing you code?

- ▶ I love code — it's fun!
- ▶ Believe it or not, it is more expressive than math-talk (though I'll do the math-talk as well, to confirm with prevailing norms).
- ▶ It is directly verifiable. Once it is up and running, you'll never ask yourself "did he misplace a sign somewhere"?

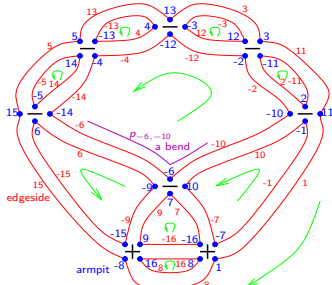
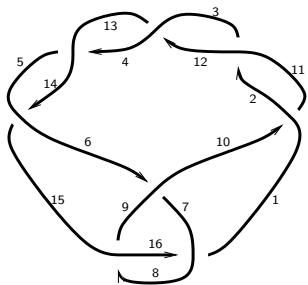
### Verification.

```

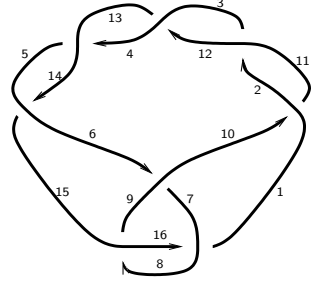
Once[<< KnotTheory`
Loading KnotTheory` version of February 2, 2020, 10:53:45.2097.
Read more at http://katlas.org/wiki/KnotTheory.
MatrixSignature[A_] :=
  Total[Sign[Select[Eigenvalues[A], Abs[#] > 10^-12 &]]];
Writhe[K_] := Sum[If[PositiveQ[x], 1, -1], {x, List @@ PD@K}];
Sum[ω = e^{i RandomReal[{0, 2 π]}; Bed[K, ω] == Kas[K, ω], {10},
  {K, AllKnots[{3, 10}]}]
KnotTheory: Loading precomputed data in PD4Knots.
2490 True

```

### Label everything!



PD[X[10, 1, 11, 2], X[2, 11, 3, 12], ...] {X[-1, 11, 2, -10], X[-11, 3, 12, -2], ...}



Lets run our code line by line...

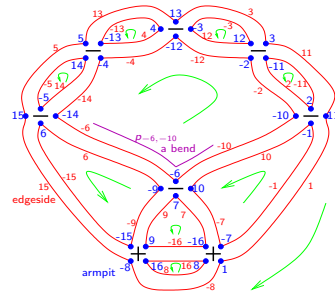
```

PD[8_2] = PD[X[10, 1, 11, 2],
  X[2, 11, 3, 12], X[12, 3, 13, 4],
  X[4, 13, 5, 14], X[14, 5, 15, 6],
  X[8, 16, 9, 15], X[16, 8, 1, 7],
  X[6, 9, 7, 10]];
K = 8_2;

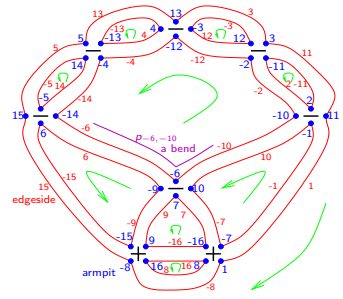
```

Video and more at <http://www.math.toronto.edu/~drorbn/Talks/CMS-2112/>

```
XingsByArmpits =
List@@PD[K] /.
x : X[i_, j_, k_, l_] =>
If[PositiveQ[x], X, [-i, j, k, -l],
X, [-j, k, l, -i]]
{X.[-1, 11, 2, -10], X.[-11, 3, 12, -2],
X.[-3, 13, 4, -12], X.[-13, 5, 14, -4],
X.[-5, 15, 6, -14], X.[-8, 16, 9, -15],
X.[-16, 8, 1, -7], X.[-9, 7, 10, -6]}
```



```
bends = Times @@ XingsByArmpits /.
_ [X] [a_, b_, c_, d_] =>
P[a,-d] P[b,-a] P[c,-b] P[d,-c]
P-16,7 P-15,-9 P-14,-6 P-13,4 P-12,-4 P-11,2
P-10,-2 P-9,6 P-8,15 P-7,-1 P-6,-10 P-5,14
P-4,-14 P-3,12 P-2,-12 P-1,10 P1,-8 P2,-11
P3,11 P4,-13 P5,13 P6,-15 P7,9 P8,16 P9,-16
P10,-7 P11,1 P12,-3 P13,3 P14,-5 P15,5 P16,8
faces = bends /. P[x_,y_,z_] => P[x,y,z]
P-13,4,-13 P-11,2,-11 P-5,14,-5 P-3,12,-3
P8,16,8 P6,-15,-9,6 P9,-16,7,9 P10,-7,-1,10
P-10,-2,-12,-4,-14,-6,-10 P1,-8,15,5,13,3,11,1
```



```
A = Table[0, Length@faces, Length@faces];
A // MatrixForm
```

$$\begin{pmatrix} 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{pmatrix}$$

```
Do[is = Position[faces, #][[1, 1]] & /@ List@@x;
A[[is, is]] += If[Head[x] === X,

$$\begin{pmatrix} v & u & 1 & u \\ u & 1 & u & 1 \\ 1 & u & v & u \\ u & 1 & u & 1 \end{pmatrix} - \begin{pmatrix} v & u & 1 & u \\ u & 1 & u & 1 \\ 1 & u & v & u \\ u & 1 & u & 1 \end{pmatrix}],
{x, XingsByArmpits}];$$

```

```
x = XingsByArmpits[[1]]
X.[-1, 11, 2, -10]
faces
```

```
P-13,4,-13 P-11,2,-11 P-5,14,-5 P-3,12,-3 P8,16,8 P6,-15,-9,6
P9,-16,7,9 P10,-7,-1,10 P-10,-2,-12,-4,-14,-6,-10 P1,-8,15,5,13,3,11,1
is = Position[faces, #][[1, 1]] & /@ List@@x
{8, 10, 2, 9}
```

```
A[[is, is]] += If[Head[x] === X,

$$\begin{pmatrix} v & u & 1 & u \\ u & 1 & u & 1 \\ 1 & u & v & u \\ u & 1 & u & 1 \end{pmatrix} - \begin{pmatrix} v & u & 1 & u \\ u & 1 & u & 1 \\ 1 & u & v & u \\ u & 1 & u & 1 \end{pmatrix}],$$

```

```
A // MatrixForm

$$\begin{pmatrix} 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & -v & 0 & 0 & 0 & 0 & -1 & -u & -u & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 & 0 & 0 & -v & -u & -u & 0 \\ 0 & -u & 0 & 0 & 0 & 0 & -u & -1 & -1 & 0 \\ 0 & -u & 0 & 0 & 0 & 0 & -u & -1 & -1 & 0 \end{pmatrix}$$

```

Recall, is = {8, 10, 2, 9}

```
Do[is = Position[faces, #][[1, 1]] & /@ List@@x;
A[[is, is]] += If[Head[x] === X,

$$\begin{pmatrix} v & u & 1 & u \\ u & 1 & u & 1 \\ 1 & u & v & u \\ u & 1 & u & 1 \end{pmatrix} - \begin{pmatrix} v & u & 1 & u \\ u & 1 & u & 1 \\ 1 & u & v & u \\ u & 1 & u & 1 \end{pmatrix}],
{x, Rest@XingsByArmpits}];$$

```

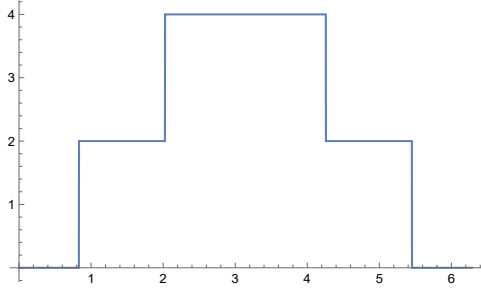
```
A // MatrixForm

$$\begin{pmatrix} -2v & 0 & -1 & -1 & 0 & 0 & 0 & 0 & -2u & -2u \\ 0 & -2v & 0 & -1 & 0 & 0 & 0 & -1 & -2u & -2u \\ -1 & 0 & -2v & 0 & 0 & -1 & 0 & 0 & -2u & -2u \\ -1 & -1 & 0 & -2v & 0 & 0 & 0 & 0 & -2u & -2u \\ 0 & 0 & 0 & 0 & 2 & 1 & 2u & 1 & 0 & 2u \\ 0 & 0 & -1 & 0 & 1 & 1-2v & 0 & -1 & -2u & 0 \\ 0 & 0 & 0 & 0 & 2u & 0 & -1+2v & 0 & -1 & 2 \\ 0 & -1 & 0 & 0 & 1 & -1 & 0 & 1-2v & -2u & 0 \\ -2u & -2u & -2u & -2u & 0 & -2u & -1 & -2u & -6 & -5 \\ -2u & -2u & -2u & -2u & 2u & 0 & 2 & 0 & -5 & -5+2v \end{pmatrix}$$

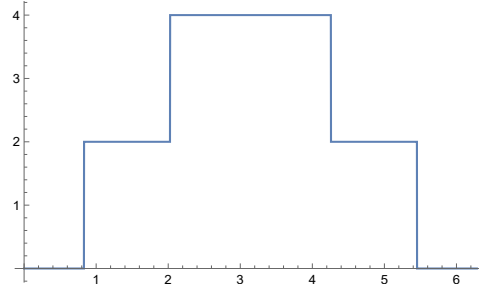
```



```
Plot[ $\omega = e^{i t}$ ;  $u = \text{Re}[\omega^{1/2}]$ ;  $v = \text{Re}[\omega]$ ; -
(MatrixSignature[A] - Writhe[K]) / 2,
{t, 0, 2  $\pi$ }]
```

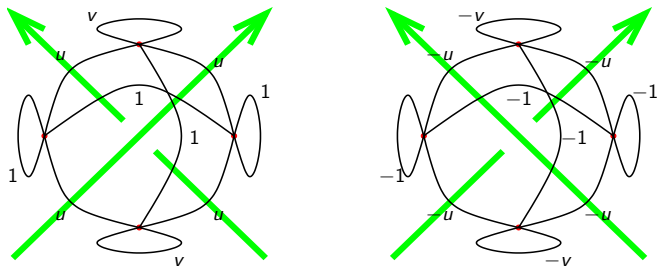


```
Plot[Bed[Knot[8, 2],  $e^{i t}$ ], {t, 0, 2  $\pi$ }]
```



### Kashaev for Mathematicians.

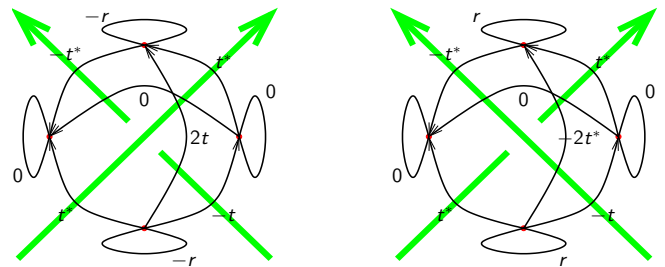
For a knot  $K$  and a complex unit  $\omega$  set  $u = \Re(\omega^{1/2})$ ,  $v = \Re(\omega)$ , make an  $F \times F$  matrix  $A$  with contributions



and output  $\frac{1}{2}(\sigma(A) - w(K))$ .

### Bedlewo for Mathematicians.

For a knot  $K$  and a complex unit  $\omega$  set  $t = 1 - \omega$ ,  $r = 2\Re(t)$ , make an  $F \times F$  matrix  $A$  with contributions



(conjugate if going against the flow) and output  $\sigma(A)$ .

### Why are they equal?

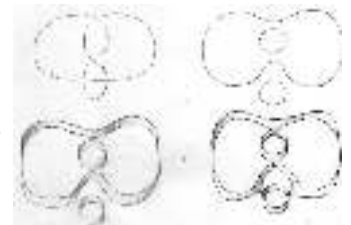
I dunno, yet note that

- ▶ Kashaev is over the  $\mathbb{R}$ eals, Bedlewo is over the  $\mathbb{C}$ omplex numbers.
- ▶ There's a factor of 2 between them, and a shift.

... so it's not merely a matrix manipulation.

**Theorem.** The Bedlewo program computes the Levine-Tristram signature of  $K$  at  $\omega$ .

(Easy) **Proof.** Levine and Tristram tell us to look at  $\sigma((1 - \omega)L + (1 - \omega^*)L^T)$ , where  $L$  is the linking matrix for a Seifert surface  $S$  for  $K$ :  $L_{ij} = \text{lk}(\gamma_i, \gamma_j^+)$  where  $\gamma_i$  run over a basis of  $H_1(S)$  and  $\gamma_i^+$  is the pushout of  $\gamma_i$ . But signatures don't change if you run over an over-determined basis, and the faces make such an over-determined basis whose linking numbers are controlled by the crossings. The rest is details.



Art by Emily Redelmeier

Thank You!

**Warning.** The second formula on page (-2) “**Conclusion**” is silly-wrong. A fix will be posted here soon: some of the numbers written in this handout are a bit off, yet the qualitative results remain exactly the same (namely, for finite type, 3D seems to beat 2D, with the same algorithms).

## Yarn-Ball Knots

[K-OS] on October 21, 2021

Dror Bar-Natan with Itai Bar-Natan, Iva Halacheva, and Nancy Scherich

**Agenda.** A modest light conversation on how knots should be measured.

**Abstract.** Let there be scones! Our view of knot theory is biased in favour of pancakes.

Technically, if  $K$  is a 3D knot that fits in volume  $V$  (assuming fixed-width yarn), then its projection to 2D will have about  $V^{4/3}$  crossings. You'd expect genuinely 3D quantities associated with  $K$  to be computable straight from a 3D presentation of  $K$ . Yet we can hardly ever circumvent this  $V^{4/3} \gg V$  “projection fee”. Exceptions include linking numbers (as we shall prove), the hyperbolic volume, and likely finite type invariants (as we shall discuss in detail). But knot polynomials and knot homologies seem to always pay the fee. Can we exempt them?

More at <http://drorbn.net/kos21>

Thanks for inviting me to speak at [K-OS]!

Most important: <http://drorbn.net/kos21>

See also [arXiv:2108.10923](https://arxiv.org/abs/2108.10923).

If you can, please turn your video on! (And mic, whenever needed).

A recurring question in knot theory is “do we have a 3D understanding of our invariant?”

- ▶ See Witten and the Jones polynomial.
- ▶ See Khovanov homology.

I'll talk about my perspective on the matter...



Knot by Lisa Piccirillo, pancake by DBN

We often think of knots as planar diagrams. 3-dimensionally, they are embedded in “pancakes”.



A Yarn Ball

But real life knots are 3D!



‘Connector’ by Alexandra Griess and Jorel Heid (Hamburg, Germany). Image from [www.waterfrontbia.com/ice-breakers-2019-presented-by-ports/](http://www.waterfrontbia.com/ice-breakers-2019-presented-by-ports/).



The difference matters when

- ▶ We make statements about “random knots”.
- ▶ We figure out computational complexity.

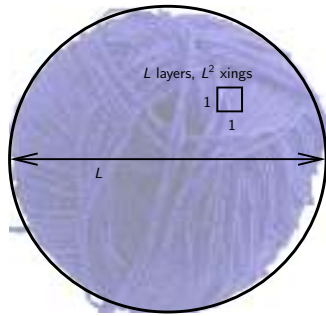
Let's try to make it quantitative...

Video and more at <http://www.math.toronto.edu/~drorbn/Talks/KOS-211021/>

$$V \sim L^3$$

$$n = \text{xing number} \sim L^2 L^2 = L^4 = V^{4/3}$$

("~" means "equal up to constant terms and log terms")



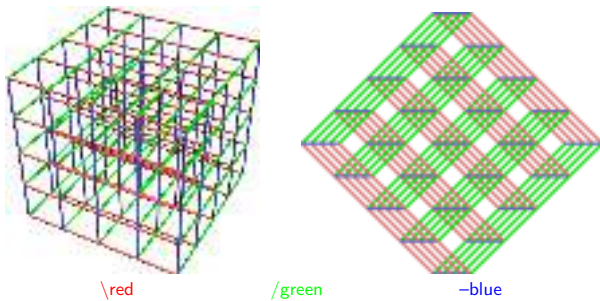
**Conversation Starter 1.** A knot invariant  $\zeta$  is said to be Computationally 3D, or C3D, if

$$C_\zeta(3D, V) \ll C_\zeta(2D, V^{4/3}).$$

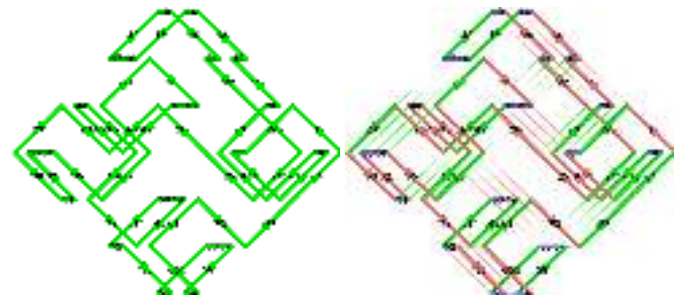
This isn't a rigorous definition! It is time- and naïveté-dependent! But there's room for less-stringent rigour in mathematics, and on a philosophical level, our definition means something.

**Theorem 1.** Let  $lk$  denote the linking number of a 2-component link. Then  $C_{lk}(2D, n) \sim n$  while  $C_{lk}(3D, V) \sim V$ , so  $lk$  is C3D!

**Proof.** WLOG, we are looking at a link in a grid, which we project as on the right:

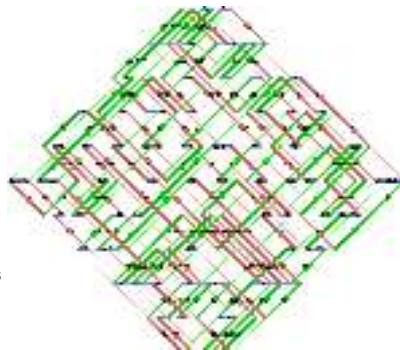


Here's what it look like, in the case of a knot:



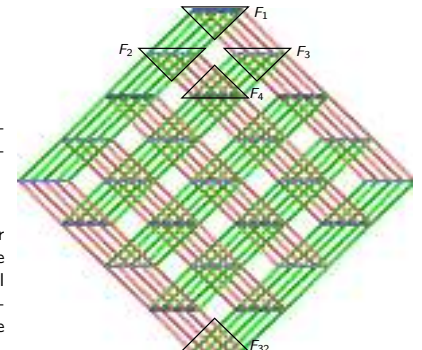
And here's a bigger knot.

This may look like a lot of information, but if  $V$  is big, it's less than the information in a planar diagram, and it is easily computable.



There are  $2L^2$  triangular "crossings fields"  $F_k$  in such a projection.

WLOG, in each  $F_k$  all over strands and all under strands are oriented in the same way and all green edges belong to one component and all red edges to the other.

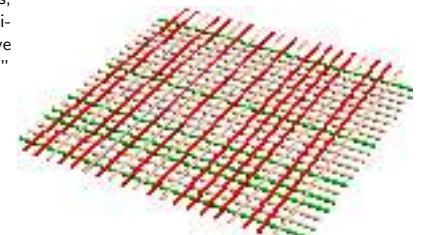


So  $2L^2$  times we have to solve the problem "given two sets  $R$  and  $G$  of integers in  $[0, L]$ , how many pairs  $\{(r, g) \in R \times G : r < g\}$  are there?". This takes time  $\sim L$  (see below), so the overall computation takes time  $\sim L^3$ .

**Below.** Start with  $rb = cf = 0$  ("reds before" and "cases found") and slide  $\nabla$  from left to right, incrementing  $rb$  by one each time you cross a  $\bullet$  and incrementing  $cf$  by  $rb$  each time you cross a  $\circ$ :



In general, with our limited tools, speedup arises because appropriately projected 3D knots have many uniform "red over green" regions:



**Great Embarrassment 1.** I don't know if any of the Alexander, Jones, HOMFLY-PT, and Kauffman polynomials is C3D. I don't know if any Reshetikhin-Turaev invariant is C3D. I don't know if any knot homology is C3D.

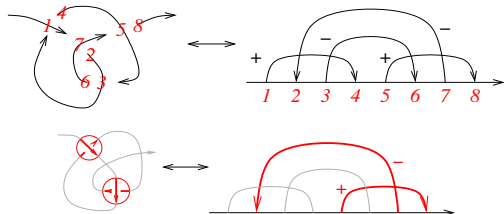
Or maybe it's a cause for optimism — there's still something very basic we don't know about (say) the Jones polynomial. Can we understand it well enough 3-dimensionally to compute it well? If not, why not?

Next we argue that most finite type invariants are probably C3D...

(What a weak statement!)

All pre-categorification knot polynomials are power series whose coefficients are finite type invariants. (This is sometimes helpful for the computation of finite type invariants, but rarely helpful for the computation of knot polynomials).

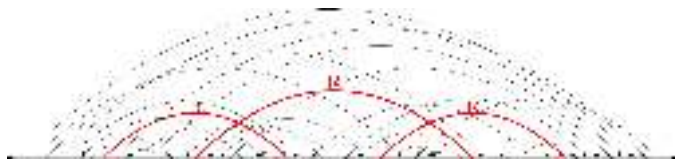
Gauss diagrams and sub-Gauss-diagrams:



Let  $\varphi_d: \{\text{knot diagrams}\} \rightarrow \langle \text{Gauss diagrams} \rangle$  map every knot diagram to the sum of all the sub-diagrams of its Gauss diagram which have at most  $d$  arrows.

**Under-Explained Theorem** (Goussarov-Polyak-Viro). A knot invariant  $\zeta$  is of type  $d$  iff there is a linear functional  $\omega$  on  $\langle \text{Gauss diagrams} \rangle$  such that  $\zeta = \omega \circ \varphi_d$ .

Proof of Theorem FT2D.



We need to count how many times a diagram such as the red appears within a bigger diagram, having  $n$  arrows. Clearly this can be done in time  $\sim n^3$ , and in general, in time  $\sim n^d$ .

**Conversation Starter 2.** Similarly, if  $\eta$  is a stingy quantity (a quantity we expect to be small for small knots), we will say that  $\eta$  has Savings in 3D, or "has S3D" if  $M_\eta(3D, V) \ll M_\eta(2D, V^{4/3})$ .

**Example** (R. van der Veen, D. Thurston, private communications). The hyperbolic volume has S3D.

**Great Embarrassment 2.** I don't know if the genus of a knot has S3D! In other words, even if a knot is given in a 3-dimensional, the best way I know to find a Seifert surface for it is to first project it to 2D, at a great cost.

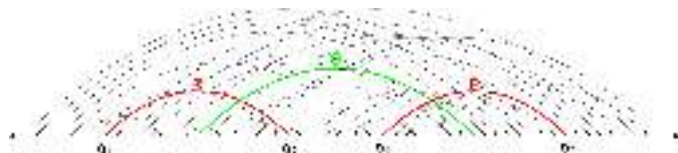
**Theorem FT2D.** If  $\zeta$  is a finite type invariant of type  $d$  then  $C_\zeta(2D, n)$  is at most  $\sim n^{\lfloor 3d/4 \rfloor}$ . With more effort,  $C_\zeta(2D, n) \lesssim n^{(\frac{3}{4}+\epsilon)d}$ .

Note that there are some exceptional finite type invariants, e.g. high coefficients of the Alexander polynomial and other poly-time knot polynomials, which can be computed much faster!

**Theorem FT3D.** If  $\zeta$  is a finite type invariant of type  $d$  then  $C_\zeta(3D, V)$  is at most  $\sim V^{6d/7+1/7}$ . With more effort,  $C_\zeta(3D, V) \lesssim V^{(\frac{6}{7}+\epsilon)d}$ .

**Tentative Conclusion.** As  $n^{3d/4} \sim (V^{4/3})^{3d/4} = V \gg V^{6d/7+1/7}$  and  $n^{2d/3} \sim (V^{4/3})^{2d/3} = V^{8d/9} \gg V^{4d/5}$  these theorems say "most finite type invariants are probably C3D; the ones in greater doubt are the lucky few that can be computed unusually quickly".

**Theorem FT2D.** If  $\zeta$  is a finite type invariant of type  $d$  then  $C_\zeta(2D, n)$  is at most  $\sim n^{\lfloor 3d/4 \rfloor}$ . With more effort,  $C_\zeta(2D, n) \lesssim n^{(\frac{3}{4}+\epsilon)d}$ .

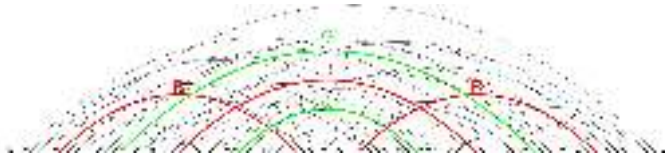


With an appropriate look-up table, it can also be done in time  $\sim n^2$  (in general,  $\sim n^{d-1}$ ). That look-up table  $(T_{q_1, q_2}^{p_1, p_2})$  is of size (and production cost)  $\sim n^4$  if you are naive, and  $\sim n^2$  if you are just a bit smarter. Indeed

$$T_{q_1, q_2}^{p_1, p_2} = T_{0, q_2}^{0, p_2} - T_{0, q_2}^{0, p_1} - T_{0, q_1}^{0, p_2} + T_{0, q_1}^{0, p_1},$$

and  $(T_{0, q}^{0, p})$  is easy to compute.





With multiple uses of the same lookup table, what naively takes  $\sim n^5$  can be reduced to  $\sim n^3$ .

In general within a big  $d$ -arrow diagram we need to find an as-large-as possible collection of arrows to delay. These must be non-adjacent to each other. As the adjacency graph for the arrows is at worst quadrivalent, we can always find  $\lceil \frac{d}{4} \rceil$  non-adjacent arrows, and hence solve the counting problem in time  $\sim n^{d - \lceil \frac{d}{4} \rceil} = n^{\lceil 3d/4 \rceil}$ .

Note that this counting argument works equally well if each of the  $d$  arrows is pulled from a different set!

It follows that we can compute  $\varphi_d$  in time  $\sim n^{\lceil 3d/4 \rceil}$ . □

With bigger look-up tables that allow looking up "clusters" of  $G$  arrows, we can reduce this to  $\sim n^{\lceil \frac{3}{3} + \epsilon \rceil d}$ . □

On to

**Theorem FT3D.** If  $\zeta$  is a finite type invariant of type  $d$  then  $C_\zeta(3D, V)$  is at most  $\sim V^{6d/7+1/7}$ . With more effort,  $C_\zeta(2D, V) \lesssim V^{\lceil \frac{3}{2} + \epsilon \rceil d}$ .

An image editing problem:



(Yarn ball and background courtesy of Heather Young)

The line/feather method:



Accurate but takes forever.

The rectangle/shark method:



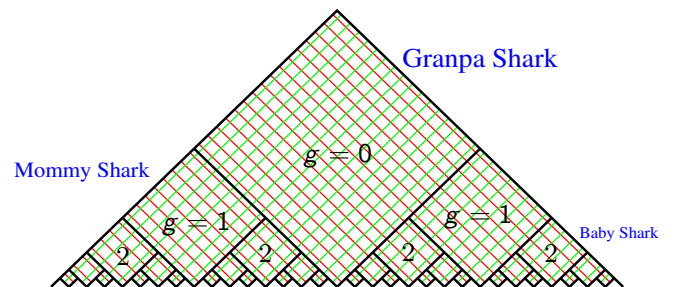
Coarse but fast.

In reality, you take a few shark bites and feather the rest ...



... and then there's an optimization problem to solve: when to stop biting and start feathering.

The structure of a crossing field.



There are about  $\log_2 L$  "generations". There are  $2^g$  bites in generation  $g$ , and the total number of crossings in them is  $\sim L^2/2^g$ . Let's go hunt!

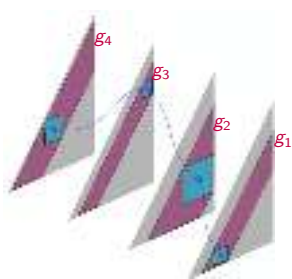
Video and more at <http://www.math.toronto.edu/~drorbn/Talks/KOS-211021/>

**Multi-feathers and multi-sharks.**

For a type  $d$  invariant we need to count  $d$ -tuples of crossings, and each has its own "generation"  $g_i$ . So we have the "multi-generation"

$$\vec{g} = (g_1, \dots, g_d).$$

Let  $G := \sum g_i$  be the "overall generation". We will choose between a "multi-feather" method and a "multi-shark" method based on the size of  $G$ .



**Conclusion.** We wish to compute the contribution to  $\varphi_d$  coming from  $d$ -tuples of crossings of multi-generation  $\vec{g}$ .

- ▶ The multi-shark method does it in time

$$\sim (\text{no. of bites}) \cdot (\text{time per bite}) = L^{2d} 2^G \cdot \frac{L}{2^{\min \vec{g}}} < L^{2d+1} 2^G$$

(increases with  $G$ ).

- ▶ The multi-feather method (project and use the 2D algorithm) does it in time

$$\sim (\text{no. of crossings})^{1/4 d} = \left( \prod_{i=1}^d L^2 \frac{L^2}{2^{g_i}} \right)^{1/4 d} < \frac{L^{3d}}{(2^G)^{3/4}}$$

(decreases with  $G$ ).

Of course, for any specific  $G$  we are free to choose whichever is better, shark or feather.

If time — a word about braids.

Thank You!

The effort to take a single multi-bite is tiny. Indeed,

**Lemma** Given  $2d$  finite sets  $B_i = \{t_{i1}, t_{i2}, \dots\} \subset [1..L^3]$  and a permutation  $\pi \in S_{2n}$  the quantity

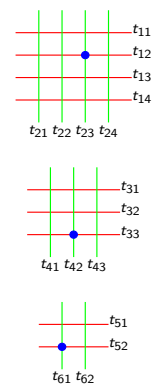
$$N = \left| \left\{ (b_i) \in \prod_{i=1}^{2d} B_i; \text{ the } b_i\text{'s are ordered as } \pi \right\} \right|$$

can be computed in time  $\sim \sum |B_i| \sim \max |B_i|$ .

*Proof.* WLOG  $\pi = Id$ . For  $\iota \in [1..2d]$  and  $\beta \in B := \cup B_i$  let

$$N_{\iota, \beta} = \left| \left\{ (b_i) \in \prod_{i=1}^{\iota} B_i; b_1 < b_2 < \dots < b_{\iota} \leq \beta \right\} \right|.$$

We need to know  $N_{2d, \max B}$ ; compute it inductively using  $N_{\iota, \beta} = N_{\iota, \beta'} + N_{\iota-1, \beta'}$ , where  $\beta'$  is the predecessor of  $\beta$  in  $B$ .  $\square$



The two methods agree (and therefore are at their worst) if  $2^G = L^{\frac{1}{2}(d-1)}$ , and in that case, they both take time  $\sim L^{\frac{3}{2}d + \frac{3}{2}} = V^{\frac{5}{2}d + \frac{1}{2}}$ .

The same reasoning, with the  $n^{\frac{2}{3} + \epsilon} d$  feather, gives  $V^{\frac{2}{3} + \epsilon} d$ .  $\square$

# I Still Don't Understand the Alexander Polynomial

Dror Bar-Natan, <http://drorbn.net/mo21>

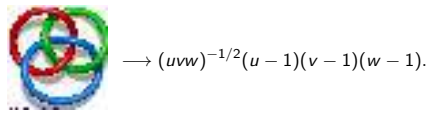
Moscow by Web, April 2021

**Abstract.** As an algebraic knot theorist, I still don't understand the Alexander polynomial. There are two conventions as for how to present tangle theory in algebra: one may name the strands of a tangle, or one may name their ends. The distinction might seem too minor to matter, yet it leads to a completely different view of the set of tangles as an algebraic structure. There are lovely formulas for the Alexander polynomial as viewed from either perspective, and they even agree where they meet. But the "strands" formulas know about strand doubling while the "ends" ones don't, and the "ends" formulas know about skein relations while the "strands" ones don't. There ought to be a common generalization, but I don't know what it is.

I use talks to self-motivate; so often I choose a topic and write an abstract when I know I can do it, yet when I haven't done it yet. This time it turns out my abstract was wrong — I'm still uncomfortable with the Alexander polynomial, but in slightly different ways than advertised two slides before.

**My discomfort.**

- ▶ I can compute the multivariable Alexander polynomial real fast:



- ▶ But I can only prove "skein relations" real slow:



## 1. Virtual Skein Theory Heaven

**Definition.** A "Contraction Algebra" assigns a set  $\mathcal{T}(\mathcal{X}, X)$  to any pair of finite sets  $\mathcal{X} = \{\xi \dots\}$  and  $X = \{x, \dots\}$  provided  $|\mathcal{X}| = |X|$ , and has operations

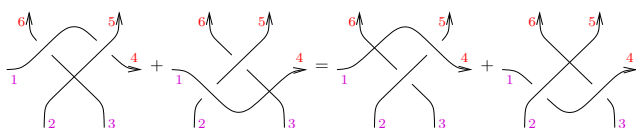
- ▶ "Disjoint union"  $\sqcup: \mathcal{T}(\mathcal{X}, X) \times \mathcal{T}(\mathcal{Y}, Y) \rightarrow \mathcal{T}(\mathcal{X} \sqcup \mathcal{Y}, X \sqcup Y)$ , provided  $\mathcal{X} \cap \mathcal{Y} = X \cap Y = \emptyset$ .
- ▶ "Contractions"  $c_{x,\xi}: \mathcal{T}(\mathcal{X}, X) \rightarrow \mathcal{T}(\mathcal{X} \setminus \xi, X \setminus x)$ , provided  $x \in X$  and  $\xi \in \mathcal{X}$ .
- ▶ Renaming operations  $\sigma_\eta^\xi: \mathcal{T}(\mathcal{X} \sqcup \{\xi\}, X) \rightarrow \mathcal{T}(\mathcal{X} \sqcup \{\eta\}, X)$  and  $\sigma_y^x: \mathcal{T}(\mathcal{X}, X \sqcup \{x\}) \rightarrow \mathcal{T}(\mathcal{X}, X \sqcup \{y\})$ .

Subject to axioms that will be specified right after the two examples in the next three slides.

If  $R$  is a ring, a contraction algebra is said to be " $R$ -linear" if all the  $\mathcal{T}(\mathcal{X}, X)$ 's are  $R$ -modules, if the disjoint union operations are  $R$ -bilinear, and if the contractions  $c_{x,\xi}$  and the renamings  $\sigma$  are  $R$ -linear.

(Contraction algebras with some further "unit" properties are called "wheeled props" in [MMS, DHR])

**Note 3.** A contraction algebra morphism out of  $\mathcal{T}$  is an invariant of virtual tangles (and hence of virtual knots and links) and would be an ideal tool to prove Skein Relations:



Thanks for inviting me to Moscow! As most of you have never seen it, here's a picture of the lecture room:



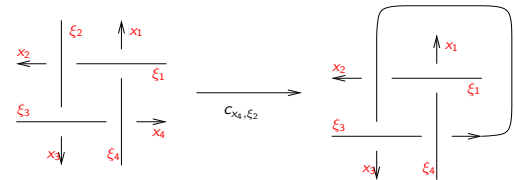
If you can, please turn your video on! (And mic, whenever needed).

This talk is to a large extent an elucidation of the Ph.D. theses of my former students Jana Archibald and Iva Halacheva. See [Ar, Ha1, Ha2].



Also thanks to Roland van der Veen for comments.

**A technicality.** There's supposed to be fire alarm testing in my building today. Don't panic!



**Example 1.** Let  $\mathcal{T}(\mathcal{X}, X)$  be the set of virtual tangles with incoming ends ("tails") labeled by  $\mathcal{X}$  and outgoing ends ("heads") labeled by  $X$ , with  $\sqcup$  and  $\sigma$ : the obvious disjoint union and end-renaming operations, and with  $c_{x,\xi}$  the operation of attaching a head  $x$  to a tail  $\xi$  while introducing no new crossings.

**Note 1.**  $\mathcal{T}$  can be made linear by allowing formal linear combinations.

**Note 2.**  $\mathcal{T}$  is finitely presented, with generators the positive and negative crossings, and with relations the Reidemeister moves! (If you want, you can take this to be the definition of "virtual tangles").

**Example 2.** Let  $V$  be a finite dimensional vector space and set  $\mathcal{V}(\mathcal{X}, X) := (V^*)^{\otimes \mathcal{X}} \otimes V^{\otimes X}$ , with  $\sqcup = \otimes$ , with  $\sigma$ : the operation of renaming a factor, and with  $c_{x,\xi}$  the operation of contraction: the evaluation of tensor factor  $\xi$  (which is a  $V^*$ ) on tensor factor  $x$  (which is a  $V$ ).

**Axioms.** One axiom is primary and interesting,

- ▶ Contractions commute! Namely,  $c_{x,\xi} \parallel c_{y,\eta} = c_{y,\eta} \parallel c_{x,\xi}$  (or in old-speak,  $c_{y,\eta} \circ c_{x,\xi} = c_{x,\xi} \circ c_{y,\eta}$ ).

And the rest are just what you'd expect:

- ▶  $\sqcup$  is commutative and associative, and it commutes with  $c_{\cdot,\cdot}$  and with  $\sigma_{\cdot}$  whenever that makes sense.
- ▶  $c_{\cdot,\cdot}$  is "natural" relative to renaming:  $c_{x,\xi} = \sigma_y^x \parallel \sigma_\eta^\xi \parallel c_{y,\eta}$ .
- ▶  $\sigma_\xi^\xi = \sigma_x^x = Id$ ,  $\sigma_\eta^\xi \parallel \sigma_\zeta^\eta = \sigma_\zeta^\xi$ ,  $\sigma_y^x \parallel \sigma_z^y = \sigma_z^x$ , and renaming operations commute where it makes sense.

## 2. Heaven is a Place on Earth

(A version of the main results of Archibald's thesis, [Ar]).

Let us work over the base ring  $\mathcal{R} = \mathbb{Q}[\{T^{\pm 1/2} : T \in C\}]$ . Set

$$\mathcal{A}(\mathcal{X}, X) := \{w \in \Lambda(\mathcal{X} \sqcup X) : \deg_{\mathcal{X}} w = \deg_X w\}$$

(so in particular the elements of  $\mathcal{A}(\mathcal{X}, X)$  are all of even degree). The union operation is the wedge product, the renaming operations are changes of variables, and  $c_{x,\xi}$  is defined as follows. Write  $w \in \mathcal{A}(\mathcal{X}, X)$  as a sum of terms of the form  $uw'$  where  $u \in \Lambda(\xi, x)$  and  $w' \in \mathcal{A}(\mathcal{X} \setminus \xi, X \setminus x)$ , and map  $u$  to 1 if it is 1 or  $x\xi$  and to 0 if it is  $\xi$  or  $x$ :

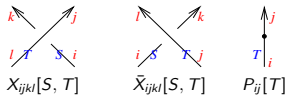
$$1w' \mapsto w', \quad \xi w' \mapsto 0, \quad xw' \mapsto 0, \quad x\xi w' \mapsto w'.$$

**Proposition.**  $\mathcal{A}$  is a contraction algebra.

We construct a morphism of coloured contraction algebras  $\mathcal{A} : \mathcal{T} \rightarrow \mathcal{A}$  by declaring

$$\begin{aligned} X_{ijkl}[S, T] &\mapsto T^{-1/2} \exp\left(\left(\begin{matrix} \xi_i & \xi_j \\ 0 & T \end{matrix}\right) \begin{pmatrix} x_j \\ x_k \end{pmatrix}\right) \\ \bar{X}_{ijkl}[S, T] &\mapsto T^{1/2} \exp\left(\left(\begin{matrix} \xi_i & \xi_j \\ T^{-1} & 0 \end{matrix}\right) \begin{pmatrix} x_k \\ x_j \end{pmatrix}\right) \\ P_{ij}[T] &\mapsto \exp(\xi_i x_j) \end{aligned}$$

with



(Note that the matrices appearing in these formulas are the Burau matrices).

## 3. An Implementation of $\mathcal{A}$

If I didn't implement I wouldn't believe myself.

Written in Mathematica [Wo], available as the notebook Alpha.nb at <http://drorbn.net/mo21/ap>. Code lines are highlighted in grey, demo lines are plain. We start with an implementation of elements ("Wedge") of exterior algebras, and of the wedge product ("WP"):

```
WP[Wedge[u___], Wedge[v___]] := Signature[{u, v}] * Wedge @@ Sort[{u, v}];
WP[0, _] = WP[_ , 0] = 0;
WP[A_, B_] :=
  Expand[Distribute[A ** B] /.
    (a_. * u_Wedge) ** (b_. * v_Wedge) -> a b WP[u, v]];
WP[Wedge[a_] + Wedge[b] - 2 b ^ a, Wedge[a] - 3 Wedge[b] + 7 c ^ d]
Wedge[] + Wedge[a] - 3 Wedge[b] - a ^ b + 7 c ^ d + 7 a ^ c ^ d + 14 a ^ b ^ c ^ d
```

**Comments.**

- ▶ We can relax  $|\mathcal{X}| = |X|$  at no cost.
- ▶ We can lose the distinction between  $\mathcal{X}$  and  $X$  and get "circuit algebras".
- ▶ There is a "coloured version", where  $\mathcal{T}(\mathcal{X}, X)$  is replaced with  $\mathcal{T}(\mathcal{X}, X, \lambda, l)$  where  $\lambda : \mathcal{X} \rightarrow C$  and  $l : X \rightarrow C$  are "colour functions" into some set  $C$  of "colours", and contractions  $c_{x,\xi}$  are allowed only if  $x$  and  $\xi$  are of the same colour,  $l(x) = \lambda(\xi)$ . In the world of tangles, this is "coloured tangles".

**Alternative Formulations.**

- ▶  $c_{x,\xi} w = \iota_{\xi \iota_x} e^{x\xi} w$ , where  $\iota_{\cdot}$  denotes interior multiplication.
- ▶ Using Fermionic integration,  $c_{x,\xi} w = \int e^{x\xi} w d\xi dx$ .
- ▶  $c_{x,\xi}$  represents composition in exterior algebras! With  $X^* := \{x^* : x \in X\}$ , we have that  $\text{Hom}(\Lambda X, \Lambda Y) \cong \Lambda(X^* \sqcup Y)$  and the following square commutes:

$$\begin{array}{ccc} \text{Hom}(\Lambda X, \Lambda Y) \otimes \text{Hom}(\Lambda Y, \Lambda Z) & \xrightarrow{\parallel} & \text{Hom}(\Lambda X, \Lambda Z) \\ \updownarrow & & \updownarrow \\ \Lambda(X^* \sqcup Y \sqcup Y^* \sqcup Z) & \xrightarrow{\prod_{y \in Y} c_{y, y^*}} & \Lambda(X^*, Z) \end{array}$$

- ▶ Similarly,  $\Lambda(\mathcal{X} \sqcup X) \cong (H^*)^{\otimes \mathcal{X}} \otimes H^{\otimes X}$  where  $H$  is a 2-dimensional "state space" and  $H^*$  is its dual. Under this identification,  $c_{x,\xi}$  becomes the contraction of an  $H$  factor with an  $H^*$  factor.

**Theorem.**

If  $D$  is a classical link diagram with  $k$  components coloured  $T_1, \dots, T_k$  whose first component is open and the rest are closed, if  $MVA$  is the multivariable Alexander polynomial of the closure of  $D$  (with these colours), and if  $\rho_j$  is the counterclockwise rotation number of the  $j$ th component of  $D$ , then

$$\mathcal{A}(D) = T_1^{-1/2} (T_1 - 1) \left( \prod_j T_j^{\rho_j/2} \right) \cdot MVA \cdot (1 + \xi_{in} \wedge x_{out}).$$

( $\mathcal{A}$  vanishes on closed links).



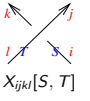
Contractions!

```

c_{x,y}_[w_Wedge] := Module[{i, j},
  {i} = FirstPosition[w, x, {0}]; {j} = FirstPosition[w, y, {0}];
  {
    w (i == 0) & (j == 0)
    (-1)^{i+j+If{i>j,0,1}} Delete[w, {{i}, {j}}] (i > 0) & (j > 0)
  };
c_{x,y}_[e_] := e /. w_Wedge -> c_{x,y}_[w]
WExp[a^b + 2 c^a d]
c_{a,c}@WExp[a^b + 2 c^a d]
Wedge[] + a^b + 2 c^a d + 2 a^b c^a d
-Wedge[] - a^b

```

$\mathcal{A}[is, os, cs, w]$  is also a container for the values of the  $\mathcal{A}$ -invariant of a tangle. In it,  $is$  are the labels of the input strands,  $os$  are the labels of the output strands,  $cs$  is an assignment of colours (namely, variables) to all the ends  $\{\xi_i\}_{i \in is} \sqcup \{\xi_j\}_{j \in os}$ , and  $w$  is the "payload": an element of  $\Lambda(\{\xi_i\}_{i \in is} \sqcup \{\xi_j\}_{j \in os})$ .

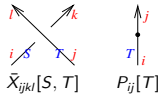


```

A[X_{i,j,k,l}_[S_, T_]] := A[{L, i}, {j, k}, <xi_i -> S, x_j -> T, x_k -> S, xi_l -> T|>,
  Expand[T^{-1/2} WExp[Expand[{xi_i, xi_i} . (1 - T / T) . {x_j, x_k}] /. xi_a x_b -> xi_a^b]];
A[X_{1,2,3,4}[u, v]]
A[{4, 1}, {2, 3}, <xi_1 -> u, x_2 -> v, x_3 -> u, xi_4 -> v|>,
  Wedge[] - (x_2^2 xi_4) / sqrt(v) - sqrt(v) x_3 xi_1 - (x_3^2 xi_4) / sqrt(v) + sqrt(v) x_3 xi_4 + sqrt(v) x_2 x_3 xi_1 xi_4];
A[X_{i,j,k,l}_[tau_i, tau_l]] := A[X_{i,j,k,l}_[tau_i, tau_l]]

```

The negative crossing and the "point":



```

A[X_{i,j,k,l}_[S_, T_]] := A[{i, j}, {k, l}, <xi_i -> S, xi_j -> T, x_k -> S, x_l -> T|>,
  Expand[T^{1/2} WExp[Expand[{xi_i, xi_j} . (T^{-1} 0 / 1 - T^{-1} 1) . {x_k, x_l}] /. xi_a x_b -> xi_a^b]];
A[X_{i,j,k,l}_[tau_i, tau_j]] := A[X_{i,j,k,l}_[tau_i, tau_j]];
A[P_{i,j}_[T_]] := A[{i}, {j}, <xi_i -> T, x_j -> T|>, WExp[xi_i x_j];
A[P_{i,j}_[tau_i]] := A[P_{i,j}_[tau_i]]

```

The linear structure on  $\mathcal{A}$ 's:

```

A /: a_ x A[is_, os_, cs_, w_] := A[is, os, cs, Expand[a w]]
A /: A[is1_, os1_, cs1_, w1_] + A[is2_, os2_, cs2_, w2_] /;
  (Sort@is1 == Sort@is2) & (Sort@os1 == Sort@os2) &
  (Sort@Normal@cs1 == Sort@Normal@cs2) := A[is1, os1, cs1, w1 + w2]

```

Deciding if two  $\mathcal{A}$ 's are equal:

```

A /: A[is1_, os1_, _, w1_] == A[is2_, os2_, _, w2_] :=
  TrueQ[(Sort@is1 == Sort@is2) & (Sort@os1 == Sort@os2) &
  PowerExpand[w1 == w2]]

```

The union operation on  $\mathcal{A}$ 's (implemented as "multiplication"):

```

A /: A[is1_, os1_, cs1_, w1_] * A[is2_, os2_, cs2_, w2_] :=
  A[is1 Union is2, os1 Union os2, Join[cs1, cs2], WP[w1, w2]]
Short[A[X_{2,4,3,1}[S, T]] * A[X_{3,4,6,5}[S, T]]]

```



```

A[{1, 2, 3, 4}, {3, 4, 5, 6},
  <xi_2 -> S, x_4 -> T, x_3 -> S, xi_1 -> T, xi_3 -> T, xi_4 -> T, x_6 -> T, x_5 -> T|>,
  sqrt(epsilon_4) Wedge[] / sqrt(T)
  (sqrt(epsilon_4) x_3 xi_1 + sqrt(T) sqrt(epsilon_4) x_3 xi_1 - sqrt(T) sqrt(epsilon_4) x_3 xi_2 - (sqrt(epsilon_4) x_4 xi_1) / sqrt(T) - (sqrt(epsilon_4) x_5 xi_4) / sqrt(T) -
  (x_6 xi_3) / sqrt(T) + <<40>> + (sqrt(T) x_3 x_5 x_6 xi_1 xi_3 xi_4) / sqrt(epsilon_4) - (sqrt(T) x_3 x_5 x_6 xi_1 xi_2 xi_3 xi_4) / sqrt(epsilon_4) -
  (x_4 x_5 x_6 xi_1 xi_3 xi_4) / (sqrt(T) sqrt(epsilon_4)) + (sqrt(T) x_3 x_4 x_5 x_6 xi_1 xi_2 xi_3 xi_4) / sqrt(epsilon_4)]

```

Contractions of  $\mathcal{A}$ -objects:

```

c_{h,t}@A[is_, os_, cs_, w_] := A[
  DeleteCases[is, t], DeleteCases[os, h], KeyDrop[cs, {x_h, xi_t}], c_{h,xi_t}[w]
] /. If[MatchQ[cs[xi_t], t], cs[xi_t] -> cs[x_h], cs[x_h] -> cs[xi_t]];
c_{4,4}[A[X_{2,4,3,1}[S, T]] * A[X_{3,4,6,5}[S, T]]]
A[{1, 2, 3}, {3, 5, 6}, <xi_2 -> S, x_3 -> S, xi_1 -> T, xi_3 -> T, x_6 -> T, x_5 -> T|>,
  Wedge[] - x_3 xi_1 + T x_3 xi_1 - T x_3 xi_2 - x_5 xi_1 - x_6 xi_1 + (x_6 xi_1) / T - (x_6 xi_3) / T -
  T x_3 x_5 xi_1 xi_2 - x_3 x_6 xi_1 xi_2 + T x_3 x_6 xi_1 xi_2 + x_3 x_6 xi_1 xi_3 -
  (x_3 x_6 xi_1 xi_3) / T - x_3 x_6 xi_2 xi_3 - (x_5 x_6 xi_1 xi_3) / T - x_3 x_5 x_6 xi_1 xi_2 xi_3]

```

#### 4. Skein relations and evaluations for $\mathcal{A}$

Automatic and intelligent multiple contractions:

```

c@A[is_, os_, cs_, w_] := Fold[c_{#2,#1}[#1] &, A[is, os, cs, w], is os]
A[{A_}] := c[A];
A[{A1_}, {A2_}] := Module[{A2},
  A2 = First@MaximalBy[{A1}, Length[A1[[1]]] & Length[A1[[2]]] + Length[A1[[1]]] &];
  A[Join[{c[A1 A2]}, DeleteCases[{A1}, A2]}]]
A[os_List] := A[A/os]
c[A[X_{2,4,3,1}[S, T]] * A[X_{3,4,6,5}[S, T]]]
A[{1, 2}, {5, 6}, <xi_2 -> S, xi_1 -> T, x_6 -> S, x_5 -> T|>,
  Wedge[] - x_5 xi_1 - x_6 xi_2 - x_5 x_6 xi_1 xi_2]
A[{A[X_{2,4,3,1}[S, T]], A[X_{3,4,6,5}[S, T]]}
A[{1, 2}, {5, 6}, <xi_2 -> S, xi_1 -> T, x_6 -> S, x_5 -> T|>,
  Wedge[] - x_5 xi_1 - x_6 xi_2 - x_5 x_6 xi_1 xi_2]

```

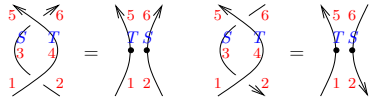


```

A@{X_{4,1,6,3}[v, u], X_{3,2,5,4}[v, u]}
A[{1, 2}, {5, 6}, <xi_2 -> v, x_5 -> u, xi_1 -> u, x_6 -> v|>,
  sqrt(u) sqrt(v) Wedge[] - (sqrt(u) x_5 xi_1) / sqrt(v) + (sqrt(u) x_5 xi_2) / sqrt(v) - sqrt(u) sqrt(v) x_5 xi_2 + (sqrt(v) x_6 xi_1) / sqrt(u) - sqrt(u) sqrt(v) x_6 xi_1 -
  (sqrt(v) x_6 xi_2) / sqrt(u) - (sqrt(u) x_5 x_6 xi_1 xi_2) / sqrt(v) - (sqrt(v) x_5 x_6 xi_1 xi_2) / sqrt(u) + sqrt(u) sqrt(v) x_5 x_6 xi_1 xi_2]

```

Reidemeister 2



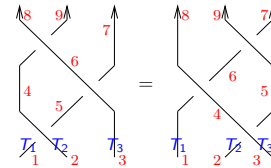
$$\mathcal{A}@\{X_{2,4,3,1}[S, T], \bar{X}_{3,4,6,5}\} \equiv \mathcal{A}@\{P_{1,5}[T], P_{2,6}[S]\}$$

True

$$\mathcal{A}@\{\bar{X}_{3,1,2,4}[S, T], X_{6,5,3,4}\} \equiv \mathcal{A}@\{P_{1,5}[T], P_{6,2}[S]\}$$

True

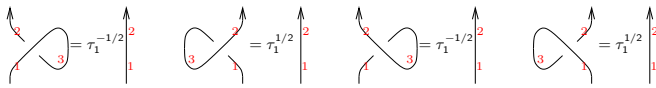
Reidemeister 3



$$\mathcal{A}@\{X_{2,5,4,1}[T_2, T_1], X_{3,7,6,5}[T_3, T_1], X_{6,9,8,4}\} \equiv \mathcal{A}@\{X_{3,5,4,2}[T_3, T_2], X_{4,6,8,1}[T_3, T_1], X_{5,7,9,6}\}$$

True

Reidemeister 1

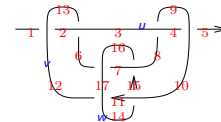


$$\{\mathcal{A}@\{X_{3,3,2,1}\} \equiv \tau_1^{-1/2} \mathcal{A}@\{P_{1,2}\}, \mathcal{A}@\{X_{1,2,3,3}\} \equiv \tau_1^{1/2} \mathcal{A}@\{P_{1,2}\}, \mathcal{A}@\{\bar{X}_{1,3,3,2}\} \equiv \tau_1^{-1/2} \mathcal{A}@\{P_{1,2}\}, \mathcal{A}@\{\bar{X}_{3,1,2,3}\} \equiv \tau_1^{1/2} \mathcal{A}@\{P_{1,2}\}\}$$

{True, True, True, True}

(So we have an invariant, up to rotation numbers).

The Relation with the Multivariable Alexander Polynomial



$$MVA = u^{-1/2} v^{-1/2} w^{-1/2} (u-1)(v-1)(w-1);$$

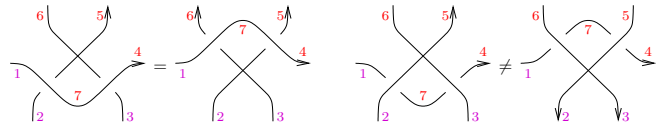
$$A = \{\bar{X}_{1,12,2,13}[u, v], \bar{X}_{13,2,6,3}, X_{8,4,9,3}, X_{4,10,5,9}, X_{6,17,7,16}[v, w], X_{15,8,16,7}, \bar{X}_{14,10,15,11}, \bar{X}_{11,17,12,14}\} // \mathcal{A} // \text{Last} // \text{Factor}$$

$$\frac{(-1+u)^2 (-1+v) (-1+w) (\text{Wedge}[\ ] - x_5 \wedge \xi_1)}{u v}$$

$$A = u^{-1/2} (u-1) u^0 v^{-1/2} w^{1/2} MVA (\text{Wedge}[\ ] - x_5 \wedge \xi_1)$$

True

Overcrossings Commute but Undercrossings don't



$$\mathcal{A}@\{X_{2,7,5,1}, X_{3,4,6,7}\} \equiv \mathcal{A}@\{X_{3,7,6,1}, X_{2,4,5,7}\}$$

True

$$\mathcal{A}@\{\bar{X}_{1,2,7,5}, \bar{X}_{7,3,4,6}\} \equiv \mathcal{A}@\{\bar{X}_{1,3,7,6}, \bar{X}_{7,2,4,5}\}$$

False

The Conway Relation

(see [Co])

$$I \nearrow \nearrow \nearrow \nearrow = (T^{-1/2} - T^{1/2}) I \nearrow \nearrow$$

$$\mathcal{A}@\{X_{2,3,4,1}[T, T]\} - \mathcal{A}@\{\bar{X}_{1,2,3,4}[T, T]\} \equiv (T^{-1/2} - T^{1/2}) \mathcal{A}@\{P_{1,4}[T], P_{2,3}[T]\}$$

True



Conway's Second Set of Identities

(see [Co])

$$\begin{matrix} \nearrow \nearrow \\ \nwarrow \nwarrow \end{matrix} + \begin{matrix} \nwarrow \nwarrow \\ \nearrow \nearrow \end{matrix} = ((uv)^{1/2} + (uv)^{-1/2}) \begin{matrix} \nearrow \\ \nwarrow \end{matrix} \begin{matrix} \nwarrow \\ \nearrow \end{matrix}$$

$$\mathcal{A}@\{X_{2,4,3,1}[v, u], X_{4,6,5,3}\} + \mathcal{A}@\{\bar{X}_{1,2,4,3}[u, v], \bar{X}_{3,4,6,5}\} \equiv (u^{1/2} v^{1/2} + u^{-1/2} v^{-1/2}) \mathcal{A}@\{P_{1,5}[u], P_{2,6}[v]\}$$

True

$$\mathcal{A}@\{\bar{X}_{4,1,6,3}[v, u], \bar{X}_{3,2,5,4}\} + \mathcal{A}@\{X_{1,6,3,4}[u, v], X_{2,5,4,3}\} \equiv (u^{1/2} v^{-1/2} + u^{-1/2} v^{1/2}) \mathcal{A}@\{P_{1,5}[u], P_{2,6}[v]\}$$

True

Virtual versions (Archibald, [Ar])

$$\begin{matrix} \nearrow \nearrow \\ \nwarrow \nwarrow \end{matrix} + \begin{matrix} \nwarrow \nwarrow \\ \nearrow \nearrow \end{matrix} = (\tau_1^{1/2} + \tau_1^{-1/2}) \begin{matrix} \nearrow \\ \nwarrow \end{matrix} \begin{matrix} \nwarrow \\ \nearrow \end{matrix}$$

$$\mathcal{A}@\{X_{2,3,4,1}\} + \mathcal{A}@\{\bar{X}_{2,1,4,3}\} \equiv (\tau_1^{1/2} + \tau_1^{-1/2}) \mathcal{A}@\{P_{1,3}, P_{2,4}\}$$

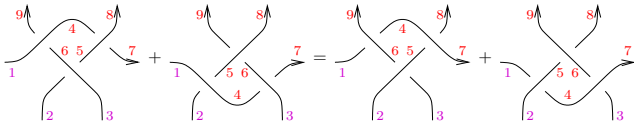
True

$$\mathcal{A}@\{\bar{X}_{1,2,3,4}\} + \mathcal{A}@\{X_{1,4,3,2}\} \equiv (\tau_2^{1/2} + \tau_2^{-1/2}) \mathcal{A}@\{P_{1,3}, P_{2,4}\}$$

True

Conway's Third Identity

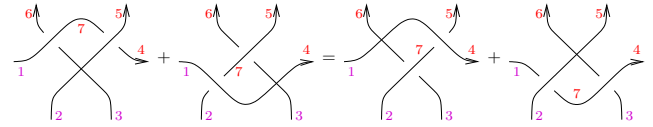
(see [Co])



$$\mathcal{A}@\{X_{6,4,9,1}, \bar{X}_{4,5,7,8}, \bar{X}_{2,3,5,6}\} + \mathcal{A}@\{X_{2,4,5,1}, \bar{X}_{4,3,7,6}, X_{6,8,9,5}\} \equiv \mathcal{A}@\{\bar{X}_{1,6,4,9}, X_{5,7,8,4}, X_{3,5,6,2}\} + \mathcal{A}@\{\bar{X}_{1,2,4,5}, X_{3,7,6,4}, \bar{X}_{5,6,8,9}\}$$

True

Virtual version (Archibald, [Ar])

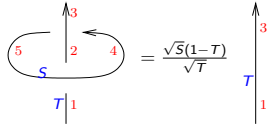


$$\mathcal{A}@\{X_{3,7,6,1}, \bar{X}_{7,2,4,5}\} + \mathcal{A}@\{X_{2,4,7,1}, X_{3,5,6,7}\} \equiv \mathcal{A}@\{X_{3,7,6,2}, X_{7,4,5,1}\} + \mathcal{A}@\{\bar{X}_{1,2,7,5}, X_{3,4,6,7}\}$$

True

Jun Murakami's Fifth Axiom

(see [Mu])

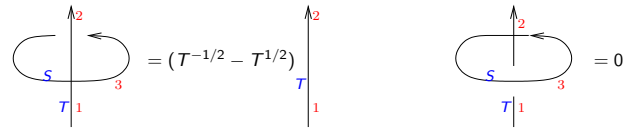


$$\mathcal{A}@\{X_{1,4,2,5}[T, S], X_{4,3,5,2}\} \equiv \frac{\sqrt{S}(1-T)}{\sqrt{T}} \mathcal{A}@\{P_{1,3}[T]\}$$

True



Virtual versions (Archibald, [Ar])



$$\mathcal{A}@\{X_{3,2,3,1}[S, T]\} \equiv (T^{-1/2} - T^{1/2}) \mathcal{A}@\{P_{1,2}[T]\}$$

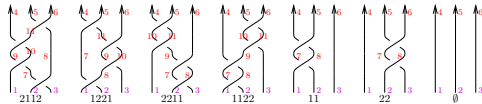
True

$$\mathcal{A}@\{X_{1,3,2,3}\}$$

$$\mathcal{A}[\{1\}, \{2\}, \langle \xi_1 \rightarrow \tau_1, \xi_2 \rightarrow \tau_1 \rangle, \emptyset]$$

Jun Murakami's Third Axiom

(see [Mu])

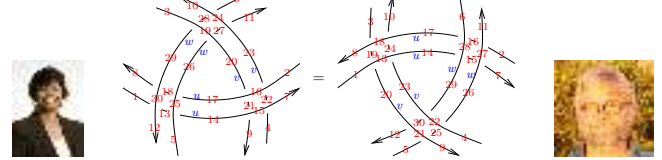


$$\begin{aligned} \mathcal{A}_{2112} &= \mathcal{A}@\{X_{3,8,7,2}, X_{7,10,9,1}, X_{10,11,4,9}, X_{8,6,5,11}\}; \\ \mathcal{A}_{1221} &= \mathcal{A}@\{X_{2,8,7,1}, X_{3,10,9,8}, X_{10,6,11,9}, X_{11,5,4,7}\}; \\ \mathcal{A}_{2211} &= \mathcal{A}@\{X_{3,8,7,2}, X_{8,6,9,7}, X_{9,11,10,1}, X_{11,5,4,10}\}; \\ \mathcal{A}_{1122} &= \mathcal{A}@\{X_{2,8,7,1}, X_{8,9,4,7}, X_{3,11,10,9}, X_{11,6,5,10}\}; \\ \mathcal{A}_{11} &= \mathcal{A}@\{X_{2,8,7,1}, X_{8,5,4,7}, P_{3,6}\}; \quad \mathcal{A}_{22} = \mathcal{A}@\{X_{3,8,7,2}, X_{8,6,5,7}, P_{1,4}\}; \\ \mathcal{A}_\emptyset &= \mathcal{A}@\{P_{1,4}, P_{2,5}, P_{3,6}\}; \\ g_+ [z_-] &:= z^{1/2} + z^{-1/2}; \quad g_- [z_-] := z^{1/2} - z^{-1/2}; \\ g_+ [\tau_1] g_- [\tau_2] \mathcal{A}_{2112} - g_- [\tau_2] g_+ [\tau_3] \mathcal{A}_{1221} - g_- [\tau_3 / \tau_1] (\mathcal{A}_{2211} + \mathcal{A}_{1122}) + \\ &g_- [\tau_2 \tau_3 / \tau_1] g_+ [\tau_3] \mathcal{A}_{11} - g_+ [\tau_1] g_- [\tau_1 \tau_2 / \tau_3] \mathcal{A}_{22} \equiv g_- [\tau_3^2 / \tau_1^2] \mathcal{A}_\emptyset \end{aligned}$$

True

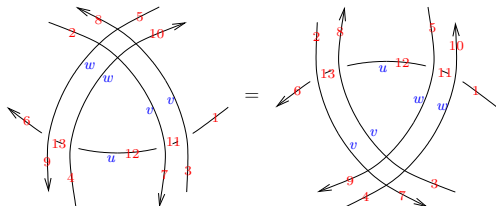
The Naik-Stanford Double Delta Move

(see [NS])



$$\begin{aligned} \text{Timing}[\mathcal{A}@\{X_{6,10,28,24}[w, v], \bar{X}_{28,3,29,19}[w, v], X_{26,20,27,19}[w, v], \bar{X}_{27,23,11,24}[w, v], \\ X_{1,12,13,30}[u, w], \bar{X}_{13,5,14,25}[u, w], X_{17,26,18,25}[u, w], \bar{X}_{18,29,8,30}[u, w], \\ X_{4,7,22,15}[v, u], \bar{X}_{22,2,23,16}[v, u], X_{20,17,21,16}[v, u], \bar{X}_{21,14,9,15}[v, u]\} \equiv \\ \mathcal{A}@\{X_{5,9,25,21}[w, v], \bar{X}_{25,4,26,22}[w, v], X_{29,23,30,22}[w, v], \bar{X}_{30,20,12,21}[w, v], \\ X_{2,11,16,27}[u, w], \bar{X}_{16,6,17,28}[u, w], X_{14,29,15,28}[u, w], \bar{X}_{15,26,7,27}[u, w], \\ X_{3,8,19,18}[v, u], \bar{X}_{19,1,20,13}[v, u], X_{23,14,24,13}[v, u], \bar{X}_{24,17,10,18}[v, u]\} \\ \{190.422, \text{True}\} \end{aligned}$$

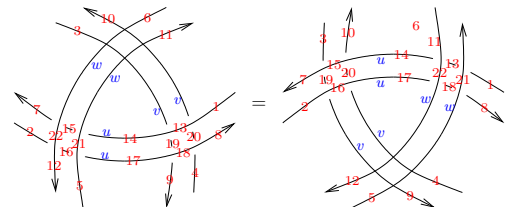
Virtual Version 1 (Archibald, [Ar])



$$\mathcal{A}@\{X_{1,8,11,3}[u, v], \bar{X}_{11,2,12,7}[u, v], X_{12,10,13,4}[u, w], \bar{X}_{13,5,6,9}[u, w]\} \equiv \mathcal{A}@\{X_{1,10,11,4}[u, w], \bar{X}_{11,5,12,9}[u, w], X_{12,8,13,3}[u, v], \bar{X}_{13,2,6,7}[u, v]\}$$

True

Virtual Version 2 (Archibald, [Ar])



$$\begin{aligned} \mathcal{A}@\{\bar{X}_{20,1,10,13}[v, u], X_{3,14,19,13}[v, u], X_{14,11,15,21}[u, w], \bar{X}_{15,6,7,22}[u, w], \\ X_{2,12,16,22}[u, w], \bar{X}_{16,5,17,21}[u, w], \bar{X}_{19,17,9,18}[v, u], X_{4,8,20,18}[v, u]\} \equiv \\ \mathcal{A}@\{X_{1,11,13,21}[u, w], \bar{X}_{13,6,14,22}[u, w], \bar{X}_{20,14,10,15}[v, u], X_{3,7,19,15}[v, u], \\ \bar{X}_{19,2,9,16}[v, u], X_{4,17,20,16}[v, u], X_{17,12,18,22}[u, w], \bar{X}_{18,5,8,21}[u, w]\} \end{aligned}$$

True

## 5. Some Problems in Heaven

Unfortunately,  $\dim \mathcal{A}(\mathcal{X}, X) = \dim \Lambda(\mathcal{X}, X) = 4^{|\mathcal{X}|}$  is big. Fortunately, we have the following theorem, a version of one of the main results in Halacheva's thesis, [Ha1, Ha2]:

**Theorem.** Working in  $\Lambda(\mathcal{X} \cup X)$ , if  $w = \omega e^\lambda$  is a balanced Gaussian (namely, a scalar  $\omega$  times the exponential of a quadratic  $\lambda = \sum_{\zeta \in \mathcal{X}, z \in X} \alpha_{\zeta, z} \zeta z$ ), then generically so is  $c_{x, \xi} e^\lambda$ .  
(This is great news! The space of balanced quadratics is only  $|\mathcal{X}||X|$ -dimensional!)

**Proof.** Recall that  $c_{x, \xi}: (1, \xi, x, x\xi)w' \mapsto (1, 0, 0, 1)w'$ , write  $\lambda = \mu + \eta x + \xi y + \alpha \xi x$ , and ponder  $e^\lambda =$

$$\dots + \frac{1}{k!} \underbrace{(\mu + \eta x + \xi y + \alpha \xi x)(\mu + \eta x + \xi y + \alpha \xi x) \cdots (\mu + \eta x + \xi y + \alpha \xi x)}_{k \text{ factors}} + \dots$$

Then  $c_{x, \xi} e^\lambda$  has three contributions:

- ▶  $e^\mu$ , from the term proportional to 1 (namely, independent of  $\xi$  and  $x$ ) in  $e^\lambda$
- ▶  $-\alpha e^\mu$ , from the term proportional to  $x\xi$ , where the  $x$  and the  $\xi$  come from the same factor above.
- ▶  $\eta y e^\mu$ , from the term proportional to  $x\xi$ , where the  $x$  and the  $\xi$  come from different factors above.

So  $c_{x, \xi} e^\lambda = e^\mu(1 - \alpha + \eta y) = (1 - \alpha)e^\mu(1 + \eta y/(1 - \alpha)) = (1 - \alpha)e^{\mu + \eta y/(1 - \alpha)}$ .

□

## $\Gamma$ -calculus.

Thus we have an almost-always-defined “ $\Gamma$ -calculus”: a contraction algebra morphism  $\mathcal{T}(\mathcal{X}, X) \rightarrow R \times (\mathcal{X} \otimes_{R/R} X)$  whose behaviour under contractions is given by

$$c_{x, \xi}(\omega, \lambda = \mu + \eta x + \xi y + \alpha \xi x) = ((1 - \alpha)\omega, \mu + \eta y/(1 - \alpha)).$$

( $\Gamma$  is fully defined on pure tangles – tangles without closed components – and hence on long knots).

Multiplying and comparing  $\Gamma$  objects:

```

Γ /: Γ[is1_, os1_, cs1_, ω1_, λ1_] × Γ[is2_, os2_, cs2_, ω2_, λ2_] :=
  Γ[is1 ∪ is2, os1 ∪ os2, Join[cs1, cs2], ω1 ω2, λ1 + λ2]
Γ /: Γ[is1_, os1_, ω1_, λ1_] ≡ Γ[is2_, os2_, ω2_, λ2_] :=
  TrueQ[Sort@is1 == Sort@is2] ∧ (Sort@os1 == Sort@os2) ∧
  Simplify[ω1 == ω2] ∧ CF@λ1 == CF@λ2

```

No rules for linear operations!

The crossings and the point:

```

Γ[Xi,j,k,l[S-, T-]] := Γ[{l, i}, {j, k}, <{ξi → S, xj → T, xk → S, ξl → T}>,
  T-1/2, CF[{ξi, ξl}.(1 1 - T; 0 T).{xj, xk}]];
Γ[X̄i,j,k,l[S-, T-]] := Γ[{i, j}, {k, l}, <{ξi → S, ξj → T, xk → S, xl → T}>,
  T1/2, CF[{ξi, ξj}.(T-1 0; 1 - T-1 1).{xk, xl}]];
Γ[Xi,j,k,l] := Γ[Xi,j,k,l[τi, τl]];
Γ[X̄i,j,k,l] := Γ[X̄i,j,k,l[τi, τj]];
Γ[Pi,j[T-]] := Γ[{i}, {j}, <{ξi → T, xj → T}>, 1, ξi xj];
Γ[Pi,j] := Γ[Pi,j[τi]];

```

## 6. An Implementation of $\Gamma$ .

If I didn't implement I wouldn't believe myself.

Written in Mathematica [Wo], available as the notebook Gamma.nb at <http://drorbn.net/mo21/ap>. Code lines are highlighted in grey, demo lines are plain. We start with canonical forms for quadratics with rational function coefficients:

```

CCF[ξ_] := Factor[ξ];
CF[ξ_] := Module[{vs = Union@Cases[ξ, {ξ | x}_, ∞]},
  Total[(CCF[#][2]] (Times@@vsPower[#]) & /@ CoefficientRules[ξ, vs]]];

```

Contractions:

```

ch,t@Γ[is_, os_, cs_, ω_, λ_] := Module[{α, η, γ, μ},
  α = D[ξt, xh]; μ = λ /. ξt | xh → 0;
  η = D[xh, λ] /. ξt → 0; γ = D[ξt, λ] /. xh → 0;
  Γ[
    DeleteCases[is, t], DeleteCases[os, h], KeyDrop[cs, {xh, ξt}],
    CCF[(1 - α) ω], CF[μ + η γ / (1 - α)]
  ] /. If[MatchQ[cs[ξt], τ_], cs[ξt] → cs[xh], cs[xh] → cs[ξt]];
c@Γ[is_, os_, cs_, ω_, λ_] := Fold[cm2,m2[#1] &, Γ[is, os, cs, ω, λ], is ∩ os]

```

Automatic intelligent contractions:

```

Γ[{γ- T}] := c[γ];
Γ[{γ1 T, γ2 T}] := Module[{γ2},
  γ2 = First@MaximalBy[{γS}, Length[γ1[[1] ∩ #][2]] + Length[γ1[[2] ∩ #][1]] &];
  Γ[Join[{c[γ1 γ2], DeleteCases[{γS}, γ2]}]]
Γ[os_List] := Γ[Γ /@ os]

```



Conversions  $\mathcal{A} \leftrightarrow \Gamma$ :

```

Γ@A[is_, os_, cs_, w_] := Module[{i, j, ω = Coefficient[w, Wedge[i, j]],
  Γ[is, os, cs, ω, Sum[Cancel[-Coefficient[w, Xj ^ E1] E1 Xj / ω],
    {i, is}, {j, os}]]];
A@Γ[is_, os_, cs_, ω_, λ_] :=
  Γ[is, os, cs, Expand[ω WExp[Expand[λ] /. E_a X_b -> E_a ^ X_b]];

```

The conversions are inverses of each other:

```

γ = Γ[{1, 2, 3}, {1, 2, 3}, {X1 -> T1, X2 -> T2, X3 -> T3, E1 -> T1, E2 -> T2, E3 -> T3},
  ω, a11 X1 E1 + a12 X2 E1 + a13 X3 E1 + a21 X1 E2 + a22 X2 E2 + a23 X3 E2 + a31 X1 E3 +
  a32 X2 E3 + a33 X3 E3];
Γ@A@γ = γ

```

True

The conversions commute with contractions:

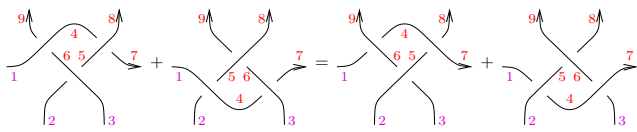
```

Γ@c3,3@A@γ = c3,3@γ

```

True

### Conway's Third Identity



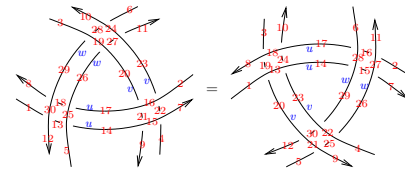
Sorry,  $\Gamma$  has nothing to say about that...

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- J. H. Conway, *An Enumeration of Knots and Links, and some of their Algebraic Properties*, Computational Problems in Abstract Algebra (Proc. Conf., Oxford, 1967), Pergamon, Oxford, 1970, 329–358.
- Z. Dancso, I. Halacheva, and M. Robertson, *Circuit Algebras are Wheeled Props*, J. Pure and Appl. Alg., to appear, [arXiv:2009.09738](https://arxiv.org/abs/2009.09738).
- I. Halacheva, *Alexander Type Invariants of Tangles, Skew Howe Duality for Crystals and The Cactus Group*, University of Toronto Ph.D. thesis, 2016, <http://drorbn.net/mo21/HT>.
- I. Halacheva, *Alexander Type Invariants of Tangles*, [arXiv:1611.09280](https://arxiv.org/abs/1611.09280).

Thank You!

### The Naik-Stanford Double Delta Move (again)



```

Timing[Γ@{X6,10,28,24[w,v], X28,3,29,19[w,v], X26,20,27,19[w,v], X27,23,11,24[w,v],
  X1,12,13,30[u,w], X13,5,14,25[u,w], X17,26,18,25[u,w], X18,29,8,30[u,w],
  X4,7,22,15[v,u], X22,2,23,16[v,u], X20,17,21,16[v,u], X21,14,9,15[v,u]} ≡
  Γ@{X5,9,25,21[w,v], X25,4,26,22[w,v], X29,23,30,22[w,v], X30,20,12,21[w,v],
  X2,11,16,27[u,w], X16,6,17,28[u,w], X14,29,15,28[u,w], X15,26,7,27[u,w],
  X3,8,19,18[v,u], X19,1,20,13[v,u], X23,14,24,13[v,u], X24,17,10,18[v,u]}]
{0.703125, True}

```

### What I still don't understand.

- What becomes of  $c_{x,\xi} e^\lambda$  if we have to divide by 0 in order to write it again as an exponentiated quadratic? Does it still live within a very small subset of  $\Lambda(\mathcal{X} \sqcup X)$ ?
- How do cablings and strand reversals fit within  $\mathcal{A}$ ?
- Are there "classicality conditions" satisfied by the invariants of classical tangles (as opposed to virtual ones)?

- M. Markl, S. Merkulov, and S. Shadrin, *Wheeled PROPs, Graph Complexes and the Master Equation*, J. Pure and Appl. Alg. **213-4** (2009) 496–535, [arXiv:math/0610683](https://arxiv.org/abs/math/0610683).
- J. Murakami, *A State Model for the Multivariable Alexander Polynomial*, Pacific J. Math. **157-1** (1993) 109–135.
- S. Naik and T. Stanford, *A Move on Diagrams that Generates S-Equivalence of Knots*, J. Knot Theory Ramifications **12-5** (2003) 717–724, [arXiv:math/9911005](https://arxiv.org/abs/math/9911005).
- Wolfram Language & System Documentation Center, <https://reference.wolfram.com/language/>.



# The Alexander Polynomial is a Quantum Invariant in a Different Way

ωεβ:=http://drorbn.net/cat20/

► On a chat window here I saw a comment “Alexander is the quantum  $gl(1|1)$  invariant”. I have an opinion about this, and I’d like to share it. First, some stories.

I left the wonderful subject of Categorification nearly 15 years ago. It got crowded, lots of very smart people had things to say, and I feared I will have nothing to add. Also, clearly the next step was to categorify all other “quantum invariants”. Except it was not clear what “categorify” means. Worse, I felt that I (perhaps “we all”) didn’t understand “quantum invariants” well enough to try to categorify them, whatever that might mean.

I still feel that way! I learned a lot since 2006, yet I’m still not comfortable with quantum algebra, quantum groups, and quantum invariants. I still don’t feel that I know what God had in mind when She created this topic.

Yet I’m not here to rant about my philosophical quandaries, but only about things that I learned about the Alexander polynomial after 2006.

Yes, the Alexander polynomial fits within the Dogma, “one invariant for every Lie algebra and representation” (it’s  $gl(1|1)$ , I hear). But it’s better to think of it as a quantum invariant arising by other means, outside the Dogma.

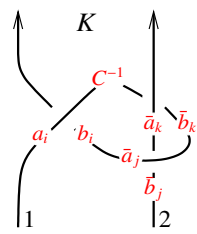
Alexander comes from (or in) practically any non-Abelian Lie algebra. Foremost from the not-even-semi-simple 2D “ $ax + b$ ” algebra. You get a polynomially-sized extension to tangles using some lovely formulas (can you categorify them?). It generalizes to higher dimensions and it has an organized family of siblings. (There are some questions too, beyond categorification).

I note the spectacular existing categorification of Alexander by Ozsváth and Szabó. The theorems are proven and a lot they say, the programs run and fast they run. Yet if that’s where the story ends, She has abandoned us. Or at least abandoned me: a simpleton will never be able to catch up.

If you care only about categorification, the take-home from my talk will be a challenge: Categorify what I believe is the best Alexander invariant for tangles.

**The Yang-Baxter Technique.** Given an algebra  $U$  (typically some  $\hat{U}(\mathfrak{g})$  or  $\hat{U}_q(\mathfrak{g})$ ) and suitable elements  $R, C$ ,

$$R = \sum a_i \otimes b_i \in U \otimes U \quad \text{with} \quad R^{-1} = \sum \bar{a}_i \otimes \bar{b}_i \quad \text{and} \quad C, C^{-1} \in U,$$
$$\text{form} \quad Z(K) = \sum_{i,j,k} a_i C^{-1} \bar{b}_k \bar{a}_j b_i \otimes \bar{b}_j \bar{a}_k.$$



**Problem.** Extract information from  $Z$ .

**The Dogma.** Use representation theory. In principle finite, but *slow*.

**Example 1.** Let  $a := L\langle a, x \rangle / ([a, x] = x)$ ,  $b := a^* = \langle b, y \rangle$ , and  $\mathfrak{g} := b \rtimes a = b \oplus a$  with  $[a, x] = x$ ,  $[a, y] = -y$ ,  $[b, \cdot] = 0$ , and  $[x, y] = b$  and with  $\deg(y, b, a, x) = (1, 1, 0, 0)$ . Let  $U = \hat{U}(\mathfrak{g})$  and

**Gentle’s Agreement.**  
Everything converges!

$$R := e^{b \otimes a + y \otimes x} \in U \otimes U \quad \text{or better} \quad R_{ij} := e^{b_i a_j + y_i x_j} \in U_i \otimes U_j, \quad \text{and} \quad C_i = e^{-b_i / 2}.$$

**Theorem 1.** With “scalars” := power series in  $\{b_i\}$  which are rational functions in  $\{b_i\}$  and  $\{B_i := e^{b_i}\}$ ,

$$Z(K) = \bigcirc_{yba x} \left( \omega^{-1} e^{i^j b_i a_j + q^{ij} y_i x_j} (1 + \epsilon P_1 + \epsilon^2 P_2 + \dots) \right)$$

“normal ordering” at  $yba x$  order

the “ $i$  over  $j$ ” linking numbers (integers)

categorify us! scalars

a docile perturbation for other Lie algebras; semisimple algebras have a hidden parameter  $\epsilon!$

With Roland van der Veen

Continues Lev Rozansky

a scalar; if  $K$  is a long knot, the Alexander poly  $\Delta(T)$  categorify me!

**Example 2.** Let  $\mathfrak{h} := A\langle p, x \rangle / ([p, x] = 1)$  be the Heisenberg algebra, with  $C_i = e^{t/2}$  and  $R_{ij} = e^{t/2} e^{t(p_i - p_j)x_j}$ . I just told you the whole Alexander story! Everything else is details.

**Claim.**  $R_{ij} = \bigcirc_{px} (e^{(e^t - 1)(p_i - p_j)x_j})$ .

**Theorem 2.**  $Z(K) = \bigcirc_{px} (\omega^{-1} e^{q^{ij} p_i x_j})$  where  $\omega$  and the  $q^{ij}$  are rational functions in  $T = e^t$ . In fact  $\omega$  and  $\omega q^{ij}$  are Laurent polynomials (categorify us!). When  $K$  is a long knot,  $\omega$  is the Alexander polynomial.

**Theorem 3.** Full evaluation via

$$\left( i^{\nearrow j}, j^{\nwarrow i} \right) \rightarrow \begin{array}{c|cc} 1 & x_i & x_j \\ \hline p_i & 0 & T^{i-1} - 1 \\ p_j & 0 & 1 - T^{j-1} \end{array} \quad (1) \square$$

$$K_1 \sqcup K_2 \rightarrow \begin{array}{c|cc} \omega_1 \omega_2 & X_1 & X_2 \\ \hline P_1 & A_1 & 0 \\ P_2 & 0 & A_2 \end{array} \quad (2) \square$$

$$\begin{array}{c|ccc} \omega & x_i & x_j & \dots \\ \hline p_i & \alpha & \beta & \theta \\ p_j & \gamma & \delta & \epsilon \\ \vdots & \phi & \psi & \Xi \end{array} \xrightarrow{hm_k^i} \begin{array}{c|ccc} (1 + \gamma)\omega & & x_k & \dots \\ \hline p_k & 1 + \beta - \frac{(1-\alpha)(1-\delta)}{1+\gamma} & \theta + \frac{(1-\alpha)\epsilon}{1+\gamma} \\ \vdots & \psi + \frac{(1-\delta)\phi}{1+\gamma} & \Xi - \frac{\phi\epsilon}{1+\gamma} \end{array} \quad (3)$$

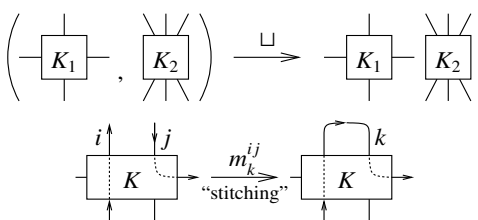
**Packaging.** Write  $\bigcirc_{px} (\omega^{-1} e^{q^{ij} p_i x_j})$  as

$$\mathbb{E}_{p_1, \dots, p_n, x_1, \dots} [\omega, Q] \leftrightarrow \begin{array}{c|ccc} \omega & x_1 & x_2 & \dots \\ \hline p_1 & q^{11} & q^{12} & \dots \\ p_2 & q^{21} & q^{22} & \dots \\ \vdots & \vdots & \vdots & \ddots \end{array}$$

**The “First Tangle”.**  $Z(K) =$

$$\mathbb{E}_{12} \left[ \frac{2T-1}{T}, \frac{(T-1)(p_1 - p_2)(T x_1 - x_2)}{2T-1} \right]$$
$$= \begin{array}{c|cc} 2-T^{-1} & x_1 & x_2 \\ \hline p_1 & \frac{T(T-1)}{2T-1} & \frac{1-T}{2T-1} \\ p_2 & \frac{T(1-T)}{2T-1} & \frac{T-1}{2T-1} \end{array} \quad \begin{array}{c} K \\ \uparrow \quad \downarrow \\ 1 \quad 2 \end{array}$$

**(v-)Tangles.** Generated by  $\{ \curvearrowright, \curvearrowleft \}!$



There’s also strand doubling and reversal...

“ $\Gamma$ -calculus” relates via  $A \leftrightarrow I - A^T$  and has slightly simpler formulas:  $\omega \rightarrow (1 - \beta)\omega$ ,

$$\begin{pmatrix} \alpha & \beta & \theta \\ \gamma & \delta & \epsilon \\ \phi & \psi & \Xi \end{pmatrix} \rightarrow \begin{pmatrix} \gamma + \frac{\alpha\delta}{1-\beta} & \epsilon + \frac{\delta\theta}{1-\beta} \\ \phi + \frac{\alpha\psi}{1-\beta} & \Xi + \frac{\psi\theta}{1-\beta} \end{pmatrix}$$

**Why Should You Categorify This?** The simplest and fastest Alexander for tangles, easily generalizes to the multi-variable case, generalizes to v-tangles and w-tangles, generalizes to other Lie algebras. In fact, it’s in almost any Lie algebra, and you don’t even need to know what is  $gl(1|1)$ ! But you’ll have to deal with denominators and/or divisions!

**Note.** Example 1  $\leftrightarrow$  Example 2 via  $\mathfrak{g} \leftrightarrow \mathfrak{h}(t)$  via  $(y, b, a, x) \mapsto (-tp, t, px, x)$ .

**The PBW Principle** Lots of algebras are isomorphic as vector spaces to polynomial algebras. So we want to understand arbitrary linear maps between polynomial algebras.

**Convention.** For a finite set  $A$ , let  $z_A := \{z_i\}_{i \in A}$  and let  $\zeta_A := \{\zeta_i^* = \zeta_i\}_{i \in A}$ .

**The Generating Series  $\mathcal{G}$ :**  $\text{Hom}(\mathbb{Q}[z_A] \rightarrow \mathbb{Q}[z_B]) \rightarrow \mathbb{Q}[\zeta_A, z_B]$ .

**Claim.**  $L \in \text{Hom}(\mathbb{Q}[z_A] \rightarrow \mathbb{Q}[z_B]) \xrightarrow{\mathcal{G}} \mathbb{Q}[\zeta_A, z_B] \ni \mathcal{L}$  via

$$\mathcal{G}(L) := \sum_{n \in \mathbb{N}^A} \frac{\zeta_A^n}{n!} L(z_A^n) = L(\otimes_{a \in A} \zeta_a z_a) = \mathcal{L} = \text{greek } \mathcal{L}_{\text{latin}}$$

$$\mathcal{G}^{-1}(\mathcal{L})(p) = (p|_{z_a \rightarrow \partial_{z_a}} \mathcal{L})_{\zeta_a=0} \quad \text{for } p \in \mathbb{Q}[z_A].$$

**Claim.** If  $L \in \text{Hom}(\mathbb{Q}[z_A] \rightarrow \mathbb{Q}[z_B])$ ,  $M \in \text{Hom}(\mathbb{Q}[z_B] \rightarrow \mathbb{Q}[z_C])$ , then  $\mathcal{G}(L \circ M) = (\mathcal{G}(L)|_{z_b \rightarrow \partial_{z_b}} \mathcal{G}(M))_{\zeta_b=0}$ .

**Examples.** •  $\mathcal{G}(\text{id}: \mathbb{Q}[p, x] \rightarrow \mathbb{Q}[p, x]) = e^{\pi p + \xi x}$ .

• Consider  $R_{ij} \in (\mathfrak{h}_i \otimes \mathfrak{h}_j)[[t]] \cong \text{Hom}(\mathbb{Q}[\ ] \rightarrow \mathbb{Q}[p_i, x_i, p_j, x_j])[[t]]$ .

Then  $\mathcal{G}(R_{ij}) = e^{(e^t - 1)(p_i - p_j)x_j} = e^{(T - 1)(p_i - p_j)x_j}$ .

**Heisenberg Algebras.** Let  $\mathfrak{h} = A\langle p, x \rangle / ([p, x] = 1)$ , let  $\mathbb{O}_i: \mathbb{Q}[p_i, x_i] \rightarrow \mathfrak{h}_i$  is the “ $p$  before  $x$ ” PBW normal ordering map and let  $hm_k^{ij}$  be the composition

$$\mathbb{Q}[p_i, x_i, p_j, x_j] \xrightarrow{\mathbb{O}_i \otimes \mathbb{O}_j} \mathfrak{h}_i \otimes \mathfrak{h}_j \xrightarrow{m_k^{ij}} \mathfrak{h}_k \xrightarrow{\mathbb{O}_k^{-1}} \mathbb{Q}[p_k, x_k].$$

Then  $\mathcal{G}(hm_k^{ij}) = e^{-\xi_i \pi_j + (\pi_i + \pi_j)p_k + (\xi_i + \xi_j)x_k}$ .

**Proof.** Recall the “Weyl CCR”  $e^{\xi x} e^{\pi p} = e^{-\xi \pi} e^{\pi p} e^{\xi x}$ , and find

$$\begin{aligned} \mathcal{G}(hm_k^{ij}) &= e^{\pi_i p_i + \xi_i x_i + \pi_j p_j + \xi_j x_j} // \mathbb{O}_i \otimes \mathbb{O}_j // m_k^{ij} // \mathbb{O}_k^{-1} \\ &= e^{\pi_i p_i} e^{\xi_i x_i} e^{\pi_j p_j} e^{\xi_j x_j} // m_k^{ij} // \mathbb{O}_k^{-1} = e^{\pi_i p_k} e^{\xi_i x_k} e^{\pi_j p_k} e^{\xi_j x_k} // \mathbb{O}_k^{-1} \\ &= e^{-\xi_i \pi_j} e^{(\pi_i + \pi_j)p_k} e^{(\xi_i + \xi_j)x_k} // \mathbb{O}_k^{-1} = e^{-\xi_i \pi_j + (\pi_i + \pi_j)p_k + (\xi_i + \xi_j)x_k}. \end{aligned}$$

**GDO** := The category with objects finite sets and

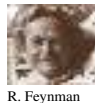
$$\text{mor}(A \rightarrow B) = \{ \mathcal{L} = \omega e^{\mathcal{Q}} \} \subset \mathbb{Q}[\zeta_A, z_B],$$

where: •  $\omega$  is a scalar. •  $\mathcal{Q}$  is a “small” quadratic in  $\zeta_A \cup z_B$ .

• Compositions:  $\mathcal{L} // \mathcal{M} := (\mathcal{L}|_{z_i \rightarrow \partial_{z_i}} \mathcal{M})_{\zeta_i=0}$ .

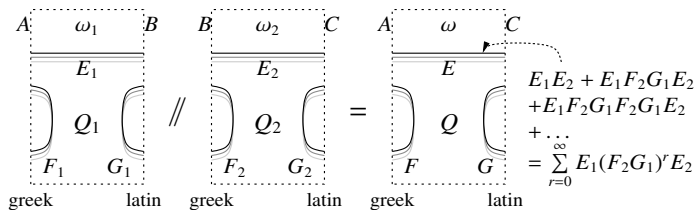
**Compositions.** In  $\text{mor}(A \rightarrow B)$ ,

$$Q = \sum_{i \in A, j \in B} E_{ij} \zeta_i z_j + \frac{1}{2} \sum_{i, j \in A} F_{ij} \zeta_i \zeta_j + \frac{1}{2} \sum_{i, j \in B} G_{ij} z_i z_j,$$



R. Feynman

and so (remember,  $e^x = 1 + x + xx/2 + xxx/6 + \dots$ )



where •  $E = E_1(I - F_2 G_1)^{-1} E_2$  •  $F = F_1 + E_1 F_2 (I - G_1 F_2)^{-1} E_1^T$  •  $G = G_2 + E_2^T G_1 (I - F_2 G_1)^{-1} E_2$  •  $\omega = \omega_1 \omega_2 \det(I - F_2 G_1)^{-1/2}$

**Proof of Claim in Example 2.** Let  $\Phi_1 := e^{t(p_i - p_j)x_j}$  and  $\Phi_2 := \otimes_{p_j x_j} (e^{(e^t - 1)(p_i - p_j)x_j}) =: \mathbb{O}(\Psi)$ . We show that  $\Phi_1 = \Phi_2$  in  $(\mathfrak{h}_i \otimes \mathfrak{h}_j)[[t]]$  by showing that both solve the ODE  $\partial_t \Phi = (p_i - p_j)x_j \Phi$  with  $\Phi|_{t=0} = 1$ . For  $\Phi_1$  this is trivial.  $\Phi_2|_{t=0} = 1$  is trivial, and

$$\partial_t \Phi_2 = \mathbb{O}(\partial_t \Psi) = \mathbb{O}(e^t (p_i - p_j)x_j \Psi)$$

$$(p_i - p_j)x_j \Phi_2 = (p_i - p_j)x_j \mathbb{O}(\Psi) = (p_i - p_j)\mathbb{O}(x_j \Psi - \partial_{p_j} \Psi)$$

$$= \mathbb{O}((p_i - p_j)(x_j \Psi + (e^t - 1)x_j \Psi)) = \mathbb{O}(e^t (p_i - p_j)x_j \Psi) \quad \square$$

**Implementation.**

Without, don't trust!

CF = ExpandNumerator\*ExpandDenominator\*PowerExpand\*Factor;

```
EA1 -> B1 [omega1, Q1_] EA2 -> B2 [omega2, Q2_] ^:= EA1UA2->B1UB2 [omega1 omega2, Q1 + Q2]
(EA1 -> B1 [omega1, Q1_] // EA2 -> B2 [omega2, Q2_] /; (B1* == A2) :=
Module[{i, j, E1, F1, G1, E2, F2, G2, I, M = Table},
I = IdentityMatrix@Length@B1;
E1 = M[Theta[i, j, Q1], {i, A1}, {j, B1}]; E2 = M[Theta[i, j, Q2], {i, A2}, {j, B2}];
F1 = M[Theta[i, j, Q1], {i, A1}, {j, A1}]; F2 = M[Theta[i, j, Q2], {i, A2}, {j, A2}];
G1 = M[Theta[i, j, Q1], {i, B1}, {j, B1}]; G2 = M[Theta[i, j, Q2], {i, B2}, {j, B2}];
EA1 -> B2 [CF [omega1 omega2 Det[I - F2.G1]^(1/2)], CF@Plus[
If[A1 == {} || B2 == {}, 0, A1.E1.Inverse[I - F2.G1].E2.B2],
If[A1 == {}, 0, 1/2 A1.(F1 + E1.F2.Inverse[I - G1.F2].E1^T).A1],
If[B2 == {}, 0, 1/2 B2.(G2 + E2^T.G1.Inverse[I - F2.G1].E2).B2]]]]]
```

```
A \ B := Complement[A, B];
(EA1 -> B1 [omega1, Q1_] // EA2 -> B2 [omega2, Q2_] /; (B1* != A2) :=
EA1U(A2 \ B1*) -> B1UA2* [omega1, Q1 + Sum[epsilon^* epsilon, {epsilon, A2 \ B1*}]] //
EB1*UA2 -> B2U(B1 \ A2*) [omega2, Q2 + Sum[z^* z, {z, B1 \ A2*}]]
```

```
{p^*, x^*, pi^*, xi^*} = {pi, xi, p, x}; (u_{-i})^* := (u^*)_i;
L_LiSt^* := #* & /& L;
```

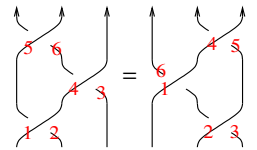
```
R_{i,j,-} := E_{() -> {p_i, x_i, p_j, x_j}} [T^{-1/2}, (1 - T) p_j x_j + (T - 1) p_i x_j];
R_{i,j,+} := E_{() -> {p_i, x_i, p_j, x_j}} [T^{1/2}, (1 - T^{-1}) p_j x_j + (T^{-1} - 1) p_i x_j];
C_{i,-} := E_{() -> {p_i, x_i}} [T^{-1/2}, 0];
C_{i,+} := E_{() -> {p_i, x_i}} [T^{1/2}, 0];
```

```
hm_{i,j,->k} := E_{\{pi_i, xi_i, pi_j, xi_j\} -> \{p_k, x_k\}} [1, -xi_i pi_j + (pi_i + pi_j) p_k + (xi_i + xi_j) x_k]
```

```
E_{() -> vs [omega_i, Q_i]_h := Module[{ps, xs, M},
ps = Cases[vs, p_]; xs = Cases[vs, x_];
M = Table[omega_i, 1 + Length@ps, 1 + Length@xs];
M[[2 ;;, 2 ;;]] = Table[CF[Theta[i, j, Q_i], {i, ps}, {j, xs}]];
M[[2 ;;, 1]] = ps; M[[1, 2 ;;]] = xs;
MatrixForm[M]_h]
```

**Proof of Reidemeister 3.**

$$(R_{1,2} R_{4,3} R_{5,6} // hm_{1,4 \rightarrow 1} hm_{2,5 \rightarrow 2} hm_{3,6 \rightarrow 3}) == (R_{2,3} R_{1,6} R_{4,5} // hm_{1,4 \rightarrow 1} hm_{2,5 \rightarrow 2} hm_{3,6 \rightarrow 3})$$



True

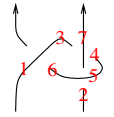
**The “First Tangle”.**

Factor /@

$$(z = R_{1,6} \bar{C}_3 \bar{R}_{7,4} \bar{R}_{5,2} // hm_{1,3 \rightarrow 1} // hm_{1,4 \rightarrow 1} // hm_{1,5 \rightarrow 1} // hm_{1,6 \rightarrow 1} // hm_{2,7 \rightarrow 2})$$

$$E_{() -> \{p_1, p_2, x_1, x_2\}} \left[ \frac{-1 + 2T}{T}, \frac{(-1 + T)(p_1 - p_2)(T x_1 - x_2)}{-1 + 2T} \right]$$

$$z_h = \begin{pmatrix} \frac{-1+2T}{T} & x_1 & x_2 \\ p_1 & \frac{-T-T^2}{-1+2T} & \frac{1-T}{-1+2T} \\ p_2 & \frac{T-T^2}{-1+2T} & \frac{-1-T}{-1+2T} \end{pmatrix}_h$$

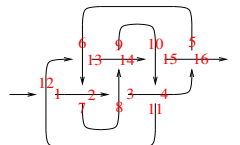


**The knot 8<sub>17</sub>.**

$$z = \bar{R}_{12,1} \bar{R}_{27} \bar{R}_{83} \bar{R}_{4,11} \bar{R}_{16,5} \bar{R}_{6,13} \bar{R}_{14,9} \bar{R}_{10,15};$$

Table[z = z // hm\_{1k \rightarrow 1}, {k, 2, 16}] // Last

$$E_{() -> \{p_1, x_1\}} \left[ \frac{1 - 4T + 8T^2 - 11T^3 + 8T^4 - 4T^5 + T^6}{T^3}, 0 \right]$$



**Proof of Theorem 3, (3).**

$$\left\{ \gamma 1 = E_{() -> \{p_1, x_1, p_2, x_2, p_3, x_3\}} \left[ \omega, \{p_1, p_2, p_3\} \cdot \begin{pmatrix} \alpha & \beta & \theta \\ \gamma & \delta & \epsilon \\ \phi & \psi & \xi \end{pmatrix} \cdot \{x_1, x_2, x_3\} \right]_h \right\}$$

$$(\gamma 1 // hm_{1,2 \rightarrow 0})_h$$

$$\left\{ \begin{pmatrix} \omega & x_1 & x_2 & x_3 \\ p_1 & \alpha & \beta & \theta \\ p_2 & \gamma & \delta & \epsilon \\ p_3 & \phi & \psi & \xi \end{pmatrix}_h, \begin{pmatrix} \omega + \gamma \omega & x_0 \\ p_0 & \frac{\alpha\beta + \gamma\delta + \theta - \alpha\delta}{1 + \gamma} & \frac{\epsilon - \alpha\epsilon + \theta\gamma\theta}{1 + \gamma} \\ p_3 & \frac{\phi - \delta\theta + \psi + \gamma\psi}{1 + \gamma} & \frac{\xi + \gamma\xi - \epsilon\phi}{1 + \gamma} \end{pmatrix}_h \right\}$$

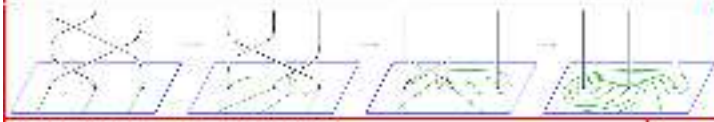
**References.**

On  $\omega\epsilon\beta = \text{http://drorbn.net/cat20}$



Order	2	3	4	5	6	Grand total
1	1	1	1	1	1	5
2	1	3	15	42	105	266
3	1	7	42	175	504	1309
4	1	15	105	420	1260	3841
5	1	35	210	1050	3150	10516
6	1	70	350	1750	6300	29876

... and a diagram showing various knot diagrams.



... and a table with columns for order and counts.

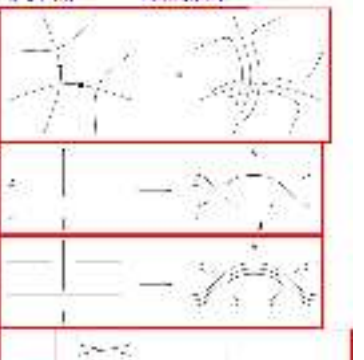
Order	2	3	4	5	6	Grand total
1	1	3	7	15	35	61
2	1	7	21	63	175	267
3	1	15	63	210	630	920
4	1	35	150	525	1575	2286
5	1	70	315	1260	4200	6856
6	1	140	630	2520	8400	13901

### Over then Under tangles

Trends in Low-Dimensional Topology, online, May 5 2020, noon.

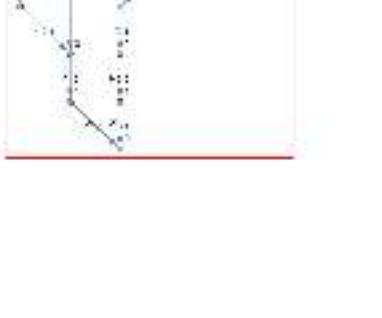
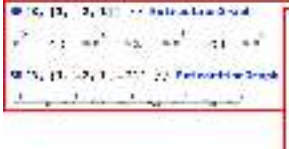
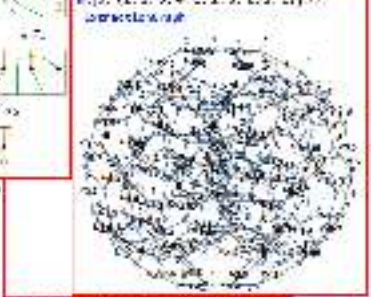
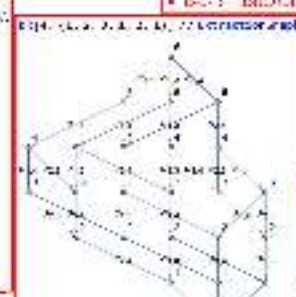
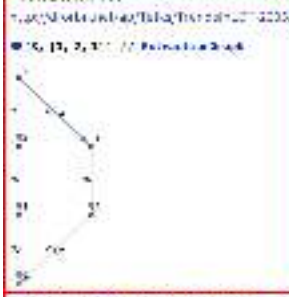
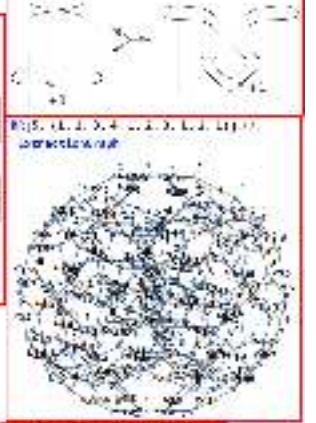
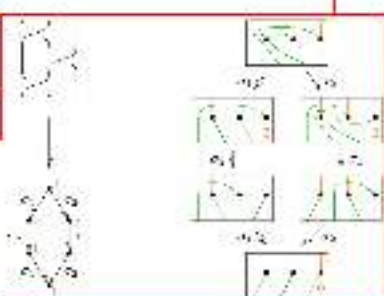
Abstract: ...

... and a URL: <http://www.math.toronto.edu/~drorbn/Talks/TrendsInLDT-2005/>



Not what I do, but the original I was to be the last few weeks. ...

- Entanglement of particles of local algebras
- Atoms in a quantum state of the hydrogen
- Feynman diagrams
- ... and a URL: <http://www.math.toronto.edu/~drorbn/Talks/TrendsInLDT-2005/>







# Chord Diagrams, Knots, and Lie Algebras

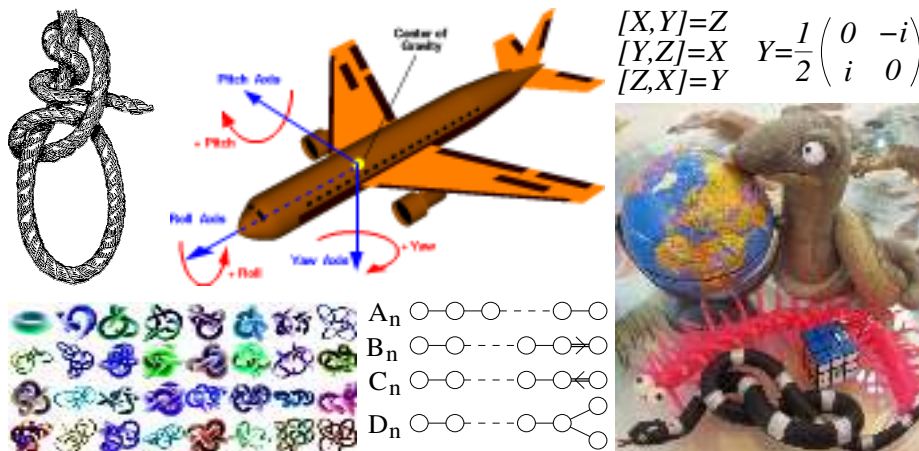


**Abstract.** This will be a service talk on ancient material — I will briefly describe how the exact same type of chord diagrams (and relations between them) occur in a natural way in both knot theory and in the theory of Lie algebras.

*While preparing for this talk I realized that I've done it before, much better, within a book review. So here's that review! It has been modified from its original version: it had been formatted to fit this page, parts were highlighted, and commentary had been added in green italics.*

[Book] *Introduction to Vassiliev Knot Invariants*, by S. Chmutov, S. Duzhin, and J. Mostovoy, Cambridge University Press, Cambridge UK, 2012, xvi+504 pp., hardback, \$70.00, ISBN 978-1-10702-083-2.

Merely 30 36 years ago, if you had asked even the best informed mathematician about the relationship between knots and Lie algebras, she would have laughed, for there isn't and there can't be. Knots are flexible; Lie algebras are rigid. Knots are irregular; Lie algebras are symmetric. The list of knots is a lengthy mess; the collection of Lie algebras is well-organized. Knots are useful for sailors, scouts, and hangmen; Lie algebras for navigators, engineers, and high energy physicists. Knots are blue collar; Lie algebras are white. They are as similar as worms and crystals: both well-studied, but hardly ever together.



A knot and a Lie algebra, a list of knots and a list of Lie algebras, and an unusual conference of the symmetric and the knotted.

Then in the 1980s came Jones, and Witten, and Reshetikhin and Turaev [Jo, Wi, RT] and showed that if you really are the best informed, and you know your quantum field theory and conformal field theory and quantum groups, then you know that the two disjoint fields are in fact intricately related. This “quantum” approach remains the most powerful way to get computable knot invariants out of (certain) Lie algebras (and representations thereof). Yet shortly later, in the late 80s and early 90s, an alternative perspective arose, that of “finite-type” or “Vassiliev-Goussarov” invariants [Va1, Va2, Go1, Go2, BL, Ko1, Ko2, BN1], which made the surprising relationship between knots and Lie algebras appear simple and almost inevitable.

many threads that begin with that perspective. Let me start with a brief summary of the mathematics, and even before, an even briefer summary.

*In briefest, a certain space  $\mathcal{A}$  of chord diagrams is the dual to the dual of the space of knots, and at the same time, it is dual to Lie algebras.*

The reviewed [Book] is about that alternative perspective, the one reasonable sounding but not entirely trivial theorem that is crucially needed within it (the “Fundamental Theorem” or the “Kontsevich integral”), and the

The briefer summary is that in some combinatorial sense it is possible to “differentiate” knot invariants, and hence it makes sense to talk about “polynomials” on the space of knots — these are functions on the set of knots (namely, these are knot invariants) whose sufficiently high derivatives vanish. Such polynomials can be fairly conjectured to separate knots — elsewhere in math in lucky cases polynomials separate points, and in our case, specific computations are encouraging. Also, such polynomials are determined by their “coefficients”, and each of these, by the one-side-easy “Fundamental Theorem”, is a linear functional on some finite space of

2010 *Mathematics Subject Classification*. Primary 57M25.

Published *Bull. Amer. Math. Soc.* **50** (2013) 685–690.  $\text{\TeX}$  at <http://drorbn.net/AcademicPensieve/2013-01/CDMReview/>, copleft at <http://www.math.toronto.edu/~drorbn/Copyleft/>. This review was written while I was a guest at the Newton Institute, in Cambridge, UK. I wish to thank N. Bar-Natan, I. Halacheva, and P. Lee for comments and suggestions.



graphs modulo relations. These same graphs turn out to parameterize formulas that make sense in a wide class of Lie algebras, and the said relations match exactly with the relations in the definition of a Lie algebra — anti-symmetry and the Jacobi identity. Hence what is more or less dual to knots (invariants), is also, after passing to the coefficients, dual to certain graphs which are more or less dual to Lie algebras. QED, and on to the less brief summary<sup>1</sup>.

Let  $V$  be an arbitrary invariant of oriented knots in oriented space with values in (say)  $\mathbb{Q}$ . Extend  $V$  to be an invariant of 1-singular knots, knots that have a single singularity that locally looks like a double point  $\nearrow \searrow$ , using the formula

$$(1) \quad V(\nearrow \searrow) = V(\nearrow \nearrow) - V(\searrow \searrow).$$

Further extend  $V$  to the set  $\mathcal{K}^m$  of  $m$ -singular knots (knots with  $m$  such double points) by repeatedly using (1).

**Definition 1.** We say that  $V$  is of type  $m$  (or “Vassiliev of type  $m$ ”) if its extension  $V|_{\mathcal{K}^{m+1}}$  to  $(m + 1)$ -singular knots vanishes identically. We say that  $V$  is of finite type (or “Vassiliev”) if it is of type  $m$  for some  $m$ .

Repeated differences are similar to repeated derivatives and hence it is fair to think of the definition of  $V|_{\mathcal{K}^m}$  as repeated differentiation. With this in mind, the above definition imitates the definition of polynomials of degree  $m$ . Hence finite type invariants can be thought of as “polynomials” on the space of knots<sup>2</sup>. It is known (see e.g. [Book]) that the class of finite type invariants is large and powerful. Yet the first question on finite type invariants remains unanswered:

**Problem 2.** *Honest polynomials are dense in the space of functions. Are finite type invariants dense within the space of all knot invariants? Do they separate knots?*

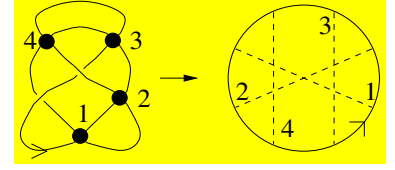
The top derivatives of a multi-variable polynomial form a system of constants that determine that polynomial up to polynomials of lower degree. Likewise the  $m$ th derivative<sup>3</sup>  $V^{(m)} = V|_{\mathcal{K}^m} = V(\nearrow \searrow \cdot^m \nearrow \searrow)$  of a type  $m$  invariant  $V$  is a constant in the sense that it does not see the difference between overcrossings and undercrossings and so it is blind to 3D topology. Indeed

$$V(\nearrow \searrow \cdot^m \nearrow \nearrow) - V(\nearrow \searrow \cdot^m \searrow \searrow) = V(\nearrow \searrow \cdot^{m+1} \nearrow \searrow) = 0.$$

Also, clearly  $V^{(m)}$  determines  $V$  up to invariants of lower type. Hence a primary tool in the study of finite

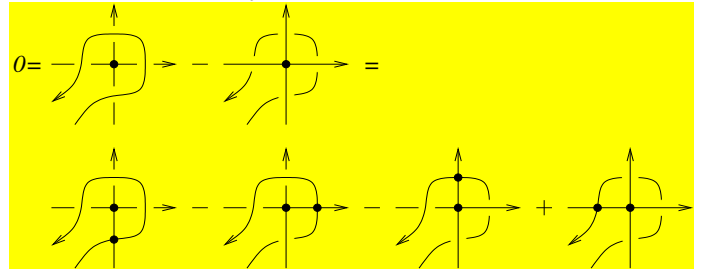
type invariants is the study of the “top derivative”  $V^{(m)}$ , also known as “the weight system of  $V$ ”.

Blind to 3D topology,  $V^{(m)}$  only sees the combinatorics of the circle that parameterizes an  $m$ -singular knot.



On this circle there are  $m$  pairs of points that are pairwise identified in the image; standardly one indicates those by drawing a circle with  $m$  chords marked (an “ $m$ -chord diagram”) as above. Let  $\mathcal{D}_m$  denote the space of all formal linear combinations with rational coefficients of  $m$ -chord diagrams. Thus  $V^{(m)}$  is a linear functional on  $\mathcal{D}_m$ .

I leave it for the reader to figure out or read in [Book, pp. 88] how the following figure easily implies the “4T” relations of the “easy side” of the theorem that follows:



**Theorem 3.** (The Fundamental Theorem, details in [Book]).

- (Easy side) If  $V$  is a rational valued type  $m$  invariant then  $V^{(m)}$  satisfies the “4T” relations shown above, and hence it descends to a linear functional on  $\mathcal{A}_m := \mathcal{D}_m/4T$ . If in addition  $V^{(m)} \equiv 0$ , then  $V$  is of type  $m - 1$ .
- (Hard side, slightly misstated by avoiding “framings”) For any linear functional  $W$  on  $\mathcal{A}_m$  there is a rational valued type  $m$  invariant  $V$  so that  $V^{(m)} = W$ .

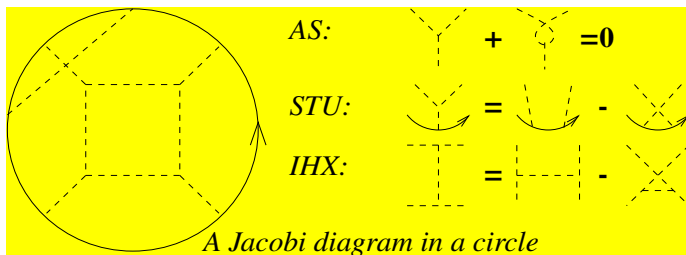
Thus to a large extent the study of finite type invariants is reduced to the finite (though super-exponential in  $m$ ) algebraic study of  $\mathcal{A}_m$ .

Much of the richness of finite type invariants stems from their relationship with Lie algebras. Theorem 4 below suggests this relationship on an abstract level and Theorem 5 makes that relationship concrete.

<sup>1</sup>Partially self-plagiarized from [BN2].

<sup>2</sup>Keep this apart from invariants of knots whose values are polynomials, such as the Alexander or the Jones polynomial. A posteriori related, these are a priori entirely different.

<sup>3</sup>As common in the knot theory literature, in the formulas that follow a picture such as  $\nearrow \searrow \cdot^m \nearrow \searrow$  indicates “some knot having  $m$  double points and a further (right-handed) crossing”. Furthermore, when two such pictures appear within the same formula, it is to be understood that the parts of the knots (or diagrams) involved *outside* of the displayed pictures are to be taken as the same.



A Jacobi diagram in a circle

**Theorem 4.** [BN1] *The space  $\mathcal{A}_m$  is isomorphic to the space  $\mathcal{A}_m^t$  generated by “Jacobi diagrams in a circle” (chord diagrams that are also allowed to have oriented internal trivalent vertices) that have exactly  $2m$  vertices, modulo the AS, STU and IHX relations. See the figure above.*

The key to the proof of Theorem 4 is

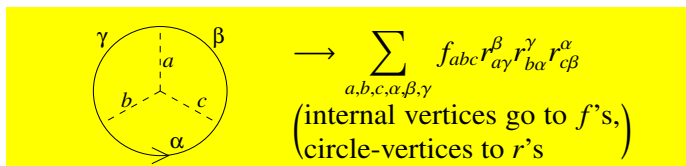


the figure above, which shows that the  $4T$  relation is a consequence of two  $STU$  relations. The rest is more or less an exercise in induction.

Thinking of internal trivalent vertices as graphical analogs of the Lie bracket, the  $AS$  relation becomes the anti-commutativity of the bracket,  $STU$  becomes the equation  $[x, y] = xy - yx$  and  $IHX$  becomes the Jacobi identity. This analogy is made concrete within the following construction, originally due to Penrose [Pe] and to Cvitanović [Cv]. **Given a finite dimensional metrized Lie algebra  $\mathfrak{g}$  (e.g., any semi-simple Lie algebra) and a finite-dimensional representation  $\rho : \mathfrak{g} \rightarrow \text{End}(V)$  of  $\mathfrak{g}$ , choose an orthonormal basis<sup>4</sup>  $\{X_a\}_{a=1}^{\dim \mathfrak{g}}$  of  $\mathfrak{g}$  and some basis  $\{v_\alpha\}_{\alpha=1}^{\dim V}$  of  $V$ , let  $f_{abc}$  and  $r_{ab}^\gamma$  be the “structure constants” defined by**

$$f_{abc} := \langle [X_a, X_b], X_c \rangle \quad \text{and} \quad \rho(X_a)(v_\beta) = \sum_\gamma r_{a\beta}^\gamma v_\gamma.$$

Now given a Jacobi diagram  $D$  label its circle-arcs with Greek letters  $\alpha, \beta, \dots$ , and its chords with Latin letters  $a, b, \dots$ , and map it to a sum as suggested by the following example:



**Theorem 5.** *This construction is well defined, and the basic properties of Lie algebras imply that it respects the AS, STU, and IHX relations. Therefore it defines a linear functional  $W_{\mathfrak{g},\rho} : \mathcal{A}_m \rightarrow \mathbb{Q}$ , for any  $m$ .*

The last assertion along with Theorem 3 show that associated with any  $\mathfrak{g}$ ,  $\rho$  and  $m$  there is a weight system and

<sup>4</sup>This requirement can easily be relaxed.

hence a knot invariant. Thus knots are indeed linked with Lie algebras.

The above is of course merely a sketch of the beginning of a long story. You can read the details, and some of the rest, in [Book].



**What I like about [Book].** Detailed, well thought out, and carefully written. Lots of pictures! Many excellent exercises! A complete discussion of “the algebra of chord diagrams”. A nice discussion of the pairing of diagrams with Lie algebras, including examples aplenty. The discussion of the Kontsevich integral (meaning, the proof of the hard side of Theorem 3) is terrific — detailed and complete and full of pictures and examples, adding a great deal to the original sources. The subject of “associators” is huge and worthy of its own book(s); yet in as much as they are related to Vassiliev invariants, the discussion in [Book] is excellent. A great many further topics are touched — multiple  $\zeta$ -values, the relationship of the Hopf link with the Duflo isomorphism, intersection graphs and other combinatorial aspects of chord diagrams, Rozansky’s rationality conjecture, the Melvin-Morton conjecture, braids,  $n$ -equivalence, etc.

For all these, I’d certainly recommend [Book] to any newcomer to the subject of knot theory, starting with my own students.

However, some proofs other than that of Theorem 3 are repeated as they appear in original articles with only a superficial touch-up, or are omitted altogether, thus missing an opportunity to clarify some mysterious points. This includes Vogel’s construction of a non-Lie-algebra weight system and the Goussarov-Polyak-Viro proof of the existence of “Gauss diagram formulas”.

**What I wish there was in the book, but there isn’t.** The relationship with Chern-Simons theory, Feynman diagrams, and configuration space integrals, culminating in an alternative (and more “3D”) proof of the Fundamental Theorem. This is a major omission.

**Why I hope there will be a continuation book, one day.** There’s much more to the story! There are finite type invariants of 3-manifolds, and of certain classes of 2-dimensional knots in  $\mathbb{R}^4$ , and of “virtual knots”, and they each have their lovely yet non-obvious theories, and these theories link with each other and with other branches of Lie theory, algebra, topology, and quantum field theory. Volume 2 is sorely needed.

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University of Toronto, Canada

December 6, 2019 (first edition February 7, 2013)

My talk yesterday:

More Dror: [ωεβ/talks](http://www.math.toronto.edu/~drorbn/Talks/Toronto-1912/)

Dror Bar-Natan: Talks: Toronto-1912 [ωεβ:=http://drorbn.net/to19/](http://drorbn.net/to19/)

**Geography vs. Identity**  
Thanks for inviting me to the *Topology* session!

**Abstract.** Which is better, an emphasis on where things happen or on who are the participants? I can't tell; there are advantages and disadvantages either way. Yet much of quantum topology seems to be heavily and unfairly biased in favour of geography.

**Geographers** care for placement; for them, braids and tangles have ends at some distinguished points, hence they form categories whose objects are the placements of these points. For them, the basic operation is a binary “stacking of tangles”. They are lead to monoidal categories, braided monoidal categories, representation theory, and much or most of we call “quantum topology”.

**Identifiers** believe that strand identity persists even if one crosses or is being crossed. The key operation is a unary stitching operation  $m_c^{ab}$ , and one is lead to study meta-monoids, meta-Hopf-algebras, etc. See [ωεβ/leg](http://www.math.toronto.edu/~drorbn/Talks/Toronto-1912/), [ωεβ/kbh](http://www.math.toronto.edu/~drorbn/Talks/Toronto-1912/).

**Braids.**

Geography:  $GB := \langle \gamma_i \mid \gamma_i \gamma_k = \gamma_k \gamma_i \text{ when } |i-k| > 1 \rangle = B$ .  
(captures quantum algebra!)

Identity:  $IB := \langle \sigma_{ij} \mid \sigma_{ij} \sigma_{kl} = \sigma_{kl} \sigma_{ij} \text{ when } \{|i, j, k, l\} = 4\} \rangle = PB$ .

**Theorem.** Let  $S = \langle \tau \rangle$  be the symmetric group. Then  $\mathfrak{B}$  is both  $PB \rtimes S \cong B * S \langle \tau \mid \tau^2 = 1, \tau(i+1) = (j+1) \rangle$  (and so  $PB$  is “bigger” than  $B$ , and hence quantum algebra doesn't see topology very well).

**Proof.** Going left,  $\gamma_i \mapsto \sigma_{i,i+1}(i+1)$ . Going right, if  $i < j$  map  $\sigma_{ij} \mapsto (j-1 \ j-2 \ \dots \ i) \gamma_{j-1}(i+1 \ \dots \ j)$  and if  $i > j$  use  $\sigma_{ij} \mapsto (j \ j+1 \ \dots \ i) \gamma_j(i-1 \ \dots \ j+1)$ .

$\mathfrak{B}$  views of  $\sigma_{ij}$ :

**The Burau Representation** of  $PB_n$  acts on  $\mathbb{R}^n := \mathbb{Z}[t^{\pm 1}]^n = R(v_1, \dots, v_n)$  by  $\sigma_{ij} v_k = v_k + \delta_{kj}(t-1)(v_j - v_i)$ .

$\delta := \delta_{i,j} := \mathbf{1f}[i=j, 1, 0]$  [ωεβ/code](http://www.math.toronto.edu/~drorbn/Talks/Toronto-1912/)  
 $B_{i,j}[\mathcal{L}] := \mathcal{L} / \langle v_i \mapsto v_i + \delta_{ij}(t-1)(v_j - v_i) \rangle // \text{Expand}$   
 $(\text{bas3} := \{v_1, v_2, v_3\} // B_{1,2})$   
 $\{v_{1,2} v_1 - t v_1 + t v_2, v_3\}$   
 $\text{bas3} // B_{1,2} // B_{1,3} // B_{2,3}$   
 $\{v_{1,2} v_1 - t v_1 + t v_2, v_1 - t v_1 + t v_2 - t^2 v_2 + t^2 v_3\}$   
 $\text{bas3} // B_{2,3} // B_{1,3} // B_{1,2}$   
 $\{v_{1,2} v_1 - t v_1 + t v_2, v_1 - t v_1 + t v_2 - t^2 v_2 + t^2 v_3\}$

$S_n$  acts on  $\mathbb{R}^n$  by permuting the  $v_i$ , so the Burau representation extends to  $\mathfrak{B}_n$  and restricts to  $B_n$ . With this,  $\gamma_i$  maps  $v_i \mapsto v_{i+1}, v_{i+1} \mapsto t v_i(1-t) v_{i+1}$ , and otherwise  $v_k \mapsto v_k$ .

**Geography view:**  
 $\gamma_1 = \times \mid \mid \mid \quad \gamma_2 = \mid \times \mid \mid \quad \gamma_3 = \mid \mid \times \dots$   
so  $x$  is  $\gamma_2$ .

**Identity view:**  
At  $x$  strand 1 crosses strand 3, so  $x$  is  $\sigma_{13}$ .

**The Gold Standard** is set by the “T-calculus” Alexander formulas ([ωεβ/mac](http://www.math.toronto.edu/~drorbn/Talks/Toronto-1912/)). An  $S$ -component tangle  $T$  has  $\Gamma(T) \in R_S \times M_{S \times S}(R_S) = \begin{pmatrix} \omega & S \\ S & A \end{pmatrix}$  with  $R_S := \mathbb{Z}\langle T_a : a \in S \rangle$ :

$(a' \times, b' \times, a) \mapsto \frac{1}{a} \begin{vmatrix} a & b \\ b & 0 \end{vmatrix} \frac{1 - T_a^{-1}}{T_a^{-1}} \quad T_1 \sqcup T_2 \mapsto \begin{vmatrix} \omega_1 \omega_2 & S_1 & S_2 \\ S_1 & A_1 & 0 \\ S_2 & 0 & A_2 \end{vmatrix}$

$\omega \begin{vmatrix} a & b & S \\ \alpha & \beta & \theta \\ b & \gamma & \delta \\ S & \phi & \psi \end{vmatrix} \xrightarrow{m_c^{ab}} \begin{vmatrix} (1-\beta)\omega & c \\ c & \gamma + \frac{\omega\delta}{1-\beta} \\ S & \phi + \frac{\omega\delta}{1-\beta} \\ S & \psi \end{vmatrix}$

**The Gassner Representation** of  $PB_n$  acts on  $V = \mathbb{R}^n := \mathbb{Z}[t^{\pm 1}]^n = R(v_1, \dots, v_n)$  by  $\sigma_{ij} v_k = v_k + \delta_{kj}(t-1)(v_j - v_i)$ .

$B_{i,j}[\mathcal{L}] := \mathcal{L} / \langle v_i \mapsto v_i + \delta_{ij}(t-1)(v_j - v_i) \rangle // \text{Expand}$   
 $(\text{bas3} // G_{1,2} // G_{1,3} // G_{2,3}) = (\text{bas3} // G_{1,2} // G_{1,3} // G_{2,3})$

True  
 $S_n$  acts on  $\mathbb{R}^n$  by permuting the  $v_i$  and the  $t_i$ , so the Gassner representation extends to  $\mathfrak{B}_n$  and then restricts to  $B_n$  as a  $\mathbb{Z}$ -linear  $\infty$ -dimensional representation. It then descends to  $PB_n$  as a finite-rank  $\mathbb{R}$ -linear representation, with lengthy non-local formulas.

**Geographers:** Gassner is an obscure partial extension of Burau.  
**Identifiers:** Burau is a trivial silly reduction of Gassner.

**The Turbo-Gassner Representation.** With the same  $R$  and  $V$ ,  $TG$  acts on  $V \oplus (R^n \otimes V) \oplus (S^2 V \otimes V) = R\langle v_i, u_i, u_i u_j w_i \rangle$  by  $TG_{i,j}[\mathcal{L}] := \mathcal{L} / \langle$

$v_i \mapsto v_i + \delta_{ij}((t-1)(v_j - v_i) + v_{i,j} - v_{i,i}) + \delta_{ij}(u_j - u_i) u_i w_i,$   
 $v_{i,i} \mapsto v_{i,i} + (t-1) \times$   
 $(\delta_{i,j}(v_{i,j} - v_{i,i}) + (\delta_{i,i} - \delta_{i,j} t^2) t_i)$   
 $(u_i + \delta_{ij}(t-1)(u_j - u_i)) u_i w_i,$   
 $u_i \mapsto u_i + \delta_{ij}(t-1)(u_j - u_i),$   
 $w_i \mapsto w_i + (\delta_{i,j} - \delta_{i,i})(t^2 - 1) w_i // \text{Expand}$   
 $\text{bas3} := \{v_1, v_2, v_3, u_1^2 w_1, u_2^2 w_2, u_3^2 w_3, v_{1,2}, v_{2,1}, v_{2,2}, v_{2,3}, v_{3,1}, v_{3,2}, v_{3,3}, u_1^2 w_2, u_2^2 w_3, u_1 u_2 w_2, u_1 u_2 w_3, u_2 u_3 w_3, u_1^2 w_1, u_2^2 w_2, u_3^2 w_3\};$   
 $(\text{bas3} // TG_{1,2} // TG_{1,3} // TG_{2,3}) = (\text{bas3} // TG_{1,2} // TG_{1,3} // TG_{2,3})$

True  
Like Gassner,  $TG$  is also a representation of  $PB_n$ .

**I have no idea where it belongs!**

My talk tomorrow, at the *chord diagrams everywhere* session: [More Dror: ωεβ/talks](http://www.math.toronto.edu/~drorbn/Talks/Toronto-1912/)

Picture credits: Rope from “The Project Gutenberg eBook, Knots, Splices and Rope Work, by A. Hyatt Verrill”, <http://www.gutenberg.org/files/13510/13510-h/13510-h.htm>. Plane from NASA, <http://www.grc.nasa.gov/WWW/k-12/airplane/rotations.html>.



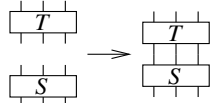


# Geography vs. Identity

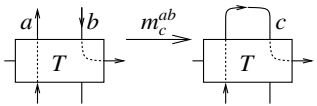
Thanks for inviting me to the *Topology* session!

**Abstract.** Which is better, an emphasis on where things happen or on who are the participants? I can't tell; there are advantages and disadvantages either way. Yet much of quantum topology seems to be heavily and unfairly biased in favour of geography.

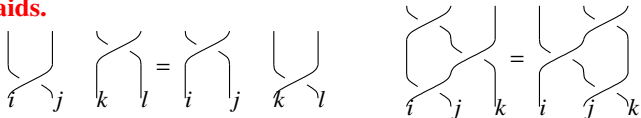
**Geographers** care for placement; for them, braids and tangles have ends at some distinguished points, hence they form categories whose objects are the placements of these points. For them, the basic operation is a binary "stacking of tangles". They are lead to monoidal categories, braided monoidal categories, representation theory, and much or most of we call "quantum topology".



**Identifiers** believe that strand identity persists even if one crosses or is being crossed. The key operation is a unary stitching operation  $m_c^{ab}$ , and one is lead to study meta-monoids, meta-Hopf-algebras, etc. See ωεβ/reg, ωεβ/kbh.



## Braids.



Geography:

$$GB := \langle \gamma_i \rangle \left( \begin{array}{l} \gamma_i \gamma_k = \gamma_k \gamma_i \text{ when } |i - k| > 1 \\ \gamma_i \gamma_{i+1} \gamma_i = \gamma_{i+1} \gamma_i \gamma_{i+1} \end{array} \right) = B.$$

Identity:

(captures quantum algebra!)

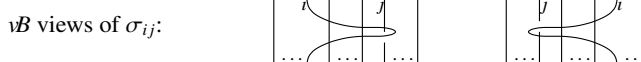
$$IB := \langle \sigma_{ij} \rangle \left( \begin{array}{l} \sigma_{ij} \sigma_{kl} = \sigma_{kl} \sigma_{ij} \text{ when } \{|i, j, k, l|\} = 4 \\ \sigma_{ij} \sigma_{ik} \sigma_{jk} = \sigma_{jk} \sigma_{ik} \sigma_{ij} \text{ when } \{|i, j, k|\} = 3 \end{array} \right) = PB.$$

**Theorem.** Let  $S = \{\tau\}$  be the symmetric group. Then  $vB$  is both

$$PB \rtimes S \cong B * S \left( \begin{array}{l} \gamma_i \tau = \tau \gamma_j \text{ when } \tau i = j, \tau(i+1) = (j+1) \end{array} \right)$$

(and so  $PB$  is "bigger" than  $B$ , and hence quantum algebra doesn't see topology very well).

**Proof.** Going left,  $\gamma_i \mapsto \sigma_{i,i+1}(i \ i+1)$ . Going right, if  $i < j$  map  $\sigma_{ij} \mapsto (j-1 \ j-2 \ \dots \ i) \gamma_{j-1}(i \ i+1 \ \dots \ j)$  and if  $i > j$  use  $\sigma_{ij} \mapsto (j \ j+1 \ \dots \ i) \gamma_j(i \ i-1 \ \dots \ j+1)$ .



## The Burau Representation of PB\_n acts on R^n :=

$\mathbb{Z}[t^{\pm 1}]^n = R\langle v_1, \dots, v_n \rangle$  by

$$\sigma_{ij} v_k = v_k + \delta_{kj}(t-1)(v_j - v_i).$$

$\delta /: \delta_{i,j} := \text{If}[i = j, 1, 0];$

ωεβ/code



Werner Burau

$B_{i,j}[\underline{s}] := \mathcal{E} / . v_{k} \mapsto v_k + \delta_{k,j} (t-1) (v_j - v_i) // \text{Expand}$

(bas3 = {v1, v2, v3}) // B1,2

{v1, v1 - t v1 + t v2, v3}

bas3 // B1,2 // B1,3 // B2,3

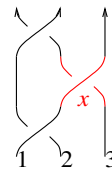
{v1, v1 - t v1 + t v2, v1 - t v1 + t v2 - t^2 v2 + t^2 v3}

bas3 // B2,3 // B1,3 // B1,2

{v1, v1 - t v1 + t v2, v1 - t v1 + t v2 - t^2 v2 + t^2 v3}

$S_n$  acts on  $R^n$  by permuting the  $v_i$  so the Burau representation extends to  $vB_n$  and restricts to  $B_n$ .

With this,  $\gamma_i$  maps  $v_i \mapsto v_{i+1}, v_{i+1} \mapsto t v_i + (1-t) v_{i+1}$ , and otherwise  $v_k \mapsto v_k$ .



## Geography view:

$$\gamma_1 = \text{crossing}, \gamma_2 = \text{crossing}, \gamma_3 = \text{crossing} \dots$$

so  $x$  is  $\gamma_2$ .

## Identity view:

At  $x$  strand 1 crosses strand 3, so  $x$  is  $\sigma_{13}$ .



**The Gold Standard** is set by the "T-calculus" Alexander formulas (ωεβ/mac). An  $S$ -component tangle  $T$  has

$$\Gamma(T) \in R_S \times M_{S \times S}(R_S) = \left\{ \frac{\omega}{S} \middle| \frac{S}{A} \right\} \text{ with } R_S := \mathbb{Z}\langle T_a : a \in S \rangle:$$
$$(a^* \curvearrowright b, b^* \curvearrowleft a) \rightarrow \frac{1}{a} \begin{array}{c|c} a & b \\ \hline 1 & 1 - T_a^{\pm 1} \\ b & 0 \end{array} \quad T_1 \sqcup T_2 \rightarrow \frac{\omega_1 \omega_2}{S_1 \ S_2} \begin{array}{c|c} S_1 & S_2 \\ \hline A_1 & 0 \\ 0 & A_2 \end{array}$$
$$\frac{\omega}{S} \begin{array}{c|c} a & b \\ \hline \alpha & \beta \\ \gamma & \delta \\ \phi & \psi \end{array} \xrightarrow{m_c^{ab}} \frac{T_a, T_b \rightarrow T_c}{\left( \begin{array}{c|c} (1-\beta)\omega & c \\ \hline c & \gamma + \frac{\alpha\delta}{1-\beta} \\ S & \phi + \frac{\alpha\psi}{1-\beta} \end{array} \right)} \begin{array}{c|c} S & S \\ \hline \epsilon + \frac{\delta\theta}{1-\beta} & \\ \Xi + \frac{\psi\theta}{1-\beta} & \end{array}$$

## The Gassner Representation of PB\_n acts on V =

$R^n := \mathbb{Z}[t^{\pm 1}, \dots, t_n^{\pm 1}]^n = R\langle v_1, \dots, v_n \rangle$  by

$$\sigma_{ij} v_k = v_k + \delta_{kj}(t_i - 1)(v_j - v_i).$$



Betty Jane Gassner deserves to be more famous

$G_{i,j}[\underline{s}] := \mathcal{E} / . v_{k} \mapsto v_k + \delta_{k,j} (t_i - 1) (v_j - v_i) // \text{Expand}$

(bas3 // G1,2 // G1,3 // G2,3) = (bas3 // G2,3 // G1,3 // G1,2)

True

$S_n$  acts on  $R^n$  by permuting the  $v_i$  and the  $t_i$ , so the Gassner representation extends to  $vB_n$  and then restricts to  $B_n$  as a  $\mathbb{Z}$ -linear  $\infty$ -dimensional representation. It then descends to  $PB_n$  as a finite-rank  $R$ -linear representation, with lengthy non-local formulas.

**Geographers:** Gassner is an obscure partial extension of Burau.

**Identifiers:** Burau is a trivial silly reduction of Gassner.

## The Turbo-Gassner Representation. With the same

$R$  and  $V$ ,  $TG$  acts on  $V \oplus (R^n \otimes V) \oplus (S^2 V \otimes V^*) =$

$R\langle v_k, w_k, u_i u_j w_k \rangle$  by

$$TG_{i,j}[\underline{s}] := \mathcal{E} / . \left\{ \begin{array}{l} v_{k} \mapsto v_k + \delta_{k,j} ((t_i - 1) (v_j - v_i) + v_{i,j} - v_{i,i}) + \delta_{k,i} (u_j - u_i) u_i w_j, \\ v_{l,k} \mapsto v_{l,k} + (t_i - 1) \times (\delta_{k,j} (v_{l,j} - v_{l,i}) + (\delta_{l,i} - \delta_{l,j} t_i^{-1} t_j) (u_k + \delta_{k,j} (t_i - 1) (u_j - u_i)) u_i w_j), \\ u_{k} \mapsto u_k + \delta_{k,j} (t_i - 1) (u_j - u_i), \\ w_{k} \mapsto w_k + (\delta_{k,j} - \delta_{k,i}) (t_i^{-1} - 1) w_j // \text{Expand} \end{array} \right.$$



With Roland van der Veen

$$\text{bas3} = \{v_1, v_2, v_3, v_{1,1}, v_{1,2}, v_{1,3}, v_{2,1}, v_{2,2}, v_{2,3}, v_{3,1}, v_{3,2}, v_{3,3}, u_1^2 w_1, u_1^2 w_2, u_1^2 w_3, u_1 u_2 w_1, u_1 u_2 w_2, u_1 u_2 w_3, u_1 u_3 w_1, u_1 u_3 w_2, u_1 u_3 w_3, u_2^2 w_1, u_2^2 w_2, u_2^2 w_3, u_2 u_3 w_1, u_2 u_3 w_2, u_2 u_3 w_3, u_3^2 w_1, u_3^2 w_2, u_3^2 w_3\};$$

(bas3 // TG1,2 // TG1,3 // TG2,3) = (bas3 // TG2,3 // TG1,3 // TG1,2)

True

Like Gassner,  $TG$  is also a representation of  $PB_n$ .

**I have no idea where it belongs!**

Gassner motifs  
Adjoint-Gassner

My talk tomorrow, at the *chord diagrams everywhere* session:

More Dror: ωεβ/talks





**Abstract.** I will explain how the computation of compositions of maps of a certain natural class, from one polynomial ring into another, naturally leads to a certain composition operation of quadratics and to Feynman diagrams. I will also explain, with very little detail, how this is used in the construction of some very well-behaved poly-time computable knot polynomials.

**The PBW Principle** Lots of algebras are isomorphic as vector spaces to polynomial algebras. So we want to understand arbitrary linear maps between polynomial algebras.

**Gentle Agreement.** Everything converges!

**Convention.** For a finite set  $A$ , let  $z_A := \{z_i\}_{i \in A}$  and let  $\zeta_A := \{\zeta_i^* = \zeta_i\}_{i \in A}$ .  $(y, b, a, x)^* = (\eta, \beta, \alpha, \xi)$

**The Generating Series  $\mathcal{G}$ :**  $\text{Hom}(\mathbb{Q}[z_A] \rightarrow \mathbb{Q}[z_B]) \rightarrow \mathbb{Q}[\{\zeta_A, z_B\}]$ .

**Claim.**  $L \in \text{Hom}(\mathbb{Q}[z_A] \rightarrow \mathbb{Q}[z_B]) \xrightarrow{\mathcal{G}} \mathbb{Q}[\{z_B\}][\{\zeta_A\}] \ni \mathcal{L}$  via

$$\mathcal{G}(L) := \sum_{n \in \mathbb{N}^A} \frac{\zeta_A^n}{n!} L(z_A^n) = L(\oplus_{a \in A} \zeta_a z_a) = \mathcal{L} = \text{greek } \mathcal{L}_{\text{latin}}$$

$$\mathcal{G}^{-1}(\mathcal{L})(p) = (p|_{z_a \rightarrow \partial_{z_a} \mathcal{L}})_{\zeta_a=0} \text{ for } p \in \mathbb{Q}[z_A].$$

**Claim.** If  $L \in \text{Hom}(\mathbb{Q}[z_A] \rightarrow \mathbb{Q}[z_B])$ ,  $M \in \text{Hom}(\mathbb{Q}[z_B] \rightarrow \mathbb{Q}[z_C])$ , then  $\mathcal{G}(L \circ M) = (\mathcal{G}(L)|_{z_b \rightarrow \partial_{z_b} \mathcal{G}(M)})_{\zeta_b=0}$ .

**Basic Examples. 1.**  $\mathcal{G}(id: \mathbb{Q}[y, a, x] \rightarrow \mathbb{Q}[y, a, x]) = e^{\eta y + \alpha a + \xi x}$ .

2. The standard commutative product  $m_k^{ij}$  of polynomials is given by  $z_i, z_j \rightarrow z_k$ . Hence  $\mathcal{G}(m_k^{ij}) = m_k^{ij}(\oplus \zeta_i z_i + \zeta_j z_j) = \oplus (\zeta_i + \zeta_j) z_k$ .

$$\begin{array}{ccc} \mathbb{Q}[z_i] \otimes \mathbb{Q}[z_j] & \xrightarrow{m_k^{ij}} & \mathbb{Q}[z_k] \\ \parallel & & \parallel \\ \mathbb{Q}[z_i, z_j] & \xrightarrow{m_k^{ij}} & \mathbb{Q}[z_k] \end{array}$$

3. The standard co-commutative co-product  $\Delta_{jk}^i$  of polynomials is given by  $z_i \rightarrow z_j + z_k$ . Hence  $\mathcal{G}(\Delta_{jk}^i) = \Delta_{jk}^i(\oplus \zeta_i z_i) = \oplus \zeta_i (z_j + z_k)$ .

$$\begin{array}{ccc} \mathbb{Q}[z_i] & \xrightarrow{\Delta_{jk}^i} & \mathbb{Q}[z_j] \otimes \mathbb{Q}[z_k] \\ \parallel & & \parallel \\ \mathbb{Q}[z_i] & \xrightarrow{\Delta_{jk}^i} & \mathbb{Q}[z_j, z_k] \end{array}$$

**Heisenberg Algebras.** Let  $\mathbb{H} = \langle x, y \rangle / [x, y] = \hbar$  (with  $\hbar$  a scalar), let  $\mathbb{O}_i: \mathbb{Q}[x_i, y_i] \rightarrow \mathbb{H}_i$  is the “ $x$  before  $y$ ” PBW ordering map and let  $hm_k^{ij}$  be the composition

$$\mathbb{Q}[x_i, y_i, x_j, y_j] \xrightarrow{\mathbb{O}_i \otimes \mathbb{O}_j} \mathbb{H}_i \otimes \mathbb{H}_j \xrightarrow{m_k^{ij}} \mathbb{H}_k \xrightarrow{\mathbb{O}_k^{-1}} \mathbb{Q}[x_k, y_k].$$

Then  $\mathcal{G}(hm_k^{ij}) = e^{\Lambda \hbar}$ , where  $\Lambda \hbar = -\hbar \eta_i \xi_j + (\xi_i + \xi_j) x_k + (\eta_i + \eta_j) y_k$ .

**Proof 1.** Recall the “Weyl form of the CCR”  $e^{\eta y} e^{\xi x} = e^{-\hbar \eta \xi} e^{\xi x} e^{\eta y}$ , and compute

$$\begin{aligned} \mathcal{G}(hm_k^{ij}) &= e^{\xi_i x_i + \eta_i y_i + \xi_j x_j + \eta_j y_j} // \mathbb{O}_i \otimes \mathbb{O}_j // m_k^{ij} // \mathbb{O}_k^{-1} \\ &= e^{\xi_i x_i} e^{\eta_i y_i} e^{\xi_j x_j} e^{\eta_j y_j} // m_k^{ij} // \mathbb{O}_k^{-1} = e^{\xi_i x_k} e^{\eta_i y_k} e^{\xi_j x_k} e^{\eta_j y_k} // \mathbb{O}_k^{-1} \\ &= e^{-\hbar \eta_i \xi_j} e^{(\xi_i + \xi_j) x_k} e^{(\eta_i + \eta_j) y_k} // \mathbb{O}_k^{-1} = e^{\Lambda \hbar}. \end{aligned}$$

**Proof 2.** We compute in a faithful 3D representation  $\rho$  of  $\mathbb{H}$ :

$$\{\hat{x} = \begin{pmatrix} \mathbf{0} & \mathbf{1} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{0} \end{pmatrix}, \hat{y} = \begin{pmatrix} \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{h} \\ \mathbf{0} & \mathbf{0} & \mathbf{0} \end{pmatrix}, \hat{c} = \begin{pmatrix} \mathbf{0} & \mathbf{0} & \mathbf{1} \\ \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{0} \end{pmatrix}\}; \quad (\omega \epsilon \beta / \text{hm})$$

$$\{\hat{x} \cdot \hat{y} - \hat{y} \cdot \hat{x} = \mathbf{h} \hat{c}, \hat{x} \cdot \hat{c} = \hat{c} \cdot \hat{x}, \hat{y} \cdot \hat{c} = \hat{c} \cdot \hat{y}\}$$

{True, True, True}

$$\Lambda = -\mathbf{h} \eta_i \xi_j \mathbf{c}_k + (\xi_i + \xi_j) \mathbf{x}_k + (\eta_i + \eta_j) \mathbf{y}_k;$$

**Simplify@With** [ { $\mathbb{E}$  = MatrixExp },

$$\begin{aligned} &\mathbb{E}[\hat{x} \xi_i] \cdot \mathbb{E}[\hat{y} \eta_i] \cdot \mathbb{E}[\hat{x} \xi_j] \cdot \mathbb{E}[\hat{y} \eta_j] = \\ &\mathbb{E}[\hat{x} \partial_{x_k} \Lambda] \cdot \mathbb{E}[\hat{y} \partial_{y_k} \Lambda] \cdot \mathbb{E}[\hat{c} \partial_{c_k} \Lambda] \end{aligned}$$

True

**A Real DoPeGDO Example** (DoPeGDO:=Docile Perturbed Gaussian Differential Operators). Let  $sl_{2+}^\epsilon := L\langle y, b, a, x \rangle$  subject to  $[a, x] = x$ ,  $[b, y] = -\epsilon y$ ,  $[a, b] = 0$ ,  $[a, y] = -y$ ,  $[b, x] = \epsilon x$ , and  $[x, y] = \epsilon a + b$ . So  $t := \epsilon a - b$  is central and if  $\exists \epsilon^{-1}$ ,  $sl_{2+}^\epsilon \cong sl_2 \oplus \langle t \rangle$ . Let  $CU := \mathcal{U}(sl_{2+}^\epsilon)$ , and let  $cm_k^{ij}$  be the composition below, where  $\mathbb{O}_i: \mathbb{Q}[y_i, b_i, a_i, x_i] \rightarrow CU_i$  be the PBW ordering map in the order  $y$   $b$   $a$   $x$ :

$$\begin{array}{ccc} CU_i \otimes CU_j & \xrightarrow{m_k^{ij}} & CU_k \\ \uparrow \mathbb{O}_{i,j} & & \uparrow \mathbb{O}_k \\ \mathbb{Q}[y_i, b_i, a_i, x_i, y_j, b_j, a_j, x_j] & \xrightarrow{cm_k^{ij}} & \mathbb{Q}[y_k, b_k, a_k, x_k] \end{array}$$

**Claim.** Let (all braun and no brains)

$$\begin{aligned} \Lambda &= \left( \eta_i + \frac{e^{-\alpha_i - \epsilon \beta_i} \eta_j}{1 + \epsilon \eta_j \xi_i} \right) y_k + \left( \beta_i + \beta_j + \frac{\log(1 + \epsilon \eta_j \xi_i)}{\epsilon} \right) b_k + \\ &\quad \left( \alpha_i + \alpha_j + \log(1 + \epsilon \eta_j \xi_i) \right) a_k + \left( \frac{e^{-\alpha_j - \epsilon \beta_j} \xi_i}{1 + \epsilon \eta_j \xi_i} + \xi_j \right) x_k \end{aligned}$$

Then  $e^{\eta_i y_i + \beta_i b_i + \alpha_i a_i + \xi_i x_i + \eta_j y_j + \beta_j b_j + \alpha_j a_j + \xi_j x_j} // \mathbb{O}_{i,j} // cm_k^{ij} = e^{\Lambda} // \mathbb{O}_k$ , and hence  $\mathcal{G}(cm_k^{ij}) = e^{\Lambda}$ .

**Proof.** We compute in a faithful 2D representation  $\rho$  of  $CU$ :

$$\{\hat{y} = \begin{pmatrix} \mathbf{0} & \mathbf{0} \\ \epsilon & \mathbf{0} \end{pmatrix}, \hat{b} = \begin{pmatrix} \mathbf{0} & \mathbf{0} \\ \mathbf{0} & -\epsilon \end{pmatrix}, \hat{a} = \begin{pmatrix} \mathbf{1} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} \end{pmatrix}, \hat{x} = \begin{pmatrix} \mathbf{0} & \mathbf{1} \\ \mathbf{0} & \mathbf{0} \end{pmatrix}\}; \quad (\omega \epsilon \beta / sl_2)$$

$$\{\hat{a} \cdot \hat{x} - \hat{x} \cdot \hat{a} = \hat{x}, \hat{a} \cdot \hat{y} - \hat{y} \cdot \hat{a} = -\hat{y}, \hat{b} \cdot \hat{y} - \hat{y} \cdot \hat{b} = -\epsilon \hat{y}, \hat{b} \cdot \hat{x} - \hat{x} \cdot \hat{b} = \epsilon \hat{x}, \hat{x} \cdot \hat{y} - \hat{y} \cdot \hat{x} = \hat{b} + \epsilon \hat{a}\}$$

{True, True, True, True, True}

**Simplify@With** [ { $\mathbb{E}$  = MatrixExp },

$$\begin{aligned} &\mathbb{E}[\eta_i \hat{y}] \cdot \mathbb{E}[\beta_i \hat{b}] \cdot \mathbb{E}[\alpha_i \hat{a}] \cdot \mathbb{E}[\xi_i \hat{x}] \cdot \mathbb{E}[\eta_j \hat{y}] \cdot \mathbb{E}[\beta_j \hat{b}] \cdot \\ &\mathbb{E}[\alpha_j \hat{a}] \cdot \mathbb{E}[\xi_j \hat{x}] = \mathbb{E}[\hat{y} \partial_{y_k} \Lambda] \cdot \mathbb{E}[\hat{b} \partial_{b_k} \Lambda] \cdot \mathbb{E}[\hat{a} \partial_{a_k} \Lambda] \cdot \\ &\mathbb{E}[\hat{x} \partial_{x_k} \Lambda] \end{aligned}$$

True

**Series** [  $\Lambda$ , {  $\epsilon$ ,  $\mathbf{0}$ ,  $\mathbf{2}$  } ]

$$\begin{aligned} &(a_k (\alpha_i + \alpha_j) + y_k (\eta_i + e^{-\alpha_i} \eta_j) + \\ &b_k (\beta_i + \beta_j + \eta_j \xi_i) + x_k (e^{-\alpha_j} \xi_i + \xi_j)) + \\ &\left( a_k \eta_j \xi_i - \frac{1}{2} b_k \eta_j^2 \xi_i^2 - e^{-\alpha_i} y_k \eta_j (\beta_i + \eta_j \xi_i) - \right. \\ &\quad \left. e^{-\alpha_j} x_k \xi_i (\beta_j + \eta_j \xi_i) \right) \epsilon + \\ &\left( -\frac{1}{2} a_k \eta_j^2 \xi_i^2 + \frac{1}{3} b_k \eta_j^3 \xi_i^3 + \frac{1}{2} e^{-\alpha_i} y_k \eta_j (\beta_i^2 + 2 \beta_i \eta_j \xi_i + 2 \eta_j^2 \xi_i^2) + \right. \\ &\quad \left. \frac{1}{2} e^{-\alpha_j} x_k \xi_i (\beta_j^2 + 2 \beta_j \eta_j \xi_i + 2 \eta_j^2 \xi_i^2) \right) \epsilon^2 + \mathcal{O}[\epsilon]^3 \end{aligned}$$

**Note 1.** If the lower half of the alphabet  $(a, b, \alpha, \beta)$  is regarded as constants, then  $\Lambda = C + Q + \sum_{k \geq 1} \epsilon^k P^{(k)}$  is a docile perturbed Gaussian relative to the upper half of the alphabet  $(x, y, \xi, \eta)$ :  $C$  is a scalar,  $Q$  is a quadratic, and  $\deg P^{(k)} \leq 2k + 2$ .

**Note 2.**  $\text{wt}(x, y, \xi, \eta; a, b, \alpha, \beta; \epsilon) = (1, 1, 1, 1; 2, 0, 0, 2; -2)$ .

**Quadratic Casimirs.** If  $t \in \mathfrak{g} \otimes \mathfrak{g}$  is the quadratic Casimir of a semi-simple Lie algebra  $\mathfrak{g}$ , then  $e^t$ , regarded by PBW as an element of  $S^{\otimes 2} = \text{Hom}(S(\mathfrak{g})^{\otimes 0} \rightarrow S(\mathfrak{g})^{\otimes 2})$ , has a latin-latin dominant Gaussian factor. Likewise for  $R$ -matrices.

(Baby) **DoPeGDO** := The category with objects finite sets  $\dagger 1$  and  $\text{mor}(A \rightarrow B) = \{\mathcal{L} = \omega \exp(Q + P)\} \subset \mathbb{Q}[\{\zeta_A, z_B, \epsilon\}]$ ,

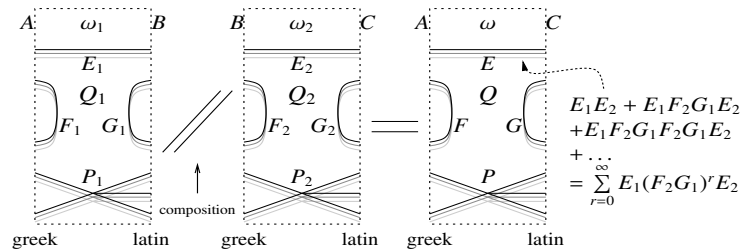
where:  $\bullet$   $\omega$  is a scalar.  $\dagger 2$   $\bullet$   $Q$  is a “small”  $\epsilon$ -free quadratic in  $\zeta_A \cup z_B$ .  $\dagger 3$   $\bullet$   $P$  is a “docile perturbation”:  $P = \sum_{k \geq 1} \epsilon^k P^{(k)}$ , where  $\deg P^{(k)} \leq 2k + 2$ .  $\dagger 4$   $\bullet$  Compositions:  $\dagger 6$   $\mathcal{L} // \mathcal{M} := (\mathcal{L}|_{z_i \rightarrow \partial_{z_i} \mathcal{M}})_{\zeta_i=0}$ .

**So What?** If  $V$  is a representation, then  $V^{\otimes n}$  explodes as a function of  $n$ , while in **DoPeGDO** up to a fixed power of  $\epsilon$ , the ranks of  $\text{mor}(A \rightarrow B)$  grow polynomially as a function of  $|A|$  and  $|B|$ .

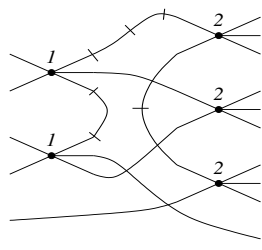
**Compositions.** In  $\text{mor}(A \rightarrow B)$ ,

$$Q = \sum_{i \in A, j \in B} E_{ij} \zeta_i \zeta_j + \frac{1}{2} \sum_{i, j \in A} F_{ij} \zeta_i \zeta_j + \frac{1}{2} \sum_{i, j \in B} G_{ij} \zeta_i \zeta_j,$$

and so (remember,  $e^x = 1 + x + xx/2 + xxx/6 + \dots$ )



where  $\bullet E = E_1(I - F_2G_1)^{-1}E_2$ .  
 $\bullet F = F_1 + E_1F_2(I - G_1F_2)^{-1}E_1^T$ .  
 $\bullet G = G_2 + E_2^TG_1(I - F_2G_1)^{-1}E_2$ .  
 $\bullet \omega = \omega_1\omega_2 \det(I - F_2G_1)^{-1}$ .  
 $\bullet P$  is computed as the solution of a messy PDE or using “connected Feynman diagrams” (yet we’re still in pure algebra!). Docility is preserved.



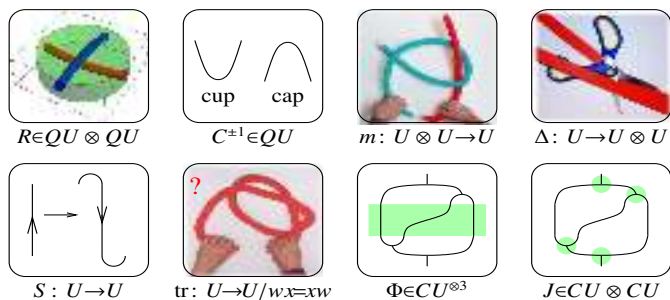
**Questions.** • Are there QFT precedents for “two-step Gaussian integration”?

• In QFT, one saves even more by considering “one-particle-irreducible” diagrams and “effective actions”. Does this mean anything here?

• Understanding  $\text{Hom}(\mathbb{Q}[z_A] \rightarrow \mathbb{Q}[z_B])$  seems like a good cause. Can you find other applications for the technology here?

$$\left( \begin{aligned} QU &= \mathcal{U}_h(sl_{2+}^\epsilon) = A(y, b, a, x)[\hbar] \text{ with } [a, x] = x, [b, y] = -\epsilon y, [a, b] = 0, \\ [a, y] &= -y, [b, x] = \epsilon x, \text{ and } xy - qyx = (1 - AB)/\hbar, \text{ where } q = e^{\hbar\epsilon}, A = e^{-\hbar\epsilon a}, \\ \text{and } B &= e^{-\hbar\epsilon b}. \text{ Also } \Delta(y, b, a, x) = (y_1 + B_1y_2, b_1 + b_2, a_1 + a_2, x_1 + A_1x_2), \\ S(y, b, a, x) &= (-B^{-1}y, -b, -a, -A^{-1}x), \text{ and } R = \sum \hbar^{j+k} y^j b^k \otimes a^j x^k / j! k! q^j. \end{aligned} \right)$$

**Theorem.** Everything of value regarding  $U = CU$  and/or its quantization  $U = QU$  is **DoPeGDO**:



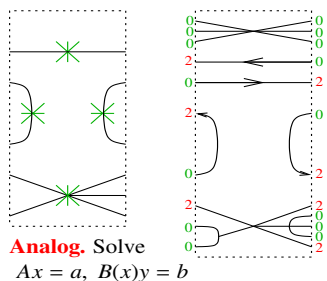
also Cartan’s  $\theta$ , the Dequantizer, and more, and all of their compositions.

**DoPeGDO Footnotes.** Each variable has a “weight”  $\in \{0, 1, 2\}$ , and always  $\text{wt } z_i + \text{wt } \zeta_i = 2$ .

- †1. Really, “weight-graded finite sets”  $A = A_0 \sqcup A_1 \sqcup A_2$ .
- †2. Really, a power series in the weight-0 variables<sup>†5</sup>.
- †3. The weight of  $Q$  must be 2, so it decomposes as  $Q = Q_{20} + Q_{11}$ . The coefficients of  $Q_{20}$  are rational numbers while the coefficients of  $Q_{11}$  may be weight-0 power series<sup>†5</sup>.
- †4. Setting  $\text{wt } \epsilon = -2$ , the weight of  $P$  is  $\leq 2$  (so the powers of the weight-0 variables are not constrained)<sup>†5</sup>.
- †5. In the knot-theoretic case, all weight-0 power series are rational functions of bounded degree in the exponentials of the weight-0 variables.
- †6. There’s also an obvious product  $\text{mor}(A_1 \rightarrow B_1) \times \text{mor}(A_2 \rightarrow B_2) \rightarrow \text{mor}(A_1 \sqcup A_2 \rightarrow B_1 \sqcup B_2)$ .

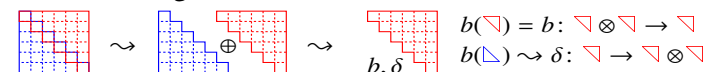
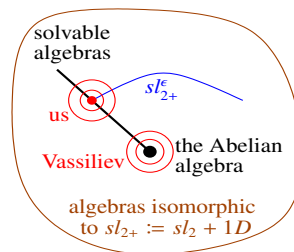
**Full DoPeGDO.** Compute compositions in two phases:

- A 1-1 phase over the ring of power series in the weight-0 variables, in which the weight-2 variables are spectators.
- A (slightly modified) 2-0 phase over  $\mathbb{Q}$ , in which the weight-1 variables are spectators.



**Solvable Approximation.** In  $sl_n$ , half is enough! Indeed  $sl_n \oplus a_{n-1} = \mathcal{D}(\nabla, b, \delta)$ . Now define  $sl_{n+}^\epsilon := \mathcal{D}(\nabla, b, \epsilon\delta)$ . Schematically, this is  $[\nabla, \nabla] = \nabla$ ,  $[\Delta, \Delta] = \epsilon\Delta$ , and  $[\nabla, \Delta] = \Delta + \epsilon\nabla$ . The same process works for all semi-simple Lie algebras, and at  $\epsilon^{k+1} = 0$  always yields a solvable Lie algebra.

**4D Metrized Lie Algebras**



**Conclusion.** There are lots of poly-time-computable well-behaved near-Alexander knot invariants: • They extend to tangles with appropriate multiplicative behaviour. • They have cabling and strand reversal formulas.  $\omega\epsilon\beta/\text{akt}$

The invariant for  $sl_{2+}^\epsilon / (\epsilon^2 = 0)$  (prior art:  $\omega\epsilon\beta/\text{Ov}$ ) attains 2,883 distinct values on the 2,978 prime knots with  $\leq 12$  crossings. HOMFLY-PT and Khovanov homology together attain only 2,786 distinct values.

knot diag	$n_k^t$ $(\rho_1^t)^+$	Alexander's $\omega^+$	genus / ribbon unknotting # / amphi?	knot diag	$n_k^t$ $(\rho_1^t)^+$	Alexander's $\omega^+$	genus / ribbon unknotting # / amphi?	knot diag	$n_k^t$ $(\rho_1^t)^+$	Alexander's $\omega^+$	genus / ribbon unknotting # / amphi?
	$0_1^t$ 0	1	0 / ✓ 0 / ✓		$3_1^t$ $T$	$T-1$	1 / ✗ 1 / ✗		$4_1^t$ 0	$3-T$	1 / ✗ 1 / ✓
	$5_1^t$ $2T^3 + 3T$	$T^2 - T + 1$	2 / ✗ 2 / ✗		$5_2^t$ $5T - 4$	$2T - 3$	1 / ✗ 1 / ✗		$6_1^t$ $T - 4$	$5 - 2T$	1 / ✓ 1 / ✗
	$57T^7 - 207T^6 + 557T^5 - 1207T^4 + 2177T^3 - 3387T^2 + 4507T - 510$	$-T^2 + 3T - 3$	2 / ✗ 1 / ✗		$6_3^t$ 0	$T^2 - 3T + 5$	2 / ✗ 1 / ✓		$7_1^t$ $3T^5 + 5T^3 + 6T$	$T^3 - T^2 + T - 1$	3 / ✗ 3 / ✗
	$37T^8 - 217T^7 + 497T^6 + 157T^5 - 433T^4 + 1543T^3 - 3431T^2 + 5482T - 6410$				$47T^8 - 337T^7 + 1217T^6 - 2037T^5 - 1117T^4 + 14997T^3 - 42107T^2 + 71867T - 8510$				$77T^{11} - 287T^{10} + 777T^9 - 1687T^8 + 3227T^7 - 5607T^6 + 8917T^5 - 13107T^4 + 17777T^3 - 22387T^2 + 26047T - 2772$		





# Algebraic Knot Theory

## Strand Doubling and Reversal.

$$\begin{matrix} \omega & a & S \\ S & \alpha & \theta \\ a & \phi & \Xi \end{matrix} \xrightarrow[\mu=\tau_a^{-1}, \tau_a=\tau_a^{-1}]{q\Delta_{bc}^a} \begin{pmatrix} \omega & b & c & S \\ b & (\sigma_a - \alpha T_a - \nu T_c)/\mu & (T_b - 1)T_c\nu/\mu & (T_b - 1)T_c\theta/\mu \\ c & (T_c - 1)\nu/\mu & (\alpha - \sigma_a T_a - \nu T_c)/\mu & (T_c - 1)\theta/\mu \\ S & \phi & \phi & \Xi \end{pmatrix}$$

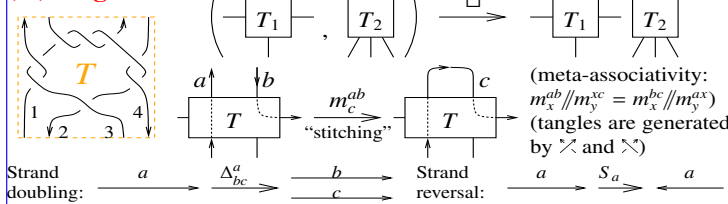
$$aS^a \downarrow T_a \rightarrow T_a^{-1}$$

$$\begin{pmatrix} \alpha\omega/\sigma_a & a & S \\ a & 1/\alpha & \theta/\alpha \\ S & -\phi/\alpha & (\alpha\Xi - \phi\theta)/\alpha \end{pmatrix}$$

Where  $\sigma$  assigns to every  $a \in S$  a Laurent monomial  $\sigma_a$  in  $\{t_b\}_{b \in S}$  subject to  $\sigma(a \nearrow_b, b \nearrow_a) = (a \rightarrow 1, b \rightarrow t_a^{\pm 1})$ ,  $\sigma(T_1 \sqcup T_2) = \sigma(T_1) \sqcup \sigma(T_2)$ , and  $\sigma//m_c^a = (\sigma \setminus \{a, b\}) \cup (c \rightarrow \sigma_a \sigma_b)_{t_a, t_b \rightarrow t_c}$ .

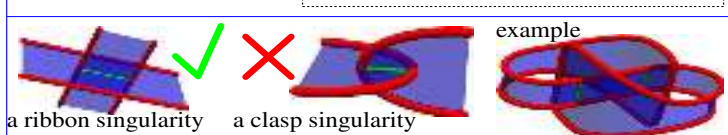
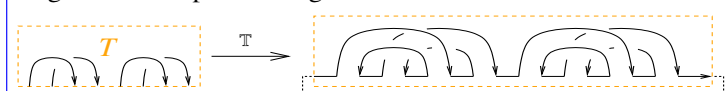
**Abstract.** This will be a very “light” talk: I will explain why about 13 years ago, in order to have a say on some problems in knot theory, I’ve set out to find tangle invariants with some nice compositional properties. In other talks in Sydney (ωεβ/talks) I have explained / will explain how such invariants were found - though they are yet to be explored and utilized.

### (v-)Tangles.



**Genus.** Every knot is the boundary of an orientable “Seifert Surface” (ωεβ/SS), and the least of their genera is the “genus” of the knot.

**Claim.** The knots of genus  $\leq 2$  are precisely the images of 4-component tangles via

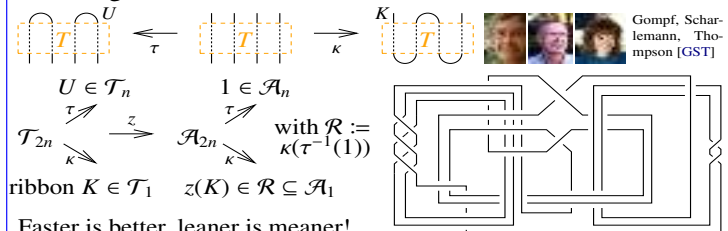


**A Bit about Ribbon Knots.** A “ribbon knot” is a knot that can be presented as the boundary of a disk that has “ribbon singularities”, but no “clasp singularities”. A “slice knot” is a knot in  $S^3 = \partial B^4$  which is the boundary of a non-singular disk in  $B^4$ . Every ribbon knot is clearly slice, yet,

**Conjecture.** Some slice knots are not ribbon.

**Fox-Milnor.** The Alexander polynomial of a ribbon knot is always of the form  $A(t) = f(t)f(1/t)$ . (also for slice)

**Theorem.**  $K$  is ribbon iff it is  $\kappa T$  for a tangle  $T$  for which  $\tau T$  is the untangle  $U$ .



**The Gold Standard** is set by the “Γ-calculus” Alexander formulas [BNS, BN]. An  $S$ -component tangle  $T$  has  $\Gamma(T) \in R_S \times M_{S \times S}(R_S) = \left\{ \begin{matrix} \omega & S \\ S & A \end{matrix} \right\}$  with  $R_S := \mathbb{Z}\langle T_a : a \in S \rangle$ :

$$(a \nearrow_b, b \nearrow_a) \rightarrow \begin{matrix} 1 & a & b \\ a & 1 & -T_a^{-1} \\ b & 0 & T_a^{-1} \end{matrix} \quad T_1 \sqcup T_2 \rightarrow \begin{matrix} \omega_1 \omega_2 & S_1 & S_2 \\ S_1 & A_1 & 0 \\ S_2 & 0 & A_2 \end{matrix}$$

$$\begin{matrix} \omega & a & b & S \\ a & \alpha & \beta & \theta \\ b & \gamma & \delta & \epsilon \\ S & \phi & \psi & \Xi \end{matrix} \xrightarrow[\tau_a, \tau_b \rightarrow \tau_c]{m_c^{ab}} \begin{pmatrix} (1-\beta)\omega & c & S \\ c & \gamma + \frac{\alpha\delta}{1-\beta} & \epsilon + \frac{\delta\theta}{1-\beta} \\ S & \phi + \frac{\alpha\psi}{1-\beta} & \Xi + \frac{\psi\theta}{1-\beta} \end{pmatrix}$$

For long knots,  $\omega$  is Alexander, and that’s the fastest Alexander algorithm I know! Dunfield: 1000-crossing fast.

**Vo’s Thesis [Vo].** A proof of the Fox-Milnor theorem for ribbon knots using this technology (and more).

**Implementation** key idea: ωεβ/AlexDemo

$$(\omega, A = (\alpha_{ab})) \leftrightarrow (\omega, \lambda = \sum \alpha_{ab} t_a h_b)$$

**Meta-Associativity**

$$\Gamma = \Gamma[\alpha_1, \dots, \alpha_n, t_1, \dots, t_n]$$

**Runs.**

R3 ... divide and conquer!

**Fact.**  $\Gamma$  is better viewed as an invariant of a certain class of 2D knotted objects in  $\mathbb{R}^4$  [BND, BN].

**Fact.**  $\Gamma$  is the “0-loop” part of an invariant that generalizes to “n-loops” (1D tangles only, see further talks and future publications with van der Veen).

**Speculation.** Stepping stones to categorification?

Ask me about geography vs. identity!

### References.

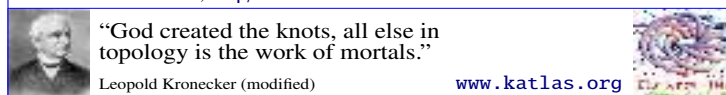
[BN] D. Bar-Natan, *Balloons and Hoops and their Universal Finite Type Invariant, BF Theory, and an Ultimate Alexander Invariant*, ωεβ/KBH, arXiv:1308.1721.

[BND] D. Bar-Natan and Z. Dancso, *Finite Type Invariants of W-Knotted Objects I: w-Knots and the Alexander Polynomial*, Alg. and Geom. Top. **16-2** (2016) 1063–1133, arXiv:1405.1956, ωεβ/WKO1.

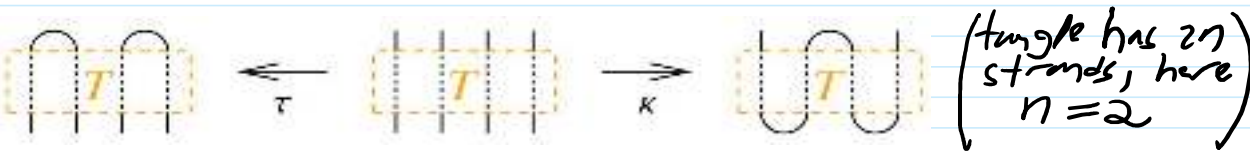
[BNS] D. Bar-Natan and S. Selmani, *Meta-Monoids, Meta-Bicrossed Products, and the Alexander Polynomial*, J. of Knot Theory and its Ramifications **22-10** (2013), arXiv:1302.5689.

[GST] R. E. Gompf, M. Scharlemann, and A. Thompson, *Fibered Knots and Potential Counterexamples to the Property 2R and Slice-Ribbon Conjectures*, Geom. and Top. **14** (2010) 2305–2347, arXiv:1103.1601.

[Vo] H. Vo, *Alexander Invariants of Tangles via Expansions*, University of Toronto Ph.D. thesis, ωεβ/Vo.

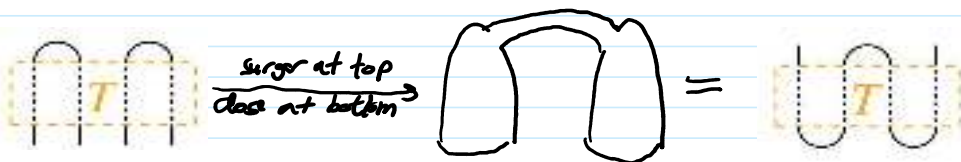


# Proof of the Tangle Characterization of Ribbon Knots

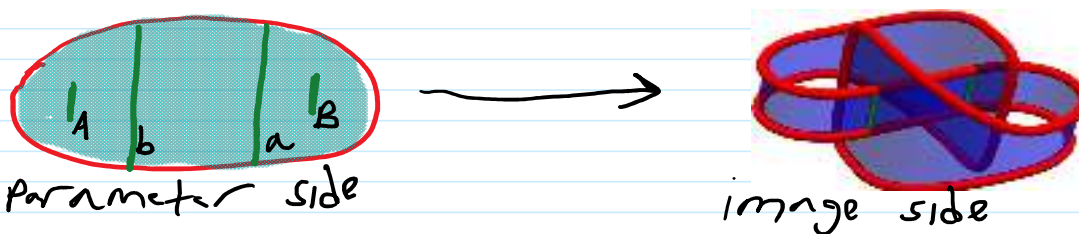


**Theorem.** A knot  $K$  is ribbon iff there exists a tangle  $T$  whose  $\tau$  closure is the untangle and whose  $\kappa$  closure is  $K$ .

**Proof.** The backward  $\Leftarrow$  implication is easy:

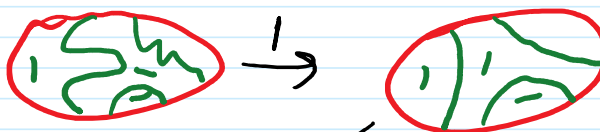


For the forward implication, follow the following 5 steps:



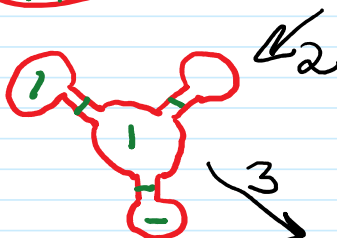
Step 1: In-situ cosmetics.

At end:  $D$  is a tree of chord-and-arc polygons.



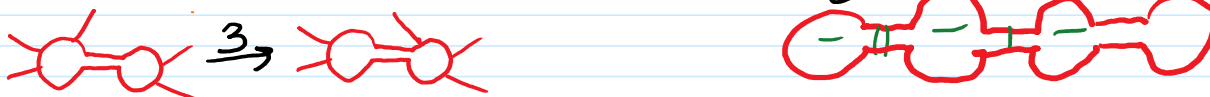
Step 2: Near-situ cosmetics.

At end:  $D$  is tree-band-sum of  $n$  unknotted disks.



Step 3: Slides.

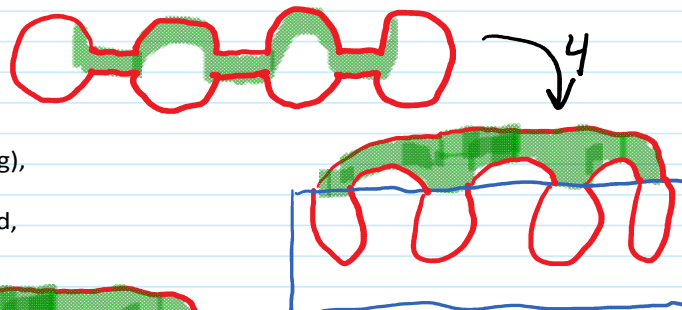
At end:  $D$  is a linear-band-sum of  $n$  unknotted disks.



Step 4: Exposure!

The green domain is contractible - so it can be shrank, moved at will (with the blue membrane following along), and expanded back again.

At end:  $D$  has  $(n-1)$  exposed bridges which when turned, make  $D$  a union of  $n$  unknotted disks.



Step 5: Pulling bottom handles avoiding the obstacles.

At end: Theorem is proven.





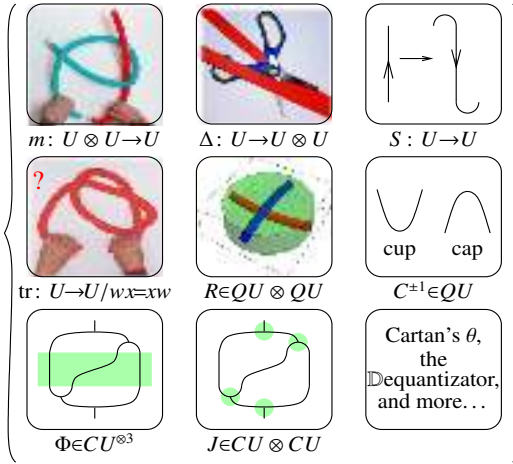


# Everything around $sl_{2+}^\epsilon$ is DoPeGDO. So what?

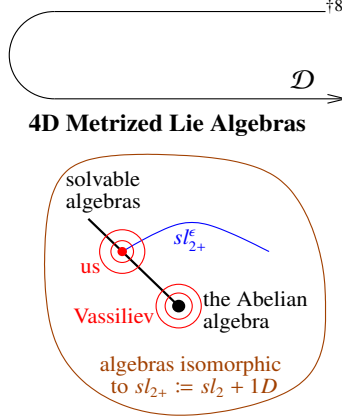
**Abstract.** I'll explain what "everything around" means: classical and quantum  $m, \Delta, S, tr, R, C$ , and  $\theta$ , as well as  $P, \Phi, J, \mathbb{D}$ , and more, and all of their compositions. What **DoPeGDO** means: the category of **Docile Perturbed Gaussian Differential Operators**. And what  $sl_{2+}^\epsilon$  means: a solvable approximation of the simple Lie algebra  $sl_2$ .

Knot theorists should rejoice because all this leads to very powerful and well-behaved poly-time-computable knot invariants. Quantum algebraists should rejoice because it's a realistic playground for testing complicated equations and theories.

**Conventions.** 1. For a set  $A$ , let  $z_A := \{z_i\}_{i \in A}$  and let  $\zeta_A := \{\zeta_i^* = \zeta_i\}_{i \in A}$ .<sup>†1</sup> 2. Everything converges!



## Less Abstract



**DoPeGDO** := The category with objects finite sets<sup>†2</sup> and  $\text{mor}(A \rightarrow B)$ :

$$\{\mathcal{F} = \omega \exp(Q + P)\} \subset \mathbb{Q}[\{\zeta_A, z_B, \epsilon\}]$$

Where: •  $\omega$  is a scalar.<sup>†3</sup> •  $Q$  is a "small"  $\epsilon$ -free quadratic in  $\zeta_A \cup z_B$ .<sup>†4</sup> •  $P$  is a "docile perturbation":  $P = \sum_{k \geq 1} \epsilon^k P^{(k)}$ , where  $\text{deg } P^{(k)} \leq 2k + 2$ .<sup>†5</sup> • Compositions:<sup>†6</sup>

$$\mathcal{F} // \mathcal{G} = \mathcal{G} \circ \mathcal{F} := (\mathcal{G}|_{\zeta_i \rightarrow \partial_{\zeta_i} \mathcal{F}})_{z_i=0} = (\mathcal{F}|_{z_i \rightarrow \partial_{\zeta_i} \mathcal{G}})_{\zeta_i=0}$$

**Cool!**  $(V^*)^{\otimes \Sigma} \otimes V^{\otimes S}$  explodes; the ranks of quadratics and bounded-degree polynomials grow slowly!<sup>†7</sup> **Representation theory is over-rated!**

**Cool!** How often do you see a computational toolbox so successful?

**Our Algebras.** Let  $sl_{2+}^\epsilon := L\langle y, b, a, x \rangle$  subject to  $[a, x] = x$ ,  $[b, y] = -\epsilon y$ ,  $[a, b] = 0$ ,  $[a, y] = -y$ ,  $[b, x] = \epsilon x$ , and  $[x, y] = \epsilon a + b$ . So  $t := \epsilon a - b$  is central and if  $\exists \epsilon^{-1}$ ,  $sl_{2+}^\epsilon / \langle t \rangle \cong sl_2$ .<sup>ωεβ/oa</sup>  $U$  is either  $CU = \mathcal{U}(sl_{2+}^\epsilon)[[\hbar]]$  or  $QU = \mathcal{U}_\hbar(sl_{2+}^\epsilon) = A\langle y, b, a, x \rangle[[\hbar]]$  with  $[a, x] = x$ ,  $[b, y] = -\epsilon y$ ,  $[a, b] = 0$ ,  $[a, y] = -y$ ,  $[b, x] = \epsilon x$ , and  $xy - qyx = (1 - AB)/\hbar$ , where  $q = e^{\hbar \epsilon}$ ,  $A = e^{-\hbar \epsilon a}$ , and  $B = e^{-\hbar b}$ . Set also  $T = A^{-1}B = e^{\hbar t}$ .

**The Quantum Leap.** Also decree that in  $QU$ ,


$$\Delta(y, b, a, x) = (y_1 + B_1 y_2, b_1 + b_2, a_1 + a_2, x_1 + A_1 x_2),$$

$$S(y, b, a, x) = (-B^{-1} y, -b, -a, -A^{-1} x),$$

and  $R = \sum \hbar^{j+k} y^j b^k x^j \otimes a^j x^k / j! [k]_q!$ .

**Mid-Talk Debts.** • What is this good for in quantum algebra?

- In knot theory?
- How does the "inclusion"  $\mathcal{D}: \text{Hom}(U^{\otimes \Sigma} \rightarrow U^{\otimes S}) \rightsquigarrow$  **DoPeGDO** work?
- Proofs that everything around  $sl_{2+}^\epsilon$  really is **DoPeGDO**.
- Relations with prior art.
- The rest of the "compositions" story.

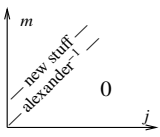
**Theorem** ([BG], conjectured [MM], elucidated [Ro1]). Let  $J_d(K)$  be  Melvin, Morton, Garoufalidis the coloured Jones polynomial of  $K$ , in the  $d$ -dimensional representation of  $sl_2$ . Writing

$$\left. \frac{(q^{1/2} - q^{-1/2}) J_d(K)}{q^{d/2} - q^{-d/2}} \right|_{q=e^{\hbar}} = \sum_{j,m \geq 0} a_{jm}(K) d^j \hbar^m,$$

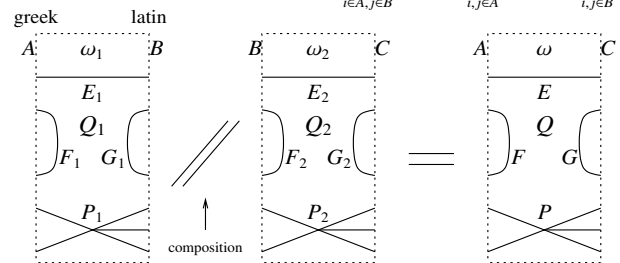
"below diagonal" coefficients vanish,  $a_{jm}(K) = 0$  if  $j > m$ , and "on diagonal" coefficients give the inverse of the Alexander polynomial:  $(\sum_{m=0}^{\infty} a_{mm}(K) \hbar^m) \cdot \omega(K)(e^{\hbar}) = 1$ .

"Above diagonal" we have **Rozansky's Theorem** [Ro3, (1.2)]:

$$J_d(K)(q) = \frac{q^d - q^{-d}}{(q - q^{-1}) \omega(K)(q^d)} \left( 1 + \sum_{k=1}^{\infty} \frac{(q-1)^k \rho_k(K)(q^d)}{\omega^{2k}(K)(q^d)} \right).$$



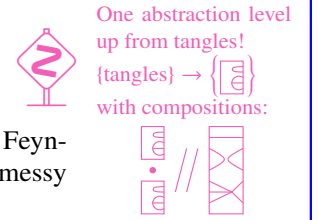
**Compositions (1).** In  $\text{mor}(A \rightarrow B)$ ,  $Q = \sum_{i \in A, j \in B} E_{ij} \zeta_i z_j + \frac{1}{2} \sum_{i, j \in A} F_{ij} \zeta_i \zeta_j + \frac{1}{2} \sum_{i, j \in B} G_{ij} z_i z_j$



Where •  $E = E_1(I - F_2 G_1)^{-1} E_2$ .

- $F = F_1 + E_1 F_2 (I - G_1 F_2)^{-1} E_1^T$ .
- $G = G_2 + E_2^T G_1 (I - F_2 G_1)^{-1} E_2$ .
- $\omega = \omega_1 \omega_2 \det(I - F_2 G_1)^{-1}$ .

•  $P$  is computed using "connected Feynman diagrams" or as the solution of a messy PDE (yet we're still in algebra!).



**DoPeGDO Footnotes.** †1. Each variable has a "weight"  $\in \{0, 1, 2\}$ , and always  $\text{wt } z_i + \text{wt } \zeta_i = 2$ .

†2. Really, "weight-graded finite sets"  $A = A_0 \sqcup A_1 \sqcup A_2$ .

†3. Really, a power series in the weight-0 variables<sup>†9</sup>.

†4. The weight of  $Q$  must be 2, so it decomposes as  $Q = Q_{20} + Q_{11}$ . The coefficients of  $Q_{20}$  are rational numbers while the coefficients of  $Q_{11}$  may be weight-0 power series<sup>†9</sup>.

†5. Setting  $\text{wt } \epsilon = -2$ , the weight of  $P$  is  $\leq 2$  (so the powers of the weight-0 variables are not constrained<sup>†9</sup>).

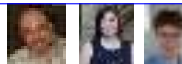
†6. There's also an obvious product

$$\text{mor}(A_1 \rightarrow B_1) \times \text{mor}(A_2 \rightarrow B_2) \rightarrow \text{mor}(A_1 \sqcup A_2 \rightarrow B_1 \sqcup B_2).$$

†7. That is, if the weight-0 variables are ignored. Otherwise more care is needed yet the conclusion remains.

†8.  $\text{Hom}(U^{\otimes \Sigma} \rightarrow U^{\otimes S}) \rightsquigarrow \text{mor}(\{\eta_i, \beta_i, \tau_i, \alpha_i, \xi_i\}_{i \in \Sigma} \rightarrow \{y_i, b_i, t_i, a_i, x_i\}_{i \in S})$ , where  $\text{wt}(\eta_i, \xi_i, y_i, x_i) = 1$  and  $\text{wt}(\beta_i, \tau_i, \alpha_i; b_i, t_i, a_i) = (2, 2, 0; 0, 0, 2)$ .

†9. For tangle invariants the wt-0 power series are always rational functions in the exponentials of the wt-0 variables (for knots: just one variable), with degrees bounded linearly by the crossing number.



# Computation without Representation

$\omega\epsilon\beta := \text{http://drorbn.net/o19/}$

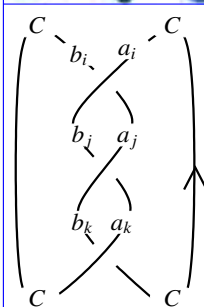
**Abstract.** A major part of “quantum topology” is the definition and computation of various knot invariants by carrying out computations in quantum groups. Traditionally these computations are carried out “in a representation”, but this is very slow: one has to use tensor powers of these representations, and the dimensions of powers grow exponentially fast.

In my talk, I will describe a direct method for carrying out such computations without having to choose a representation and explain why in many ways the results are better and faster. The two key points we use are a technique for composing infinite-order “perturbed Gaussian” differential operators, and the little-known fact that every semi-simple Lie algebra can be approximated by solvable Lie algebras, where computations are easier.

**KiW 43 Abstract** ( $\omega\epsilon\beta$ /kiw). Whether or not you like the formulas on this page, they describe the strongest truly computable knot invariant we know. (experimental analysis @ $\omega\epsilon\beta$ /kiw)

## Knotted Candies

$\omega\epsilon\beta$ /kc

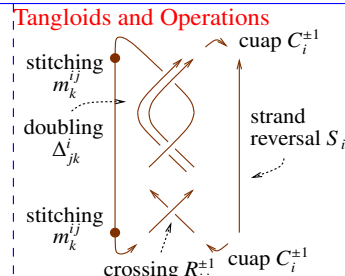


**The Yang-Baxter Technique.** Given an algebra  $U$  (typically  $\hat{U}(\mathfrak{g})$  or  $\hat{U}_q(\mathfrak{g})$ ) and elements

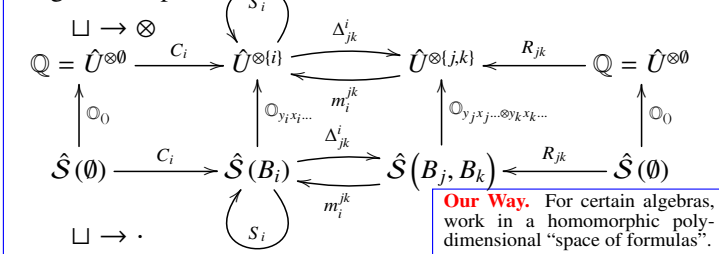
$$R = \sum a_i \otimes b_i \in U \otimes U \quad \text{and} \quad C \in U,$$
$$\text{form} \quad Z = \sum_{i,j,k} C a_i b_j a_k C^2 b_i a_j b_k C.$$

**Problem.** Extract information from  $Z$ .  
**The Dogma.** Use representation theory. In principle finite, but slow.

- Has operations  $\sqcup, m_k^{ij}, \Delta_{jk}^i, S_i$ .
- All tangleoids are generated by  $R^{\pm 1}$  and  $C^{\pm 1}$  (so “easy” to produce invariants).
- Makes some knot properties (“genus”, “ribbon”) become “definable”.

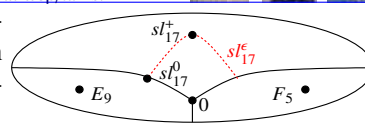


**A “Quantum Group” Portfolio** consists of a vector space  $U$  along with maps (and some axioms...)

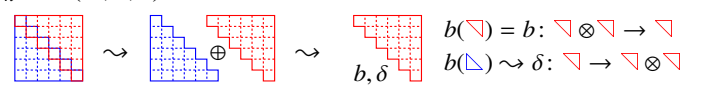


**Our Way.** For certain algebras, work in a homomorphic poly-dimensional “space of formulas”.

**The (fake) moduli** of Lie algebras on  $V$ , a quadratic variety in  $(V^*)^{\otimes 2} \otimes V$  is on the right. We care about  $sl_{17}^k := sl_{17}^\epsilon / (\epsilon^{k+1} = 0)$ .



**Solvable Approximation.** In  $gl_n$ , half is enough! Indeed  $gl_n \oplus \mathfrak{a}_n = \mathcal{D}(\nabla, b, \delta)$ :



Now define  $gl_n^\epsilon := \mathcal{D}(\nabla, b, \epsilon\delta)$ . Schematically, this is  $[\nabla, \nabla] = \nabla$ ,  $[\Delta, \Delta] = \epsilon\Delta$ , and  $[\nabla, \Delta] = \Delta + \epsilon\nabla$ . The same process works for all semi-simple Lie algebras, and at  $\epsilon^{k+1} = 0$  always yields a solvable Lie algebra.

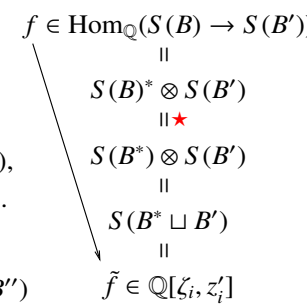
**CU and QU.** Starting from  $sl_2$ , get  $CU_\epsilon = \langle y, a, x, t \rangle / ([t, -] = 0, [a, y] = -y, [a, x] = x, [x, y] = 2\epsilon a - t)$ . Quantize using standard tools (I’m sorry) and get  $QU_\epsilon = \langle y, a, x, t \rangle / ([t, -] = 0, [a, y] = -y, [a, x] = x, xy - e^{\hbar\epsilon}yx = (1 - T e^{-2\hbar\epsilon a})/\hbar)$ .

**PBW Bases.** The  $U$ ’s we care about always have “Poincaré-Birkhoff-Witt” bases; there is some finite set  $B = \{y, x, \dots\}$  of “generators” and isomorphisms  $\mathbb{O}_{y,x,\dots} : \hat{S}(B) \rightarrow U$  defined by “ordering monomials” to some fixed  $y, x, \dots$  order. The quantum group portfolio now becomes a “symmetric algebra” portfolio, or a “power series” portfolio.

## Operations are Objects.

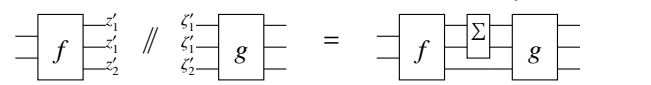
★  $B^* := \{z_i^* = \zeta_i : z_i \in B\}$ ,  $\langle z_i^m, \zeta_i^n \rangle = \delta_{mn} n!$ ,  $\langle \prod z_i^{m_i}, \prod \zeta_i^{n_i} \rangle = \prod \delta_{m_i, n_i} n_i!$

in general, for  $f \in S(z_i)$  and  $g \in S(\zeta_i)$ ,  $\langle f, g \rangle = f(\partial_{z_i})g|_{z_i=0} = g(\partial_{z_i})f|_{z_i=0}$ .



## The Composition Law.

If  $S(B) \xrightarrow[\tilde{f} \in \mathbb{Q}[\zeta_i, z_j]]{f} S(B') \xrightarrow[\tilde{g} \in \mathbb{Q}[\zeta'_i, z'_k]]{g} S(B'')$  then  $(\tilde{f}\tilde{g}) = (\tilde{g} \circ \tilde{f}) = \left( \tilde{g} |_{z'_j \rightarrow \partial_{z'_j}} \tilde{f} \right)_{z'_j=0} = \left( \tilde{f} |_{z'_j \rightarrow \partial_{z'_j}} \tilde{g} \right)_{z'_j=0}$



1. The 1-variable identity map  $I : S(z) \rightarrow S(z)$  is given by  $\tilde{I}_1 = \mathbb{P}^{z\zeta}$  and the  $n$ -variable one by  $\tilde{I}_n = \mathbb{P}^{z_1\zeta_1 + \dots + z_n\zeta_n}$ :

$$\tilde{I}_1 = \square + \square + \frac{1}{2} \square + \frac{1}{6} \square + \dots$$

2. The “archetypal multiplication map  $m_k^{ij} : S(z_i, z_j) \rightarrow S(z_k)$ ” has  $\tilde{m} = \mathbb{P}^{z_k(\zeta_i + \zeta_j)}$ .
3. The “archetypal coproduct  $\Delta_{jk}^i : S(z_i) \rightarrow S(z_j, z_k)$ ”, given by  $z_i \rightarrow z_j + z_k$  or  $\Delta z = z \otimes 1 + 1 \otimes z$ , has  $\tilde{\Delta} = \mathbb{P}^{(z_j + z_k)\zeta_i}$ .
4.  $R$ -matrices tend to have terms of the form  $e^{\hbar y_1 x_2} \in \mathcal{U}_q \otimes \mathcal{U}_q$ . The “baby  $R$ -matrix” is  $\tilde{R} = e^{\hbar yx} \in S(y, x)$ .
5. The “Weyl form of the canonical commutation relations” states that if  $[y, x] = tI$  then  $e^{\epsilon x} e^{\eta y} = e^{\eta y} e^{\epsilon x} e^{-\eta\epsilon t}$ . So with

$$sw_{xy} \left( S(y, x) \xrightarrow[\mathbb{O}_{yx}]{\mathbb{O}_{xy}} \mathcal{U}(y, x) \right) \text{ we have } \tilde{SW}_{xy} = \mathbb{P}^{\eta y + \epsilon x - \eta\epsilon t}.$$

# Do Not Turn Over Until Instructed



Dror Bar-Natan: Talks: MAASeway-1810:

Thanks for inviting me to the fall 2018 MAA Seaway Section meeting!

Handout, video, links at  $\omega\epsilon\beta$ :=<http://drorbn.net/maa18/>

## My Favourite First-Year Analysis Theorem

**Abstract.** Whatever it may be, it should say something useful and exciting and it should not be \*about\* rigour, yet it should \*demand\* rigour. You can't guess. You probably think it the dreariest. You are wrong.

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for every  $\epsilon > 0$  there is  $\delta > 0$  such that, for all  $x$ ,  
if  $0 < |x - a| < \delta$ , then  $|f(x) - f(a)| < \epsilon$ .  $\textcircled{S}$

If  $f$  and  $g$  are continuous at  $a$ , then

- (1)  $f + g$  is continuous at  $a$ ,
- (2)  $f \cdot g$  is continuous at  $a$ .

If  $f$  is continuous on  $[a, b]$  and  $f(a) < 0 < f(b)$ , then there is some  $x$  in  $[a, b]$  such that  $f(x) = 0$ .  $\textcircled{S}$

### 7 Three Hard Theorems.



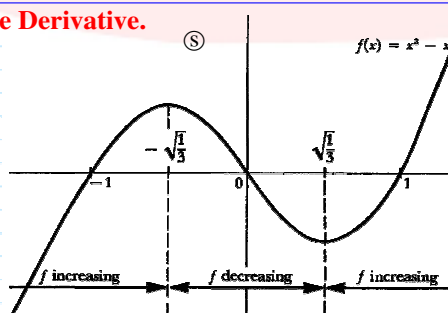
### 11 Significance of the Derivative.

$$y = x^2 - x$$

$$y' = 3x^2 - 1$$

$$= (\sqrt{3}x + 1)(\sqrt{3}x - 1)$$

$$= \begin{cases} > 0 & x > \sqrt{1/3} \\ < 0 & -\sqrt{1/3} < x < \sqrt{1/3} \\ > 0 & x < -\sqrt{1/3} \end{cases}$$



Several excerpts here are from Spivak's "Calculus"  $\textcircled{S}$ . I believe they fall under "fair use".



### 14 The Fundamental Theorem of Calculus.

If  $f$  is integrable on  $[a, b]$  and  $f = g'$  for some function  $g$ , then

$$\textcircled{S} \quad \int_a^b f = g(b) - g(a).$$

### Tweets Tweets & replies

\*16  $\pi$  is Irrational.



Dror Bar-Natan (@drorbar-natan) · 2 Apr 2018  
 $\pi = a/a$ .  $(a) = x^2 + (x) = (b) = \text{total change} = \int_0^a (2x) dx = x^2 |_0^a = a^2$ . Repeated integration by parts &  $\ln a = \int_1^a (1/x) dx = \ln a$ . So  $\pi$  is irrational.

### 20 Approximation by Polynomial Functions.

Suppose that  $f$  is a function for which

$$f'(a), \dots, f^{(n)}(a)$$

all exist. Let

$$a_k = \frac{f^{(k)}(a)}{k!}, \quad 0 \leq k \leq n,$$

and define

$$P_{n,a}(x) = a_0 + a_1(x-a) + \dots + a_n(x-a)^n.$$

Then

$$\lim_{x \rightarrow a} \frac{f(x) - P_{n,a}(x)}{(x-a)^n} = 0. \quad \textcircled{S}$$

For example for  $f(x) = \sin(x)$  at  $a = 0$ ,  $f^{(k)} = \sin, \cos, -\sin, -\cos, \sin, \dots$ , so

$$a_k = \begin{cases} \frac{(-1)^{(k-1)/2}}{k!} & k \text{ odd} \\ 0 & k \text{ even} \end{cases}$$

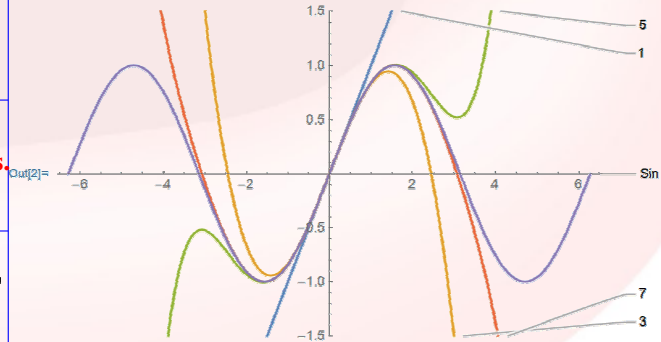
$$\text{In[1]:= } a_{k-1} = \begin{cases} (-1)^{(k-1)/2} / k! & \text{OddQ}[k] \\ 0 & \text{EvenQ}[k] \end{cases}$$

Plot[Evaluate@Append[

$$\text{Table[Labeled}[\sum_{k=0}^n a_k x^k, n], \{n, \{1, 3, 5, 7\}\}],$$

Labeled[Sin[x], Sin]

$$\text{], \{x, -2\pi, 2\pi\}, PlotRange \to \{-1.5, 1.5\}}$$



$$\text{In[3]:= Column@Table}[k \rightarrow N[a_k 157^k], \{k, \{0, 3, 9, 13, 29, 35, 157, 223, 457\}\}]$$

- 0  $\rightarrow$  0.
- 3  $\rightarrow$  -644982.
- 9  $\rightarrow 1.59711 \times 10^{14}$
- 13  $\rightarrow 5.65477 \times 10^{18}$
- 29  $\rightarrow 5.42689 \times 10^{32}$
- 35  $\rightarrow -6.95433 \times 10^{36}$
- 157  $\rightarrow 4.86366 \times 10^{66}$
- 223  $\rightarrow -1.94045 \times 10^{61}$
- 457  $\rightarrow 4.87404 \times 10^{-10}$

Some sizes (in multiples of the diameter of a Hydrogen atom:

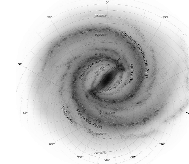
A red blood cell	$1.56 \times 10^5$
The CN Tower	$1.11 \times 10^{13}$
The rings of Saturn	$5.6 \times 10^{18}$
The Milky Way galaxy	$1.89 \times 10^{31}$
The observable universe	$1.76 \times 10^{37}$

$$\text{In[4]:= } \left\{ N \left[ \sum_{k=0}^{457} a_k 157^k \right], \sum_{k=0}^{457} N[a_k 157^k] \right\}$$

$$\text{Out[4]:= } \{-0.0795485, 5.10624 \times 10^{50}\}$$

$$\text{In[5]:= } N[\text{Sin}[157]]$$

$$\text{Out[5]:= } -0.0795485$$



# Do Not Turn Over Until Instructed





# Solvable Approximations of the Quantum $sl_2$ Portfolio

**Our Main Theorem** (loosely stated). Everything that matters in the quantum  $sl_2$  portfolio can be continuously expressed in terms of docile perturbed Gaussians using solvable approximations. ○

## Our Main Points.

- What's the "quantum  $sl_2$  portfolio"?
- What in it "matters" and why? (the most important question)
- What's "solvable approximation"? What's "continuously"?
- What are "docile perturbed Gaussians"?
- Why do they matter? (2<sup>nd</sup> most important)
- How proven? (docile)
- How implemented? (sacred; the work of unsung heroes)
- Some context and background.
- What's next?

$U \in \mathcal{T}_n$ ,  $1 \in \mathcal{A}_n$   
 $\mathcal{T}_{2n} \xrightarrow{\tau} \mathcal{A}_{2n} \xrightarrow{\kappa} \mathcal{T}_1$  with  $\mathcal{R} := \kappa(\tau^{-1}(1))$   
 ribbon  $K \in \mathcal{T}_1$ ,  $z(K) \in \mathcal{R} \subseteq \mathcal{A}_1$

Faster is better, leaner is meaner!

**The Gold Standard** is set by the "Γ-calculus" Alexander formulas [BNS, BN1]. An  $S$ -component tangle  $T$  has  $\Gamma(T) \in R_S \times M_{S \times S}(R_S) = \left\{ \begin{array}{c|c} \omega & S \\ \hline S & A \end{array} \right\}$  with  $R_S := \mathbb{Z}\langle t_a : a \in S \rangle$ :

$$\begin{pmatrix} \omega & a & b & S \\ a & 1 & 1 - t_a^{\pm 1} & \\ b & 0 & t_a^{\pm 1} & \\ S & \phi & \psi & \Xi \end{pmatrix} \xrightarrow{m_c^{ab}} \begin{pmatrix} (1-\beta)\omega & c & S \\ c & \gamma + \frac{\alpha\delta}{1-\beta} & \epsilon + \frac{\delta\theta}{1-\beta} \\ S & \phi + \frac{\alpha\psi}{1-\beta} & \Xi + \frac{\psi\theta}{1-\beta} \end{pmatrix}$$

$t_a, t_b \rightarrow t_c$

(Roland: "add to  $A$  the product of column  $b$  and row  $a$ , divide by  $(1 - A_{ab})$ , delete column  $b$  and row  $a$ ".)

For long knots,  $\omega$  is Alexander, and that's the fastest Alexander algorithm I know! Dunfield: 1000-crossing fast.

$$\begin{pmatrix} \omega & a & S \\ a & \alpha & \theta \\ S & \phi & \Xi \end{pmatrix} \xrightarrow{q\Delta_{bc}^a} \begin{pmatrix} b & c & S \\ (\sigma_a - \alpha T_a - \nu T_c)/\mu & (T_b - 1)T_c\nu/\mu & (T_b - 1)T_c\theta/\mu \\ c & (\alpha - \sigma_a T_a - \nu T_c)/\mu & (T_c - 1)\theta/\mu \\ S & \phi & \Xi \end{pmatrix}$$

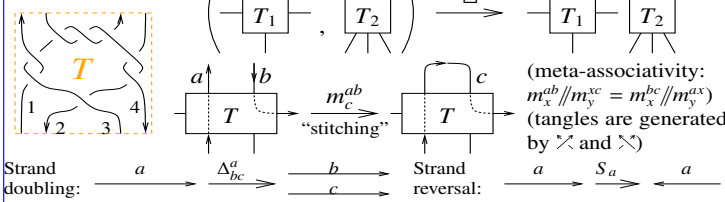
$dS^a \downarrow T_a \rightarrow T_a^{-1}$

$$\begin{pmatrix} \alpha\omega/\sigma_a & a & S \\ a & 1/\alpha & \theta/\alpha \\ S & -\phi/\alpha & (\alpha\Xi - \phi\theta)/\alpha \end{pmatrix}$$

**Vo's Thesis [Vo]**. A proof of the Fox-Milnor theorem for ribbon knots using this technology (and more).

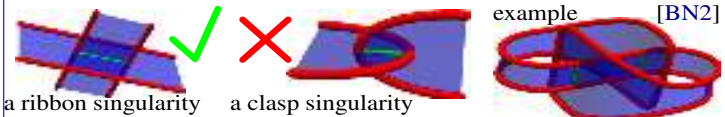
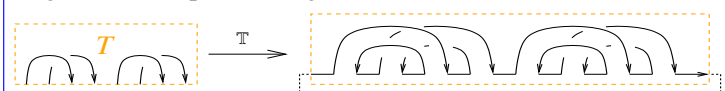
**The quantum  $sl_2$  Portfolio** includes a classical universal enveloping algebra  $CU$ , its quantization  $QU$ , their tensor powers  $CU^{\otimes S}$  and  $QU^{\otimes S}$  with the "tensor operations"  $\otimes$ , their products  $m_k^{ij}$ , coproducts  $\Delta_{jk}^i$  and antipodes  $S_i$ , their Cartan automorphisms  $C\theta: CU \rightarrow CU$  and  $Q\theta: QU \rightarrow QU$ , the "dequantizers"  $AD: QU \rightarrow CU$  and  $SD: QU \rightarrow CU$ , and most importantly, the  $R$ -matrix  $R$  and the Drinfel'd element  $s$ . All this in any PBW basis, and change of basis maps are included.

## (v-)Tangles.



**Genus.** Every knot is the boundary of an orientable "Seifert Surface" ( $\omega\epsilon\beta/SS$ ), and the least of their genera is the "genus" of the knot.

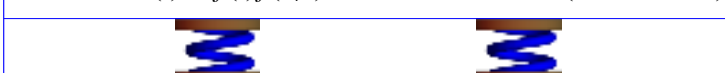
**Claim.** The knots of genus  $\leq 2$  are precisely the images of 4-component tangles via



**A Bit about Ribbon Knots.** A "ribbon knot" is a knot that can be presented as the boundary of a disk that has "ribbon singularities", but no "clasp singularities". A "slice knot" is a knot in  $S^3 = \partial B^4$  which is the boundary of a non-singular disk in  $B^4$ . Every ribbon knot is clearly slice, yet,

**Conjecture.** Some slice knots are not ribbon.

**Fox-Milnor.** The Alexander polynomial of a ribbon knot is always of the form  $A(t) = f(t)f(1/t)$ . (also for slice)



"God created the knots, all else in topology is the work of mortals."  
Leopold Kronecker (modified) www.katlas.org

## Implementation key idea: ωεβ/AlexDemo

$(\omega, A = (\alpha_{ab})) \leftrightarrow (\omega, \lambda = \sum \alpha_{ab} t_a h_b)$

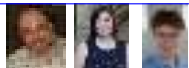
## Meta-Associativity

$$\begin{pmatrix} \alpha_{11} & \alpha_{12} & \alpha_{13} & \theta_1 \\ \alpha_{21} & \alpha_{22} & \alpha_{23} & \theta_2 \\ \alpha_{31} & \alpha_{32} & \alpha_{33} & \theta_3 \\ \phi_1 & \phi_2 & \phi_3 & \Xi \end{pmatrix} \cdot \{h_1, h_2, h_3, h_4\}$$

$\{S // m_{12-1} // m_{13-1}\} = \{S // m_{21-2} // m_{23-2}\}$

**R3** ... divide and conquer!



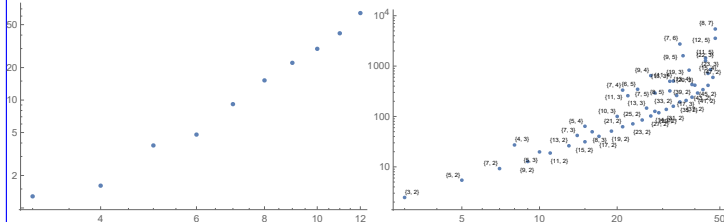


# The Dogma is Wrong

**Abstract.** It has long been known that there are knot invariants associated to semi-simple Lie algebras, and there has long been a dogma as for how to extract them: “quantize and use representation theory”. We present an alternative and better procedure: “centrally extend, approximate by solvable, and learn how to re-order exponentials in a universal enveloping algebra”. While equivalent to the old invariants via a complicated process, our invariants are in practice stronger, faster to compute (poly-time vs. exp-time), and clearly carry topological information.

**KiW 43 Abstract** ([wεβ/kiw](http://wεβ/kiw)). Whether or not you like the formulas on this page, they describe the strongest truly computable knot invariant we know.

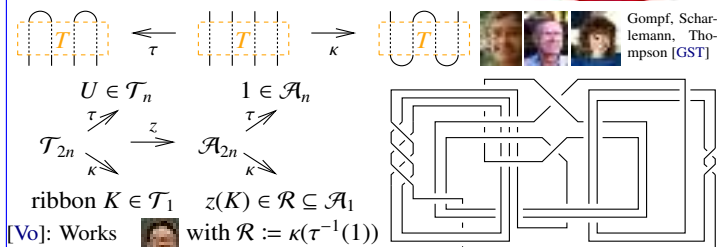
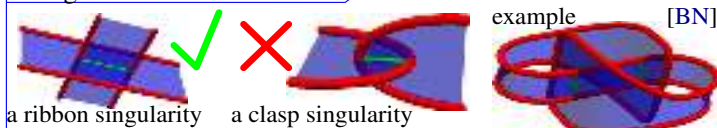
**Experimental Analysis** ([wεβ/Exp](http://wεβ/Exp)). Log-log plots of computation time (sec) vs. crossing number, for all knots with up to 12 crossings (mean times) and for all torus knots with up to 48 crossings:



**Power.** On the 250 knots with at most 10 crossings, the pair  $(\omega, \rho_1)$  attains 250 distinct values, while (Khovanov, HOMFLY-PT) attains only 249 distinct values. To 11 crossings the numbers are (802, 788, 772) and to 12 they are (2978, 2883, 2786).

**Genus.** Up to 12 crossings, always  $\rho_1$  is symmetric under  $t \leftrightarrow t^{-1}$ . With  $\rho_1^+$  denoting the positive-degree part of  $\rho_1$ , always  $\deg \rho_1^+ \leq 2g - 1$ , where  $g$  is the 3-genus of  $K$  (equality for 2530 knots). This gives a lower bound on  $g$  in terms of  $\rho_1$  (conjectural, but undoubtedly true). This bound is often weaker than the Alexander bound, yet for 10 of the 12-tring Alexander failures it does give the right answer.

### Ribbon Knots.



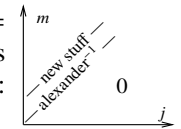
[Vo]: Works with  $\mathcal{R} := \kappa(\tau^{-1}(1))$  for Alexander!  $A^+ = -t^8 + 2t^7 - t^6 - 2t^4 + 5t^3 - 2t^2 - 7t + 13$   
 $\rho_1^+ = 5t^{15} - 18t^{14} + 33t^{13} - 32t^{12} + 2t^{11} + 42t^{10} - 62t^9 - 8t^8 + 166t^7 - 242t^6 +$   
Faster is better, leaner is meaner!  $108t^5 + 132t^4 - 226t^3 + 148t^2 - 11t - 36$

**Ordering Symbols.**  $\odot$  (*poly* | *specs*) plants the variables of *poly* in  $S(\oplus_{\mathfrak{g}})$  on several tensor copies of  $\mathcal{U}(\mathfrak{g})$  according to *specs*. E.g.,  
 $\odot(a_1^3 y_1 a_2 e^{y_3} x_3^2 | x_3 a_1 \otimes y_1 y_3 a_2) = x^9 a^3 \otimes y e^y a \in \mathcal{U}(\mathfrak{g}) \otimes \mathcal{U}(\mathfrak{g})$   
This enables the description of elements of  $\hat{\mathcal{U}}(\mathfrak{g})^{\otimes S}$  using commutative polynomials / power series.

**Theorem** ([BNG], conjectured [MM], elucidated [Ro1]). Let  $J_d(K)$  be the coloured Jones polynomial of  $K$ , in the  $d$ -dimensional representation of  $sl_2$ . Writing

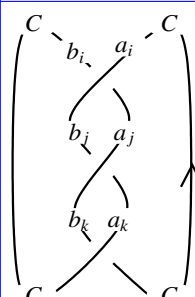
$$\left. \frac{(q^{1/2} - q^{-1/2})J_d(K)}{q^{d/2} - q^{-d/2}} \right|_{q=e^{\hbar}} = \sum_{j,m \geq 0} a_{jm}(K) d^j \hbar^m,$$

“below diagonal” coefficients vanish,  $a_{jm}(K) = 0$  if  $j > m$ , and “on diagonal” coefficients give the inverse of the Alexander polynomial:  
 $(\sum_{m=0}^{\infty} a_{mm}(K) \hbar^m) \cdot \omega(K)(e^{\hbar}) = 1.$



“Above diagonal” we have **Rozansky’s Theorem** [Ro3, (1.2)]:

$$J_d(K)(q) = \frac{q^d - q^{-d}}{(q - q^{-1})\omega(K)(q^d)} \left( 1 + \sum_{k=1}^{\infty} \frac{(q-1)^k \rho_k(K)(q^d)}{\omega^{2k}(K)(q^d)} \right).$$



**The Yang-Baxter Technique.** Given an algebra  $U$  (typically  $\hat{\mathcal{U}}(\mathfrak{g})$  or  $\hat{\mathcal{U}}_q(\mathfrak{g})$ ) and elements

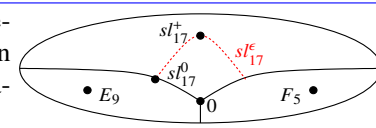
$$R = \sum a_i \otimes b_i \in U \otimes U \quad \text{and} \quad C \in U,$$

$$\text{form} \quad Z = \sum_{i,j,k} C a_i b_j a_k C^2 b_i a_j b_k C.$$

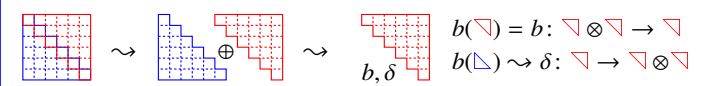
**Problem.** Extract information from  $Z$ .  
**The Dogma.** Use representation theory. In principle finite, but *slow*.

**The Loyal Opposition.** For certain algebras, work in a homomorphic poly-dimensional “space of formulas”.  $m_k^{ij} \circlearrowleft \{ \mathcal{F}_S \} \xrightarrow{\mathbb{E}} \{ U^{\otimes S} \} \circlearrowright m_k^{ij}$

**The (fake) moduli** of Lie algebras on  $V$ , a quadratic variety in  $(V^*)^{\otimes 2} \otimes V$  is on the right. We care about  $sl_{17}^k := sl_{17}^e / (\epsilon^{k+1} = 0)$ .



**Recomposing  $gl_n$ .** Half is enough!  $gl_n \oplus \mathfrak{a}_n = \mathcal{D}(\nabla, b, \delta)$ :



Now define  $gl_n^e := \mathcal{D}(\nabla, b, \epsilon\delta)$ . Schematically, this is  $[\nabla, \nabla] = \nabla$ ,  $[\Delta, \Delta] = \epsilon\Delta$ , and  $[\nabla, \Delta] = \Delta + \epsilon\nabla$ . In detail, it is

$$\begin{matrix} & i & j \\ i & \begin{matrix} b_i & x_{ij} \end{matrix} \\ j & \begin{matrix} y_{ji} & a_j \end{matrix} \end{matrix} \quad \begin{matrix} [x_{ij}, x_{kl}] = \delta_{jk} x_{il} - \delta_{li} x_{kj} & [y_{ij}, y_{kl}] = \epsilon \delta_{jk} y_{il} - \epsilon \delta_{li} y_{kj} \\ [x_{ij}, y_{kl}] = \delta_{jk} (\epsilon \delta_{l < j} x_{il} + \delta_{il} (b_i + \epsilon a_i) / 2 + \delta_{i > l} y_{il}) \\ & - \delta_{li} (\epsilon \delta_{k < j} x_{kj} + \delta_{kj} (b_j + \epsilon a_j) / 2 + \delta_{k > j} y_{kj}) \\ [a_i, x_{jk}] = (\delta_{ij} - \delta_{ik}) x_{jk} & [b_i, x_{jk}] = \epsilon (\delta_{ij} - \delta_{ik}) x_{jk} \\ [a_i, y_{jk}] = (\delta_{ij} - \delta_{ik}) y_{jk} & [b_i, y_{jk}] = \epsilon (\delta_{ij} - \delta_{ik}) y_{jk} \end{matrix}$$

**The Main  $sl_2$  Theorem.** Let  $\mathfrak{g}^e = \langle t, y, a, x \rangle / ([t, \cdot] = 0, [a, x] = x, [a, y] = -y, [x, y] = t - 2\epsilon a)$  and let  $\mathfrak{g}_k = \mathfrak{g}^e / (\epsilon^{k+1} = 0)$ . The  $\mathfrak{g}_k$ -invariant of any  $S$ -component tangle  $K$  can be written in the form  $Z(K) = \odot (\omega e^{L+Q+P} : \bigotimes_{i \in S} y_i a_i x_i)$ , where  $\omega$  is a scalar (a rational function in the variables  $t_i$  and their exponentials  $T_i := e^{t_i}$ ), where  $L = \sum l_{ij} t_i a_j$  is a quadratic in  $t_i$  and  $a_j$  with integer coefficients  $l_{ij}$ , where  $Q = \sum q_{ij} y_i x_j$  is a quadratic in the variables  $y_i$  and  $x_j$  with scalar coefficients  $q_{ij}$ , and where  $P$  is a polynomial in  $\{\epsilon, y_i, a_i, x_i\}$  (with scalar coefficients) whose  $\epsilon^d$ -term is of degree at most  $2d + 2$  in  $\{y_i, \sqrt{a_i}, x_i\}$ . Furthermore, after setting  $t_i = t$  and  $T_i = T$  for all  $i$ , the invariant  $Z(K)$  is poly-time computable.



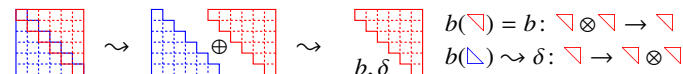


# What else can you do with solvable approximations?

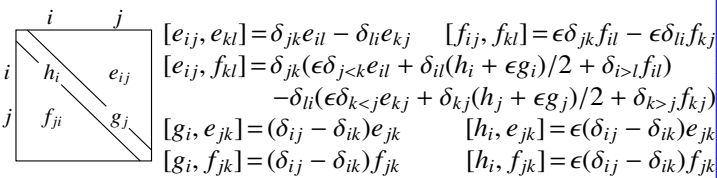
Thanks for the invitation!

**Abstract.** Recently, Roland van der Veen and myself found that there are sequences of solvable Lie algebras “converging” to any given semi-simple Lie algebra (such as  $sl_2$  or  $sl_3$  or  $E_8$ ). Certain computations are much easier in solvable Lie algebras; in particular, using solvable approximations we can compute in polynomial time certain projections (originally discussed by Rozansky) of the knot invariants arising from the Chern-Simons-Witten topological quantum field theory. This provides us with the first strong knot invariants that are computable for truly large knots. But  $sl_2$  and  $sl_3$  and similar algebras occur in physics (and in mathematics) in many other places, beyond the Chern-Simons-Witten theory. Do solvable approximations have further applications?

**Recomposing  $gl_n$ .** Half is enough!  $gl_n \oplus \mathfrak{a}_n = \mathcal{D}(\nabla, b, \delta)$ :



Now define  $gl_n^\epsilon := \mathcal{D}(\nabla, b, \epsilon\delta)$ . Schematically, this is  $[\nabla, \nabla] = \nabla$ ,  $[\Delta, \Delta] = \epsilon\Delta$ , and  $[\nabla, \Delta] = \Delta + \epsilon\nabla$ . In detail, it is



**Solvable Approximation.** At  $\epsilon = 1$  and modulo  $h = g$ , the above is just  $gl_n$ . By rescaling at  $\epsilon \neq 0$ ,  $gl_n^\epsilon$  is independent of  $\epsilon$ . We let  $g_n^k$  be  $gl_n^\epsilon$  regarded as an algebra over  $\mathbb{Q}[\epsilon]/\epsilon^{k+1} = 0$ . It is the “ $k$ -smidgen solvable approximation” of  $gl_n$ !

Recall that  $\mathfrak{g}$  is “solvable” if iterated commutators in it ultimately vanish:  $\mathfrak{g}_2 := [\mathfrak{g}, \mathfrak{g}]$ ,  $\mathfrak{g}_3 := [\mathfrak{g}_2, \mathfrak{g}_2]$ ,  $\dots$ ,  $\mathfrak{g}_d = 0$ . Equivalently, if it is a subalgebra of some large-size  $\nabla$  algebra.

**Note.** This whole process makes sense for arbitrary semi-simple Lie algebras.

**Why are “solvable algebras” any good?** Contrary to common beliefs, computations in semi-simple Lie algebras are just awful:

```
MatrixExp[{{a, b}, {c, d}}] // FullSimplify // MatrixForm
```

Yet in solvable algebras, exponentiation is fine and even BCH,  $z = \log(e^x e^y)$ , is bearable:

```
MatrixExp[{{a, b}, {0, c}}] // MatrixForm
MatrixExp[{{a, b}, {0, c}}] . MatrixExp[{{a, b}, {0, c}}] //
MatrixLog // PowerExpand // Simplify //
MatrixForm
```

**Question.** What else can you do with solvable approximation? Chern-Simons-Witten theory is often “solved” using ideas from conformal field theory and using quantization of various moduli spaces. Does it make sense to use solvable approximation there too? Elsewhere in physics? Elsewhere in mathematics?

**See Also.** Talks at George Washington University [œβ/gwu], Indiana [œβ/ind], and Les Diablerets [œβ/ld], and a University of Toronto “Algebraic Knot Theory” class [œβ/akt].

**Chern-Simons-Witten.** Given a knot  $\gamma(t)$  in  $\mathbb{R}^3$  and a metrized Lie algebra  $\mathfrak{g}$ , set  $Z(\gamma) := \int_{A \in \Omega^1(\mathbb{R}^3, \mathfrak{g})} \mathcal{D}A e^{ik cs(A)} PExp_\gamma(A)$ ,

where  $cs(A) := \frac{1}{4\pi} \int_{\mathbb{R}^3} \text{tr}(AdA + \frac{2}{3}A^3)$  and

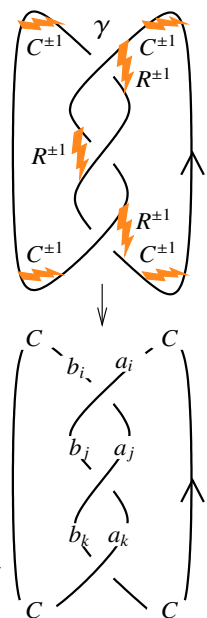
$$PExp_\gamma(A) := \prod_0^1 \exp(\gamma^* A) \in \mathcal{U} = \hat{\mathcal{U}}(\mathfrak{g}),$$

and  $\mathcal{U}(\mathfrak{g}) := \langle \text{words in } \mathfrak{g} \rangle / (xy - yx = [x, y])$ . In a favourable gauge, one may hope that this computation will localize near the crossings and the bends, and all will depend on just two quantities,

$$R = \sum a_i \otimes b_i \in \mathcal{U} \otimes \mathcal{U} \quad \text{and} \quad C \in \mathcal{U}.$$

This was never done formally, yet  $R$  and  $C$  can be “guessed” and all “quantum knot invariants” arise in this way. So for the trefoil,

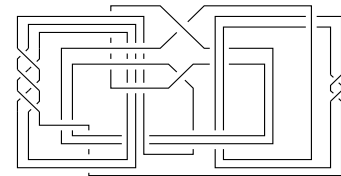
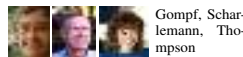
$$Z = \sum_{i,j,k} C a_i b_j a_k C^2 b_i a_j b_k C.$$



But  $Z$  lives in  $\mathcal{U}$ , a complicated space. How do you extract information out of it?

**Solution 1, Representation Theory.** Choose a finite dimensional representation  $\rho$  of  $\mathfrak{g}$  in some vector space  $V$ . By luck and the wisdom of Drinfel’d and Jimbo,  $\rho(R) \in V^* \otimes V^* \otimes V \otimes V$  and  $\rho(C) \in V^* \otimes V$  are computable, so  $Z$  is computable too. But in exponential time!

Ribbon=Slice?



**Solution 2, Solvable Approximation.** Work directly in  $\hat{\mathcal{U}}(\mathfrak{g}_k)$ , where  $\mathfrak{g}_k = sl_2^k$  (or a similar algebra); everything is expressible using low-degree polynomials in a small number of variables, hence everything is poly-time computable!

**Example 0.** Take  $\mathfrak{g}_0 = sl_2^0 = \mathbb{Q}\langle h, e, l, f \rangle$ , with  $h$  central and  $[f, l] = f$ ,  $[e, l] = -e$ ,  $[e, f] = h$ . In it, using normal orderings,

$$R = \mathbb{O} \left( \exp \left( hl + \frac{e^h - 1}{h} ef \right) \mid e \otimes lf \right), \quad \text{and,}$$

$$\mathbb{O} \left( e^{\delta ef} \mid fe \right) = \mathbb{O} \left( v e^{v \delta ef} \mid ef \right) \quad \text{with } v = (1 + h\delta)^{-1}.$$

**Example 1.** Take  $R = \mathbb{Q}[\epsilon]/(\epsilon^2 = 0)$  and  $\mathfrak{g}_1 = sl_2^1 = R\langle h, e, l, f \rangle$ , with  $h$  central and  $[f, l] = f$ ,  $[e, l] = -e$ ,  $[e, f] = h - 2\epsilon l$ . In it,

$$\mathbb{O} \left( e^{\delta ef} \mid fe \right) = \mathbb{O} \left( v(1 + \epsilon v \delta \Lambda / 2) e^{v \delta ef} \mid elf \right), \quad \text{where } \Lambda \text{ is}$$

$$4v^3 \delta^2 e^2 f^2 + 3v^3 \delta^3 h e^2 f^2 + 8v^2 \delta \epsilon f + 4v^2 \delta^2 h e f + 4v \delta \epsilon l f - 2v \delta h + 4l.$$

**Fact.** Setting  $h_i = h$  (for all  $i$ ) and  $t = e^h$ , the  $\mathfrak{g}_1$  invariant of any tangle  $T$  can be written in the form

$$Z_{\mathfrak{g}_1}(T) = \mathbb{O} \left( \omega^{-1} e^{hL + \omega^{-1} Q} (1 + \epsilon \omega^{-4} P) \mid \bigotimes_i e_i l_i f_i \right),$$

where  $L$  is linear,  $Q$  quadratic, and  $P$  quartic in the  $\{e_i, l_i, f_i\}$  with  $\omega$  and all coefficients polynomials in  $t$ . Furthermore, everything is poly-time computable.