

Thm: A subset  $\beta \subset V$  is a basis iff every  $v \in V$  can be expressed as a  
 lin comb. of elements in  $\beta$  in exactly one way.

Prf: It is a combination of things we already know.

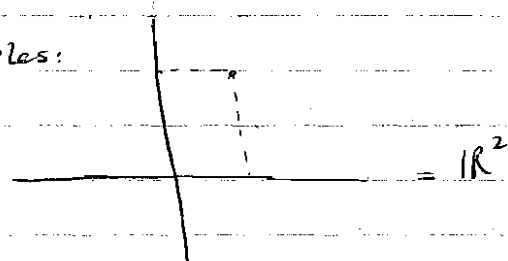
10.10.06 Lecture

Thm: If a vector space  $V$  has a finite basis, then every other basis  
 of  $V$  is also finite and has same number of elements

Corollary: If  $V$  has a finite basis, it makes sense to define

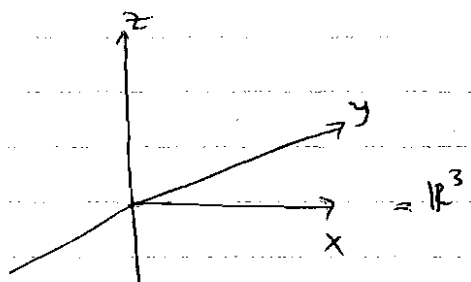
$\dim V$  = the number of elements in a basis of  $V$   
 the "dim. of  $V$ "

In this case, we say that  $V$  is "finite dimensional"

Examples:  =  $\mathbb{R}^2$

basis:  $\left\{ \begin{pmatrix} 1 \\ 1 \end{pmatrix}, \begin{pmatrix} -1 \\ -1 \end{pmatrix} \right\}$   
 $\left\{ \begin{pmatrix} 3 \\ 5 \end{pmatrix}, \begin{pmatrix} -\pi \\ e \end{pmatrix} \right\}$   
 $\left\{ \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \end{pmatrix} \right\}$

$$\dim \mathbb{R}^2 = 2$$

 =  $\mathbb{R}^3$

basis =  $\left\{ \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} \right\}$

$$\mathbb{R}^4 = \left\{ \begin{pmatrix} x \\ y \\ z \\ w \end{pmatrix} \right\} \quad \text{basis: } \left\{ \begin{pmatrix} 1 \\ 0 \\ 0 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 0 \\ 0 \\ 1 \end{pmatrix} \right\}$$

$$\dim \mathbb{R}^4 = 4$$

$$\dim \mathbb{R}^n = n$$

From before: If a finite set  $S$  generate a v.s.  $V$ , then it has a subset  $\beta \subset S$  which is a basis.

Prf: If  $V = \{0\}$ , take  $\beta = \emptyset$  and it's a basis.

Otherwise  $V$  contains a non-zero vector, hence so does  $S$ , so pick  $u_1 \in S$  s.t.  $u_1 \neq 0$ .

pick  $u_2 \in S$  s.t.  $u_2 \notin \text{span}(u_1)$

pick  $u_3 \in S$  s.t.  $u_3 \notin \text{span}(u_1, u_2)$

keep going until you cannot find some  $u_{k+1} \in S$  s.t.  $u_{k+1} \notin \text{span}(u_1, \dots, u_k)$

The process is guaranteed to stop because  $S$  is finite. At this stage,

every  $u \in S$  satisfies  $u \in \text{span}(u_1, \dots, u_k)$

So  $S \subset \text{span}(u_1, \dots, u_k)$

So  $V = \text{span } S \subset \text{span}(u_1, \dots, u_k)$

So if  $\beta = \{u_1, \dots, u_k\}$  then  $\beta$  generates  $V$

Claim:  $\beta$  is lin. indep., hence it is a basis.

Indeed, assume

$$a_1 u_1 + a_2 u_2 + \dots + a_k u_k = 0$$

Furthermore, assume  $j$  is the maximal index for which  $a_j \neq 0$

(if all  $a_j = 0$ , we are done!)

So

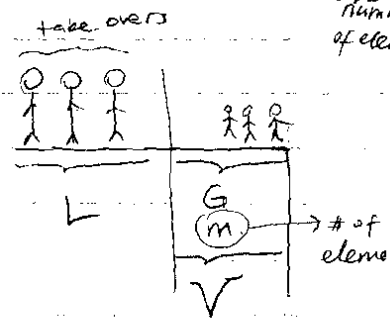
$$a_1 u_1 + a_2 u_2 + \dots + a_j u_j = 0 \quad / + a_j$$

$$\frac{a_1}{a_j} u_1 + \frac{a_2}{a_j} u_2 + \dots + \frac{a_{j-1}}{a_j} u_{j-1} + u_j = 0$$

$$\Rightarrow u_j = -\frac{a_1}{a_j} u_1 - \dots - \frac{a_{j-1}}{a_j} u_{j-1} \quad \text{So } u_j \in \text{span } u_1, \dots, u_{j-1} \quad \downarrow$$

Lemma: Suppose a finite set  $G$  generates a v.s.  $V$  and assume  $|G|$

think of elem



Suppose  $L$  is a lin. indep. subset of  $V$

and  $|L| = n$ . Then  $n \leq m$  and there exists a

subset  $H \subset G$  s.t.  $|H| = m - n$  and

$\text{span } H \cup L = V$  ("The replacement Lemma")

P(n)

Prf of the main thm; assuming the lemma:

Let  $\alpha$  and  $\beta$  be basis of  $V$ , and assume  $\alpha$  is finite and  $|\alpha| = m$ .

Take  $G = \alpha$  and for some  $n$ , take  $L = \{\beta_1, \dots, \beta_n\}$  the first  $n$  elements of  $\beta$ . Then  $G$  generates  $\alpha$  as  $\alpha$  is a basis,  $L$  is lin. indep. as  $L \subset \beta$  &  $\beta$  is lin. indep., so by the lemma,  $n \leq m$ .

So  $\beta$  must be finite and  $|\beta| \leq m$ .

So  $|\beta| \leq |\alpha|$ . But now that we know that both  $\alpha$  &  $\beta$  are finite, rerun the argument with  $\alpha$  &  $\beta$  switching roles, and therefore

$$|\alpha| \leq |\beta| \quad \square$$

$$\begin{array}{ccc} G & & L \\ \uparrow & & \uparrow \\ \{u_1, u_2, \dots, u_m\} & & \{v_1, v_2, v_3, \dots, v_n\} \\ \uparrow & & \uparrow \\ v \ni v_4 & & \end{array}$$

$u_2$  has a non-zero coeff.

$u_2$  is a linear comb. of  $u_1, \dots, u_m, v_1, \dots, v_4$

Proof by induction on  $n$ , with  $P(n)$  as below

Then  $a_{m-n}^{-1} v_{n+1} = \frac{a_1}{a_{m-n}} u_1 + \dots + \frac{a_{m-n-1}}{a_{m-n}} u_{m-n-1} + 1 \cdot u_{m-n} + \frac{b_1}{a_{m-n}} v_1 + \dots + \frac{b_n}{a_{m-n}} v_n$

So

$$u_{m-n} = c_1 u_1 + c_2 u_2 + \dots + c_{m-n-1} u_{m-n-1} + d_1 v_1 + \dots + d_n v_n$$

So  $u_{m-n} \in \text{span} \{u_1, \dots, u_{m-n-1}\} \cup \{v_1, \dots, v_n\}$

So  $\text{span} \{u_1, \dots, u_{m-n}, v_1, \dots, v_n\} \subset \text{span} \{u_1, \dots, u_{m-n-1}\} \cup \{v_1, \dots, v_n\}$

So  $V = \underbrace{\text{span} \{u_1, \dots, u_{m-n-1}\}}_H \cup \{v_1, \dots, v_n\}$   $\square$

P(n)  $m \geq n$

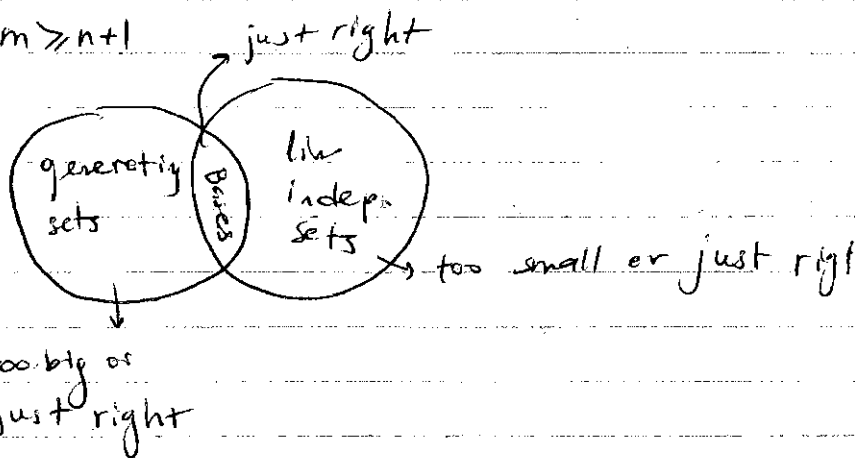
$\& \exists H \quad |H| = m - n, \dots$

P(n+1):  $m \geq n+1$

$\& \exists H \quad |H| = m - (n+1) = m - n - 1 \checkmark$

The more fact fact we found H with  $|H| \geq m - n - 1$  implies  $m - n - 1 \geq 0$

$\Rightarrow m \geq n+1$



Thm: Let  $V$  be a v.s. and assume  $\dim V = n$ .

Then 1. If  $\text{span } G = V$  then  $|G| \geq n$  and if  $|G| = n$ , then  $G$  is a basis,

2. If  $L$  is linearly indep., then  $|L| \leq n$  & if  $|L| = n$ , then  $L$  is a basis.

Proof: (part 1)

If  $\text{span } G = V$  then there is  $\beta \subset G$  which is a basis, so  $|\beta| = n$  so

$$|G| \geq |\beta| \text{ so } |G| \geq n$$

If  $|G| = n$ , then  $|G| = |\beta| \Rightarrow G = \beta$  so  $G$  is a basis.

Pf of 2:

Assume  $L$  is lin. indep., and take a basis  $\beta$  of  $V$  so  $|\beta| = n$ .

Take  $G = \beta$  and apply the lemma, then  $|L| \leq |G| = |\beta| = n$ .

Furthermore,  $\exists H \subset G$  s.t.  $|H| = |G| - |L|$  and if  $|L| = n$  then  $|H| = |G| -$

$$= n - n = 0 \text{ so } H = \emptyset$$

and so that  $\text{span } LUH = \text{span } G = \text{span } \beta = V$

If  $|L| = n$ , then  $H = \emptyset$ ,  $LU\emptyset = L$  so  $\text{span } L = V$ .

so  $L$  is generating  $\Rightarrow L$  is a basis.