

A Quick Introduction to Khovanov Homology

Dror Bar-Natan, Montreal, June 2013

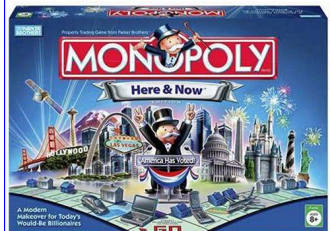
Why Bother?



$\omega\epsilon\beta$ /webcrafterz



$\omega\epsilon\beta$ /onemoretap



$\omega\epsilon\beta$ /cheat-pcgame

Story/Theorem. To every finite-dimensional metrized Lie algebra \mathfrak{g} and a list R_1, \dots, R_n of representations thereof, there is an associated invariant of n -component links, valued in Laurent polynomials in a variable q (really, in finite linear combinations of characters on the space of metrics on \mathfrak{g}).

Queries. Do you know how to tell/prove it? Really? With all the details? Could you teach the story/proof leaving no black boxes in a one-semester course on knot theory to students who are not already experts on Lie algebras? Do I really need to know about Cartan subalgebras and root and weight spaces? Is this theorem at all true?

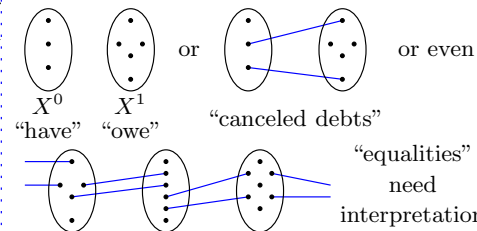
What is Categorification=Concretization=de-abstraction? "3" is $\{\text{cow, cow, cow}\}$ and $\{\text{pig, pig, pig}\}$ and many other things...

... categorification is choosing which 3 it is!

\mathbb{N} . Natural numbers \mapsto finite sets, equalities \mapsto bijections, inequalities \mapsto injections and surjections:

$$\binom{2n}{n} = \sum \binom{n}{k}^2 \mapsto \binom{X \times \{1, 2\}}{|X|} \leftrightarrow \bigcup \binom{X}{k} \times \binom{X}{k}$$

\mathbb{Z} . Negative numbers:



Weaker Categorification. Do the same in the category of vector spaces: "3" becomes V s.t. $\dim V = 3$, or better, $V^\bullet = (\dots V^{r-1} \rightarrow V^r \rightarrow V^{r+1} \dots)$ s.t. $d^2 = 0$ and $\chi(V^\bullet) := \sum (-1)^r \dim V^r = 3 = \sum (-1)^r \dim H^r$. Equalities become homotopies between complexes.

Categorifying $\mathbb{Z}[q^{\pm 1}]$. $f = \sum a_j q^j$ becomes $V = \bigoplus V_j$ s.t. $q \dim V := \sum q^j \dim V_j = f$, or better, $V^\bullet = (\dots V^{r-1} \rightarrow V^r \rightarrow V^{r+1} \dots)$ s.t. $d^2 = 0$, $\deg d = 0$, and $\chi_q(V^\bullet) := \sum (-1)^r q \dim V^r = f = \sum (-1)^r q \dim H^r$.

Note. Setting $V\{l\}_j := V_{j-l}$, we get $q \dim V\{l\} = q^l q \dim V$.

Khovanov: $K(L)$ is a chain complex of graded \mathbb{Z} -modules; $V = \text{span}\{v_+, v_-\}$; $\deg v_\pm = \pm 1$; $q \dim V = q + q^{-1}$;

$$K(\circlearrowleft^k) = V^{\otimes k}; \quad K(\times) = \text{Flatten} \left(0 \rightarrow K(\circlearrowleft\{1\}) \rightarrow K(\circlearrowright\{2\}) \rightarrow 0 \right);$$

$$K(\times) = \text{Flatten} \left(0 \rightarrow K(\circlearrowright\{-2\}) \rightarrow K(\circlearrowleft\{-1\}) \rightarrow 0 \right);$$

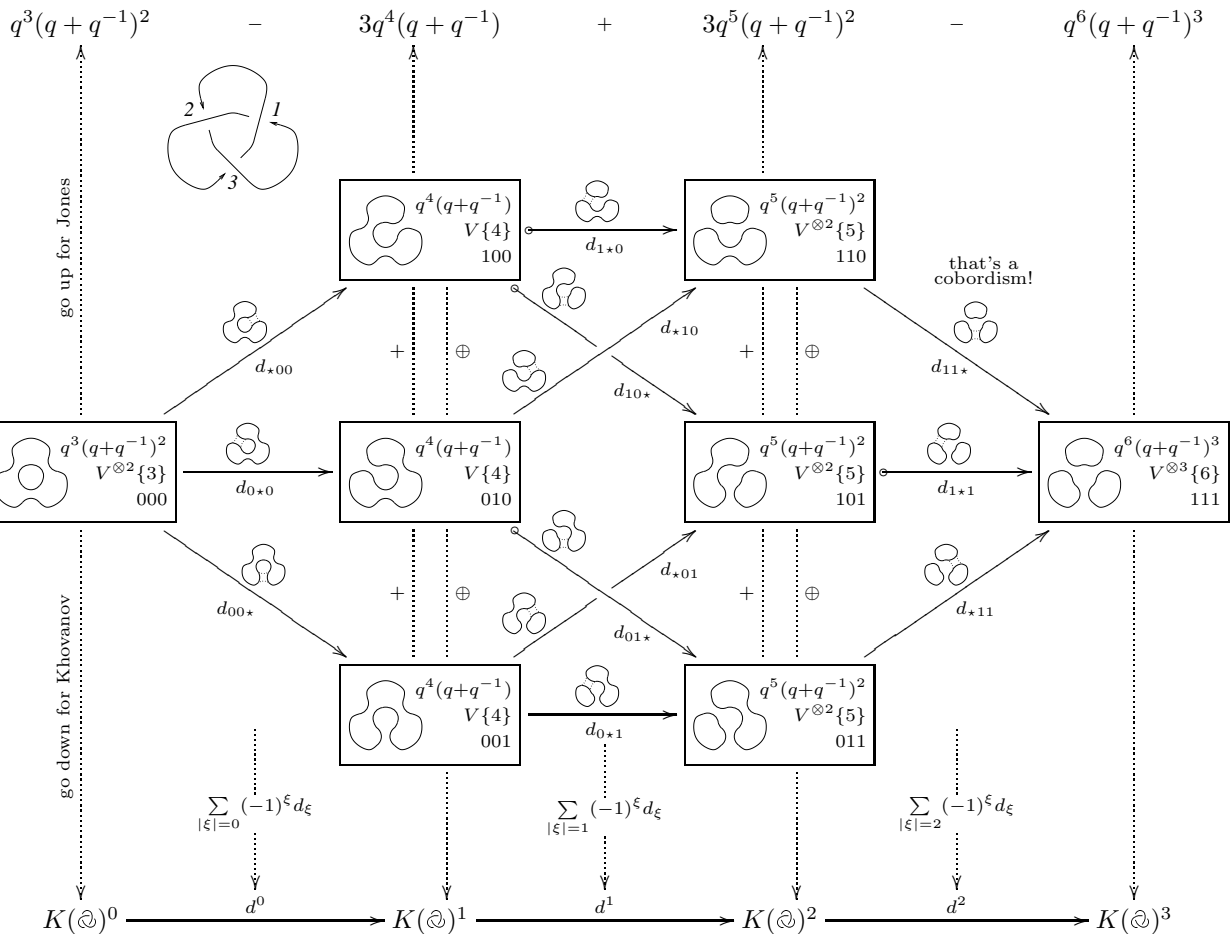
$$\left(\text{two circles} \xrightarrow{\text{merge}} \text{one figure-eight} \right) \rightarrow (V \otimes V \xrightarrow{m} V)$$

$$m : \begin{cases} v_+ \otimes v_- \mapsto v_- & v_+ \otimes v_+ \mapsto v_+ \\ v_- \otimes v_+ \mapsto v_- & v_- \otimes v_- \mapsto 0 \end{cases}$$

$$\left(\text{figure-eight} \xrightarrow{\text{split}} \text{two circles} \right) \rightarrow (V \xrightarrow{\Delta} V \otimes V)$$

$$\Delta : \begin{cases} v_+ \mapsto v_+ \otimes v_- + v_- \otimes v_+ \\ v_- \mapsto v_- \otimes v_- \end{cases}$$

Example:



$$\left(\text{here } (-1)^\xi := (-1)^{\sum_{i < j} \xi_i} \text{ if } \xi_j = \star \right) \quad = \quad K(L).$$

Theorem 1. The graded Euler characteristic of $K(L)$ is $J(L)$.

Theorem 2. The homology $\text{Kh}(L)$ of $K(L)$ is a link invariant.

Theorem 3. $\text{Kh}(L)$ is strictly stronger than $J(L)$: $J(\bar{5}_1) = J(10_{132})$ yet $\text{Kh}(\bar{5}_1) \neq \text{Kh}(10_{132})$.

References. Khovanov's arXiv:math.QA/9908171 and arXiv:math.QA/0103190 and my

Local Khovanov Homology (1)

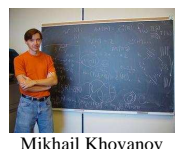
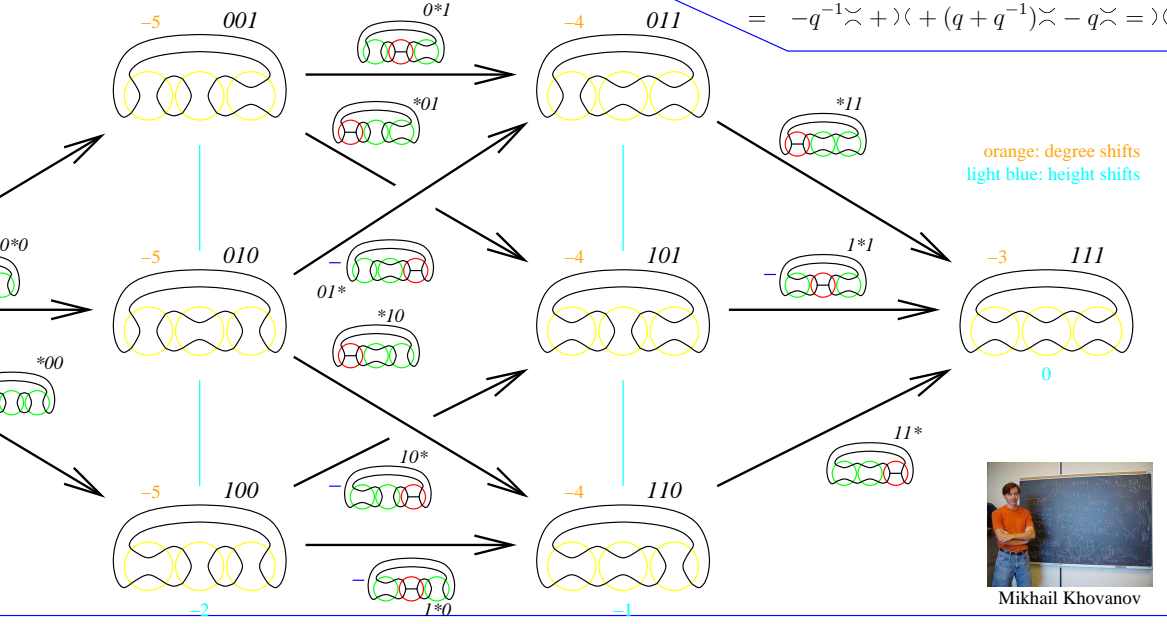
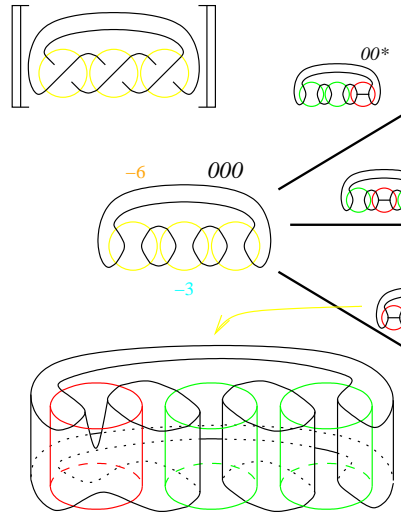
(an outdated overview)

The Jones polynomial:
 $J : \text{link} \mapsto q \langle -q^2 \rangle$, $J : \text{link} \mapsto -q^{-2} \langle \rangle + q^{-1} \langle \rangle$

$$\bigcirc^k \mapsto (q + q^{-1})^k$$

$$J : \text{link} \mapsto -q^{-1} \langle \rangle + \langle \rangle + \langle \rangle - q \langle \rangle = -q^{-1} \langle \rangle + (q + q^{-1}) \langle \rangle - q \langle \rangle$$

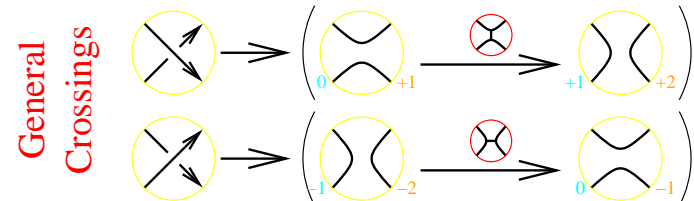
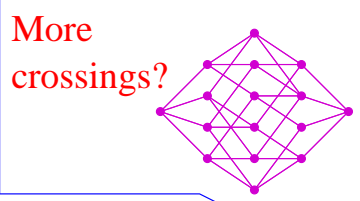
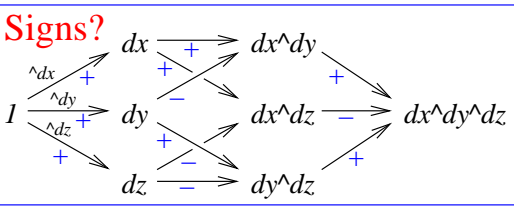
R2



What is it? A cube for each knot/link projection;

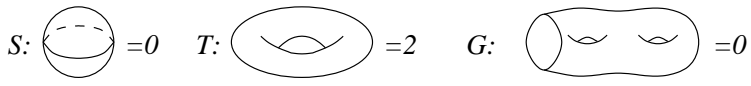
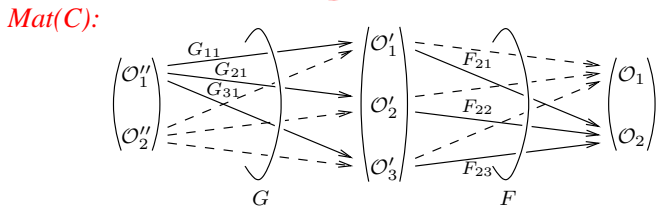
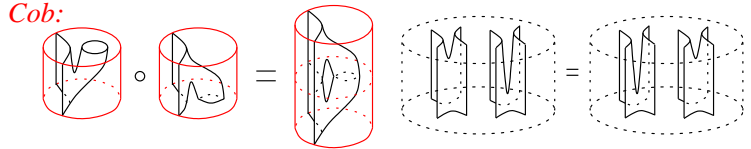
Vertices: All fillings of with or with .

Edges: All fillings of $I \times \text{knot}$ = with $I \times \text{filling 1}$ = or with $I \times \text{filling 2}$ = and precisely one

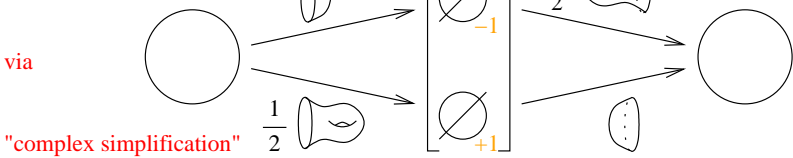


Where does it live?

In $Kom(Mat(\langle Cob \rangle / \{S, T, G, NC\})) / \text{homotopy}$
 Kom: Complexes Mat: Matrices
 Cob: Cobordisms $\langle \dots \rangle$: Formal lin. comb.



Computable!



Complexes:

$$\Omega = (\Omega^{-n} \longrightarrow \Omega^{-n+1} \longrightarrow \dots \longrightarrow \Omega^0)$$

Morphisms:

$$\begin{array}{ccccccc} \dots & \longrightarrow & \Omega_0^{r-1} & \xrightarrow{d^{r-1}} & \Omega_0^r & \xrightarrow{d^r} & \Omega_0^{r+1} & \longrightarrow & \dots \\ & & \downarrow F^{r-1} & & \downarrow F^r & & \downarrow F^{r+1} & & \\ \dots & \longrightarrow & \Omega_1^{r-1} & \xrightarrow{d^{r-1}} & \Omega_1^r & \xrightarrow{d^r} & \Omega_1^{r+1} & \longrightarrow & \dots \end{array}$$

Homotopies:

$$\begin{array}{ccccc} \Omega_0^{r-1} & \xrightarrow{d^{r-1}} & \Omega_0^r & \xrightarrow{d^r} & \Omega_0^{r+1} \\ \downarrow F^{r-1} & \swarrow G^{r-1} & \downarrow F^r & \swarrow G^r & \downarrow F^{r+1} \\ \Omega_1^{r-1} & \xrightarrow{d^{r-1}} & \Omega_1^r & \xrightarrow{d^r} & \Omega_1^{r+1} \end{array}$$

$$F^r - G^r = h^{r+1} d^r + d^{r-1} h^r$$

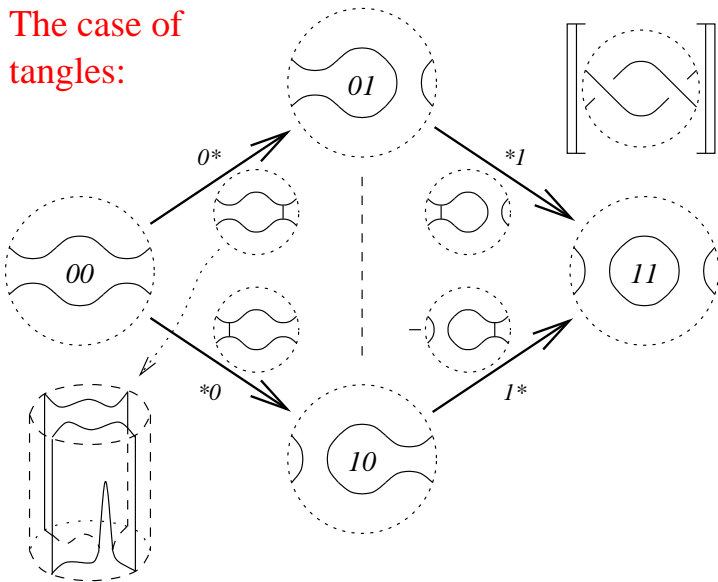
The Main Point. "The cube", $\text{Kh}(L)$, is an up-to-homotopy invariant of knots and links. It's Euler characteristic is the Jones polynomial, yet it is strictly stronger than the Jones polynomial. It is functorial (in the appropriate sense) and practically computable.

- The Categorification Speculative Paradigm.**
- Every object in math is the Euler characteristic of a complex.
 - Every operation lifts to an operation between complexes.
 - Every identity remains true, up to homotopy.

All arrows in an arbitrary additive category!

Local Khovanov Homology (2)

The case of tangles:



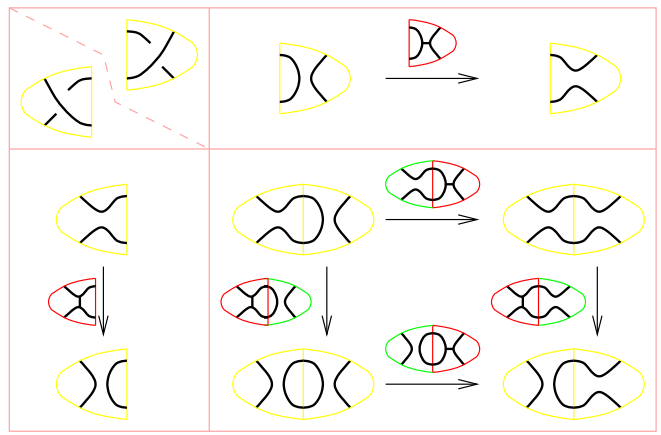
The Reduction Lemma. If ϕ is an isomorphism then the complex

$$[C] \xrightarrow{\begin{pmatrix} \alpha \\ \beta \end{pmatrix}} \begin{bmatrix} b_1 \\ D \end{bmatrix} \xrightarrow{\begin{pmatrix} \phi & \delta \\ \gamma & \epsilon \end{pmatrix}} \begin{bmatrix} b_2 \\ E \end{bmatrix} \xrightarrow{\begin{pmatrix} \mu & \nu \end{pmatrix}} [F]$$

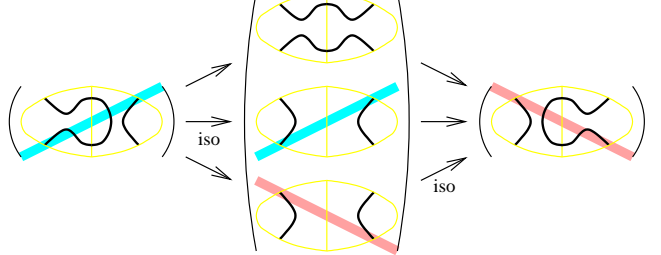
is isomorphic to the (direct sum) complex

$$[C] \xrightarrow{\begin{pmatrix} 0 \\ \beta \end{pmatrix}} \begin{bmatrix} b_1 \\ D \end{bmatrix} \xrightarrow{\begin{pmatrix} \phi & 0 \\ 0 & \epsilon - \gamma\phi^{-1}\delta \end{pmatrix}} \begin{bmatrix} b_2 \\ E \end{bmatrix} \xrightarrow{\begin{pmatrix} 0 & \nu \end{pmatrix}} [F]$$

Invariance under R2.



After delooping:



Kurt Reidemeister

I mean business.



In 1 day $\dim_j H_r$ is given by:

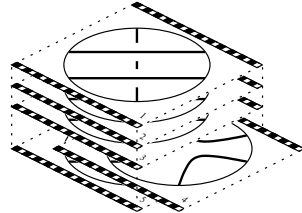
$T(7,6)$ says



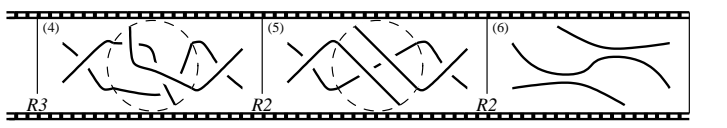
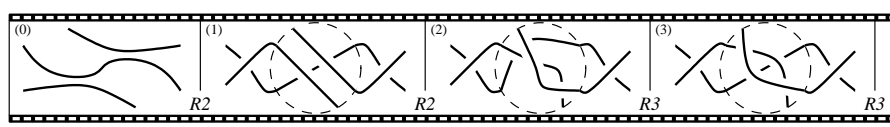
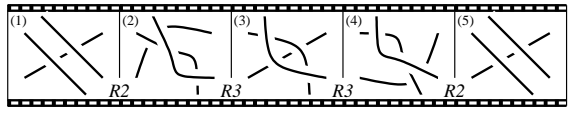
Old techniques:
~1,000 years,
~1Ggb RAM.
(now down to seconds)

$j \setminus r$	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	
57																				1	1
55																				1	1
53																1	2		1	1	
51													1	1		2	1		1	1	
49														3	1		1		1	1	
47													3	1		1					
45											2		1	2							
43								1		1	2										
41						1		1	2												
39						1	1		1												
37				1	1			1													
35					1																
33			1																		
31	1																				
29	1																				

Functoriality / cobordisms.



M. Jacobsson



J. Rasmussen: Leads to a no-analysis proof of a conjecture by Milnor.

A more general theory: Remove G and NC, add

$$4Tu: \begin{matrix} 1 & 2 \\ \text{diagram} & \text{diagram} \\ 3 & 4 \end{matrix} + \begin{matrix} \text{diagram} \\ \text{diagram} \end{matrix} = \begin{matrix} \text{diagram} \\ \text{diagram} \end{matrix} + \begin{matrix} \text{diagram} \\ \text{diagram} \end{matrix}$$

(minor further revisions are necessary)

"God created the knots,
all else in topology is the work of mortals"



Leopold Kronecker (modified)



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