

Text in purple = things that Prof. Dror Bar Natan said in class.

Thursday, October 23<sup>rd</sup>

Examples of rings

4. If  $G$  is a group and  $R$  a <sup>commutative</sup> ring. The group ring of  $G$  with coefficients in  $R$  is

$$RG = \left\{ \sum_{i=1}^n a_i g_i : n \geq 0 \text{ integer, } a_i \in R, g_i \in G \right\}$$

$$= \{ a: G \rightarrow R : a(g) \neq 0 \text{ for finitely many } g's \}$$

$$(\sum a_i g_i)(\sum b_j h_j) = \sum_{i,j} \underbrace{(a_i b_j)}_H \underbrace{(g_i h_j)}_G$$

Ex.  $\mathbb{Z} \mathbb{Z} = \mathbb{Z} \langle t \rangle = \mathbb{Z} \{ t^k : k \in \mathbb{Z} \}$  of co

$$= \sum a_k t^k \quad \text{finite sum}$$

"Laurant Polynomials"

Monday, October 27<sup>th</sup>

Claim:  $M_{n \times n}(R[x]) \cong (M_{n \times n}(R))[x]$ .

i.e. "matrices w/ entries as polynomials" = "polynomials w/ coefficients as matrices".

$$\left\{ \begin{pmatrix} \sum a_{11k} x^k & \dots & \sum a_{1nk} x^k \\ \vdots & & \vdots \\ \sum a_{n1k} x^k & \dots & \sum a_{nnk} x^k \end{pmatrix} \right\} \quad \left\{ \sum A_k x^k : A_k \in M_{n \times n}(R) \right\}$$

$$A_k = (a_{ijk})$$

$$\{ (\sum a_{ijk} x^k) \}$$

The map is to map coefficients to coefficients.

## Caley-Hamilton Theorem

Caley-Hamilton: "A matrix annihilates its characteristic polynomial"

Let  $A \in M_{n \times n}(R)$   $R$  is a commutative ring.

$R[t] \ni \chi_A(t) := \det(tI - A)$

$\sum a_k t^k$

$\begin{pmatrix} t-a_{11} & -a_{12} & \dots & -a_{1n} \\ -a_{21} & t-a_{22} & \dots & -a_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ -a_{n1} & -a_{n2} & \dots & t-a_{nn} \end{pmatrix} \in M_{n \times n}(R[t])$

$\det(a_{ij}) = \sum_{\sigma \in S_n} (-1)^\sigma \prod_{i=1}^n a_{i\sigma(i)}$

Claim:  $\chi_A(A) = 0$ . i.e.  $\sum a_k A^k = \chi_A(A) = 0$ .

### Wrong Proof #1:

Diagonalize matrix  $A$ , so the entries on the diagonal are the eigenvalues. Since the characteristic polynomial annihilates eigenvalues, it follows.

This is not our proof since we haven't talked about diagonalization, and the ring can be any commutative ring, so we can't diagonalize, and we can't use eigenvalues and eigenvectors.

### Wrong Proof #2:

Wrong Proof:  $\chi_A(A) = \det(AI - A)$   
 $= \det(0) = 0$ .

You're putting a matrix in a matrix.  
 The LHS is a matrix and the RHS a scalar so the evaluation makes no sense.  
 We also didn't use properties of determinant, so this would also be true for the characteristic polynomial defined by trace:

Basically, it's saying that if we could just sub in  $A$  into  $\det(tI - A)$ , then we could also sub in  $A$  into  $\text{tr}(tI - A)$ , and then the calculation doesn't make sense.

Facts needed for the correct proof:

Definition of  $\text{Adj } A$ :

Aside:  $\text{Adj } A = \text{"transpose of matrix of minors"}$   
 $= ((-1)^{i+j} \cdot A_{ji})_{ij}$       $A_{ji} = \det \begin{pmatrix} A \\ \vdots \\ \text{row } i \text{ removed} \\ \vdots \end{pmatrix}_j$  removing row  $i$  and column  $j$ .

Fact about  $\text{adj } A$ :

$$\oplus A \cdot \text{adj } A = \text{adj } A \cdot A = \det(A) \cdot I. \text{ over any commutative } R.$$

You should have seen this proof in previous courses. The proof of this fact is entirely algebraic, and it doesn't use anything except for addition and multiplication. The entries of  $A \text{adj } A$  can be reinterpreted as the determinants of the original matrix minus the row of  $I$  and column of  $j$  and replaced by other things. It's entirely algebra, so it's true over any commutative ring  $R$ .

Correct proof:

Main idea of correct proof:

Sub in  $A$  into this equation:

$$\chi_A(t) \cdot I = \det(tI - A) I = \left( \sum B_i t^i \right) \cdot (tI - A t^0)$$

Full correct proof:

$$\begin{array}{ccc} & \text{in } M_{n \times n}(R[t]) & \text{in } M_{n \times n}(R)[t] \\ & \downarrow & \downarrow \\ \det(tI - A) \cdot I & = \text{adj}(tI - A)(tI - A) & = \left( \sum B_i t^i \right) (tI - A) \end{array} \quad (*)$$

The second equality there is from the isomorphism

$$M_{n \times n}(R[x]) \cong (M_{n \times n}(R))[x].$$

Recall that the evaluative map is defined by:

Aside: if  $S$  is commutative,  
 $\text{ev}_u : S[x] \rightarrow S$   
 $\sum a_i x^i \mapsto \sum a_i u^i$

We would like to use the evaluation map and substitute the matrix  $A$  into (\*). But the evaluation map is a ring homomorphism only if the  $A$  commute with the  $B_i$ 's. They're matrixes, so even if the ring itself is commutative, we would still have to prove that the matrices commute.

We'll prove this in the lemma (and  $R$  doesn't have to be commutative):

Lemma: All the  $B_i$ 's commute with  $A$ .

Proof of Lemma:  $(tI - A) \operatorname{adj}(tI - A) = \operatorname{adj}(tI - A)(tI - A)$   
 $\Rightarrow (tI - A)(\sum B_i t^i) = (\sum B_i t^i)(tI - A)$   
 $\Rightarrow A \sum B_i t^i = (\sum B_i t^i) A$   
 $\Rightarrow \forall i \quad AB_i = B_i A.$

The first line of the proof is because  $A \cdot \operatorname{adj} A = \operatorname{adj} A \cdot A = \det(A) \cdot I.$

Using this lemma, we finish the proof of the Caley Hamilton theorem by evaluating (\*) at  $A$ :

Hence under  $ev_A$   
 $\chi_A(A) \cdot I = (\sum B_i A^i)(A I - A I)$   
 $\Rightarrow \chi_A(A) \cdot I = (\sum B_i A^i)(A I - A I)$   
 $= 0.$

Monday, November 10<sup>th</sup>

Direct Sums

2 Definitions: The "set" definition (where addition and scalar multiplication is defined in the obvious way) and the category theory definition using universal property.

The "set" definition:



over the same ring  
 Direct Sums: given two modules  $M, N$  can construct new module  
 $M \oplus N = \{(m, n) : m \in M, n \in N\}$  s.t.  
 $(m_1, n_1) + (m_2, n_2) = (m_1 + m_2, n_1 + n_2)$   
 $a(m, n) = (am, an)$

(Don't mix these operations up with the tensor product! In particular, you can't add coordinates like this in a tensor product).

The Universal Property definition:

There are always maps  
 $M \xrightarrow{m \mapsto (m, 0)} M \oplus N \xrightarrow{\exists! \gamma} P$   
 $N \xrightarrow{n \mapsto (0, n)} M \oplus N \xrightarrow{\exists! \gamma} P$   
 Given  $\alpha, \beta \exists! \gamma$  making the diagram commutative  
 $\gamma(m, n) = \gamma(m, 0) + \gamma(0, n)$   
 $= \alpha(m) + \beta(n)$   
 This defn determines  $M \oplus N$ .  
 Suppose we have a module  
 $M \xrightarrow{i_1} Q \xrightarrow{\exists! \gamma} P \sim Q \cong M \oplus N$   
 $N \xrightarrow{i_2} Q \xrightarrow{\exists! \gamma} P$   
 (don't want to prove no will show something)

### Fundamental Theorem for Finitely Generated Modules

Our goal is to prove:

$M \text{ f.g. / PID } R \Rightarrow M \cong R^k \oplus R / \langle p_i^{s_i} \rangle \quad p_i \text{ prime } s_i \in \mathbb{Z}_{>0}$

Main idea of the proof:

Step 1: Show that  $M$  is associated with a matrix  $A$ . (Roughly speaking,  $A$  is associated with the "kernel of  $M$ ". We will define this specifically.)

Step 2: Show that if we use row operations on the matrix  $A$  to get another matrix  $A'$ ,  $M$  will also be associated with the matrix  $A'$ .

$$\begin{pmatrix} a_1 & & & & \\ & a_2 & & & \\ & & \ddots & & \\ & & & a_n & \\ & & & & 0 & \ddots & \\ & & & & & & 0 \end{pmatrix},$$

Step 3: Show that we can map  $A$  to  $PAQ$  repeatedly to get to a matrix of this form: where  $P$  and  $Q$  are invertible matrices.

$$\begin{pmatrix} a_1 & & & & \\ & a_2 & & & \\ & & \ddots & & \\ & & & a_n & \\ & & & & 0 & \ddots & \\ & & & & & & 0 \end{pmatrix},$$

$$M \cong R^k \oplus R / \langle p_i^{s_i} \rangle$$

Since  $M$  is associated with this matrix

Details of the proof:

### Step 1

Defining the obvious map for a finitely generated module,  $R^n \rightarrow M$ :

$$\begin{pmatrix} a_1 \\ \vdots \\ a_n \end{pmatrix} \mapsto \sum a_i g_i$$

$$R^n \xrightarrow{\pi} M$$

$$M = \text{im } \pi \cong R^n / \ker \pi$$

$$\ker \pi = \left\{ \sum r_i g_i : \sum r_i g_i = 0 \right\} \rightarrow \text{not claiming finite.}$$

Let  $X$  be a generating set for  $\ker \pi$ , so that any element in  $\ker \pi$  can be written as  $rx$  for some  $r \in R$  and  $x \in X$ .

Defining another map from  $X \rightarrow R$ :

$$\{a: X \rightarrow R; a(x) \neq 0 \text{ for finitely many } x\} = R^X \xrightarrow{A} R^n \xrightarrow{\pi} M$$

Explaining this map in details:

$$R^X = \{a: X \rightarrow R; a(x) \neq 0 \text{ for finitely many } x\}$$

We have a map  $A: R^X \rightarrow R^n$  by defining  $A(b) = \sum_{x \in X} b(x)x$ , where  $b$  is in  $R^X$ . This sum is finite because  $b(x) \neq 0$  for finitely many  $x$ 's, and  $\sum_{x \in X} b(x)x$  is in  $R^n$  because  $b(x)$  is in  $R$  and  $x$  is in  $\ker \pi$  (which is in  $R^n$ ), so  $\sum_{x \in X} b(x)x$  is a sum of elements in  $R^n$ .

$$\text{im } A = \ker \pi \quad \text{and} \quad M := R^n / \text{im } A$$

Since  $X$  is a generating set for  $\ker \pi$ , the image of  $A$  is  $\ker \pi$ .

$M$  is isomorphic to  $R^n / \text{im } A$ :

By the first isomorphism theorem,  $\pi$  is surjective, so  $R^n / \ker \pi = M$ . But  $\ker \pi = \text{im } A$ , so we also know that  $R^n / \text{im } A = M$ .

A can be interpreted as an  $n \times X$  matrix  
 finite  $\rightarrow$   
 finite rows, infinitely many columns.

$$R^X = \langle e_x \rangle = \left( \begin{array}{c} 0 \\ \vdots \\ 0 \\ x \\ 0 \\ \vdots \end{array} \right)_x$$

A can be interpreted as an  $n \times X$  matrix because A maps  $R^X$  to  $R^n$ . An  $n \times X$  matrix maps something that's  $|X|$  dimensional to something that's  $n$  dimensional. Furthermore, in each row, there are only finitely many non-zero entries, since anything in  $R^X$  only has finitely many non-zero entries (so if we take  $A(e_x)$  for each  $x$ , we would be summing up only finitely many non-zero entries).

Furthermore, every  $n \times X$  matrix A defines a finitely generated module.

The finitely generated module is just the image of the matrix A (i.e., the column space), then projected by the map  $\pi$ .

Examples:  $A = (1) \leadsto M = R'/\text{im } A = \{0\}$ .  
 $A = (a) \leadsto M = R'/\text{im } A = R/\langle a \rangle$   
 $A = (0) \leadsto M = R'/\text{im } A = R/\{0\} = R$ .  
 $\psi: C = \left( \begin{array}{c|c} A & 0 \\ \hline 0 & B \end{array} \right) \quad M_C = M_A \oplus M_B$ .

Thursday November 13

Every f.g module is  $M_A$  for some A.  
 $M$  is f.g  $\Rightarrow \phi: R^n \twoheadrightarrow M$ .  
 $\Rightarrow M = R^n / \ker \phi$   
 Take  $X = \ker \phi$ .  
 $R^X \rightarrow R^n$  by  $e_x \mapsto x$

Last time, we noted that A defines a finitely generated module, and this is the converse. Given a finitely generated module, take  $X = \ker \pi$  (where  $\pi$  is the obvious projection map). Then define  $A: R^X \rightarrow R^n$

by mapping the basis elements of  $X$  to itself (since we took the generating set of  $\ker \pi|_X$  to be the whole set  $\ker \pi$ , it makes sense).

Step 2

Claim:  $R^x \xrightarrow{A} R^n$

$$\begin{array}{ccc} & & \\ Q \uparrow & \circlearrowleft & \downarrow P \\ R^x & \xrightarrow{A'} & R^n \end{array}$$

$$P \in M_{n \times n}(R) \quad Q \in M_{x \times x}(R)$$

If  $P$  and  $Q$  are invertible, then  $M_A = M_{A'}$

We would like to show that if we had such a commutative diagram, then the modules that are generated are equal.

Proof:  $R^x \xrightarrow{A} R^n \twoheadrightarrow R^n / \text{im } A = M_A$

$$\begin{array}{ccccc} & & & \downarrow P & \\ Q \uparrow & & & & \downarrow P \\ R^x & \xrightarrow{A'} & R^n & \twoheadrightarrow & R^n / \text{im } A' = M_{A'} \end{array}$$

$\rho$  defined w/  $P$  and is well defined  
 $\lambda$  defined w/  $P^{-1}$  and is well defined.

To show that  $M_A \cong M_{A'}$ :

Define an isomorphism  $\Phi : M_A \rightarrow M_{A'}$  by  $\Phi([a]_{\text{im } A}) = [P\alpha]_{\text{im } A'}$ , where  $\alpha \in R^n$ .

To show that this map is well-defined, we show that if  $[\alpha]_{\text{im } A} = 0$  then  $[P\alpha]_{\text{im } A'} = 0$ . If

$[\alpha]_{\text{im } A} = 0$ , then

$\alpha \in \text{im } A$  so  $\alpha = A\beta$  for some  $\beta \in R^x$ . Let  $\gamma = Q^{-1}\beta$ , so that

$$P\alpha = PA\beta = PAQQ^{-1}\beta = PAQ\gamma = A'\gamma, \quad \text{so } [P\alpha]_{\text{im } A'} = 0.$$

$$\begin{pmatrix} a_1 & & & & \\ & a_2 & & & \\ & & \ddots & & \\ & & & a_n & \\ & & & & 0 & \ddots & \\ & & & & & 0 & \ddots & \\ & & & & & & & 0 \end{pmatrix}$$

Now, we would like to put the matrix  $A$  into this form  $A' =$  by using  $A \mapsto A' = PAQ$ , where  $P \in M_n(R)$  is invertible and  $Q \in M_{|X|}(R)$ . We can do this by using row/column operations on  $A$ , since row operations correspond to invertible matrices  $P$  and  $Q$ : Permutation



matrices are invertible and swap rows and columns. The matrix  $a_{ij}(b)$  which is identity plus  $b$  in the  $(i, j)$  position is invertible, and adds a multiple of  $b$  times a row/column to a row/column. Finally, we can take an identity matrix plus a row containing arbitrary things, which is still invertible. That is,  $\sum_{\substack{i=1 \\ i \neq j}}^{|X|} a_{ij}(b_i)$  is invertible and will add a multiple of column  $j$  to column  $i$  for all  $i$ .

$$\begin{pmatrix} a_1 & & & \\ & a_2 & & \\ & & \ddots & \\ & & & a_n & & \\ & & & & 0 & \ddots & \\ & & & & & \ddots & 0 \end{pmatrix}$$

So putting  $A$  into this form by using maps  $A \mapsto A' = PAQ$  comes down to figuring out whether we could put it in that form by using row operations on  $A$ . Since we showed that if  $A' =$

$$\begin{pmatrix} a_1 & & & \\ & a_2 & & \\ & & \ddots & \\ & & & a_n & & \\ & & & & 0 & \ddots & \\ & & & & & \ddots & 0 \end{pmatrix},$$

PAQ,  $M_A = M_{A'}$ , we have that  $M$  is "associated with" a matrix of this form, and so we can find the structure of  $M$ .

Step 3

$$\begin{pmatrix} a_1 & & & \\ & a_2 & & \\ & & \ddots & \\ & & & a_n & & \\ & & & & 0 & \ddots & \\ & & & & & \ddots & 0 \end{pmatrix}.$$

We need to show that given any matrix  $A$ , we can put it in this form

Of all the matrices reachable from  $A$ , let  $A'$  be one (not unique) that has a non-zero entry with a smallest D-H norm (i.e. # of divisors). WLOG, that entry is  $a_{11}$  (we can arrange this with permutations).

$$\begin{pmatrix} a & b & \dots \\ a_n & & \dots \end{pmatrix} = A'$$

Claim: the rest of the first row/column is divisible by  $a_{11}$ .

Set  $a = a_{11}$ .

In a Euclidean domain, it is easier: If there is an entry in the first row/column that is not divisible by  $a_{11}$ , then  $b = qa + r$ , so we can reduce  $c$  to  $r$ , which has a smaller number of divisors.

In a PID:

I can find a linear combination of  $a_{11}$  and  $c$  such that  $sa + tb = \gcd(a, b)$ . Let  $q = \gcd(a, b)$ .

We would like to find matrices  $P, Q$ , such that  $PAQ = [q \dots]$ , and this would be a contradiction.

Then

$$\begin{pmatrix} a & b \\ t & \frac{a}{q} \end{pmatrix} \begin{pmatrix} s & -\frac{b}{q} \\ \frac{a}{q} & q \end{pmatrix} = \begin{pmatrix} q & 0 \\ 0 & 1 \end{pmatrix}.$$

$$\text{Let } Q' = \begin{pmatrix} s & -\frac{b}{q} \\ t & \frac{a}{q} \end{pmatrix}, \text{ and let } Q =$$

$$\begin{pmatrix} Q' & 0 \\ 0 & I \end{pmatrix}$$

and let P be

the identity matrix. Q is invertible, since  $\det Q = 1$ .

Thus the claim is proved.

$$\Rightarrow \text{WLOG } A' = \begin{pmatrix} a_{11} & \cdots & 0 & \cdots \\ 0 & & & \\ \vdots & & & \\ 0 & & & \end{pmatrix}$$

entry.  
Claim: Anything in  $*$  divisible by  $a_{11}$ . If  $\exists$  some  $d$  in  $*$  not divisible by  $a_{11}$ , we use row operations to bring it to the first row/col and we do the same as above to find an element with less divisors.

Now we do row reduction to  $*$ , using induction to get a matrix where  $a_{11} | a_{22} | a_{33} | a_{44} | \dots$

$$A''' = \begin{pmatrix} a_{11} & & & \\ & a_{22} & & \\ & & a_{33} & \\ & & & \ddots \end{pmatrix}$$

The process stops when rest of matrix equals 0.

$$\sim M_A \cong A \oplus \underbrace{M_{(0)} \oplus M_{(0)} \oplus \dots \oplus M_{(0)}}_{K \text{ times}}$$

$$= M_{\langle a_{11} \rangle} \oplus M_{\langle a_{22} \rangle} \oplus \dots \oplus M_{\langle 0 \rangle} \oplus M_{\langle 0 \rangle} \dots$$

$$= \frac{R}{\langle a_{11} \rangle} \oplus \frac{R}{\langle a_{22} \rangle} \oplus \dots \oplus R^k (*)$$

Now remember that if  $a = \prod p_i^{s_i}$  then  $\frac{R}{\langle a \rangle} = \bigoplus \frac{R}{\langle p_i^{s_i} \rangle} \Rightarrow (*)$  becomes what we wanted.

Thursday November 20

Jordan Canonical Form

Big picture of the JCF

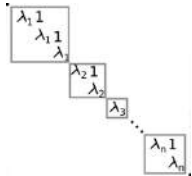
This is a Corollary to the Fundamental Theorem of Finitely Generated Modules.

### Part 1

Start with a matrix  $T$  with entries in  $F$ , so  $T$  is a linear transformation from  $F^n$  to  $F^n$ .  $F^n$  may be endowed with the structure of a  $F[x]$  module by identifying the action as  $xu = Tu$ . Since this module is finitely

generated (by any basis of  $F^n$ ),  $F^n$  is isomorphic, as a  $F[x]$  module, to  $R^k \oplus \bigoplus R/(p_i^{s_i})$ , where  $R = F[x]$ .

So now, we have  $T$  is a linear transformation from  $R^k \oplus \bigoplus R/(p_i^{s_i})$  to  $R^k \oplus \bigoplus R/(p_i^{s_i})$ . Picking

a basis element for each of the  $R/(p_i^{s_i})$ , we can show that  $T$  is of the form  in that basis.

### Part 2

We prove that  $F^n$  is isomorphic to  $R^n / \text{im}(xI - T)$ .

### Part 3

The big goal of this section is that given a matrix  $T$  with entries in  $F$ , we would like to find the Jordan

Canonical Form of  $T$ . From Part 1, we know that  $F^n$  is isomorphic to  $R^k \oplus \bigoplus R/(p_i^{s_i})$  as a  $F[x]$  module, but we need to figure out what this looks like explicitly (and once we do that, it'll be obvious what the JCF looks like from Part 1).

Main steps of this (apparently, this was done in the year 2010):

1. Starting with a matrix  $T$ , figure out the corresponding matrix  $A \in M(F[x])$  from the Structure Theorem by computation (In details: from the structure theorem, every finitely generated module is associated to a matrix  $A$  – think of  $A$  as the kernel.  $F^n$  is a finitely generated  $F[x]$ -module, with the action of  $x$  as  $xu = Tu$ , so we would like to find the matrix  $A \in M(F[x])$  associated to this finitely generated  $F[x]$ -module).

Example:  $T = \begin{pmatrix} 3/2 & 1/2 \\ 1/2 & 3/2 \end{pmatrix}$  would become  $A = \begin{pmatrix} \frac{3}{2} - t & \frac{1}{2} \\ \frac{1}{2} & \frac{3}{2} - t \end{pmatrix} = T - tI$ .

2. Row and column reduce this matrix  $A$ , so we (sort of) get a diagonal matrix.

Example: Row reducing  $A = \begin{pmatrix} \frac{3}{2} - t & \frac{1}{2} \\ \frac{1}{2} & \frac{3}{2} - t \end{pmatrix} = T - tI$  becomes  $\begin{pmatrix} 1 & 0 \\ 0 & t^2 - 3t + 2 \end{pmatrix}$ .

3. Figure out the module this matrix is associated to (from the Structure Theorem). The JCF would be obvious.

Example:  $\rightarrow \begin{pmatrix} 1 & 0 \\ 0 & t^2 - 3t + 2 \end{pmatrix}$  becomes  $V \cong F[t]/\langle (t-1)(t-2) \rangle \cong F[t]/\langle t-1 \rangle \oplus F[t]/\langle t-2 \rangle$ , so  
 $[T] = \begin{pmatrix} 1 & 0 \\ 0 & 2 \end{pmatrix}$

- To actually figure out the basis, we would have to write down the isomorphism (from the Structure theorem) explicitly, and trace through the row operations.

#### Part 4

As an aside, if P and Q are invertible in this diagram, then we can cover the map c.

$$\begin{array}{ccccc}
 R^n & \xrightarrow[xI - A]{M} & R^n & \xrightarrow{\pi_A} & F^n \\
 \uparrow Q & & \downarrow P & & \downarrow c \\
 R^n & \xrightarrow[xI - B]{N} & R^n & \xrightarrow{\pi_B} & F^n
 \end{array}$$

This shows explicitly that in particular for step 2 in Part 3, row-reducing doesn't affect  $F^n$ , using the symbols from Part 2 (that is, without just quoting that it works from the proof of the Structure theorem).

So in step 2, row reduction may not always work, but the goal is to find invertible matrices, P, Q, so we get it in the right form.

#### The details of the JCF

##### Part 1

$V$  is a finite dimensional vector space  $T: V \rightarrow V$  linear.  
 $\Downarrow$  Algebraically closed field.  
 A finitely generated module over  $F[x]$  finitely dimensional as a vector space.  
 $xu \Leftrightarrow Tu.$



$$V = M \cong R^k \oplus \bigoplus_{i=1}^s \frac{R}{\langle p_i^{s_i} \rangle} \cong \bigoplus_{i=1}^s \frac{R}{\langle (x-\lambda_i)^{s_i} \rangle}$$

: basis.

$$e_0, \underbrace{e_1, (x-\lambda), (x-\lambda)^2, \dots, (x-\lambda)^{s-1}}_{x-\lambda}, \dots, e_{s-1}, \underbrace{(x-\lambda)^{s-1}}_{x-\lambda} \rightarrow 0$$

$T-\lambda: e_i \mapsto e_{i+1}, e_{s-1} \mapsto 0.$

$T: e_i \mapsto e_{i+1} + \lambda e_i$

$$[T]_{e_0 \dots e_{s-1}} = \begin{pmatrix} \lambda & 0 & & \\ 1 & \lambda & & \\ & 1 & \ddots & \\ & & & 1 & \lambda \end{pmatrix}$$

columns of matrix  $\rightarrow$  are images of basis vectors

In words:

Any finitely generated module is of this form:  $R^k \oplus \bigoplus_{i=1}^s \frac{R}{\langle p_i^{s_i} \rangle} \cong \bigoplus_{i=1}^s \frac{R}{\langle (x-\lambda_i)^{s_i} \rangle}$ . We can put each of the  $\frac{R}{\langle (x-\lambda)^s \rangle}$  into blocks of  $\begin{pmatrix} \lambda & 0 \\ 1 & \lambda \\ & \ddots \\ & & 1 & \lambda \end{pmatrix}$  by setting  $e_1, (x-\lambda), (x-\lambda)^2, \dots, (x-\lambda)^{s-1} \rightarrow 0$  to be the basis.

This is because we are identifying the action of  $x$  as  $xu \mapsto Tu$ ,  $T-\lambda: e_i \mapsto e_{i+1}, e_{s-1} \mapsto 0$ , so  $T: e_i \mapsto e_{i+1} + \lambda e_i$ .

## Part 2

To show that  $F_n$  is isomorphic to  $R_n / \text{im}(xI - T)$ , consider  $R^n \xrightarrow{xI - A} R^n \xrightarrow{\pi} F^n$ , where  $\pi$  is defined by  $e_i \mapsto e_i$  and  $x^k \mapsto A^k e_i$ .

We will show that  $\langle r_i \rangle_{i=1}^n = \ker \pi$ , for  $r_i = x e_i - A e_i$ , so then by the first isomorphism theorem,  $F_n \cong R_n / \ker \pi \cong R_n / \text{im}(xI - T)$ .

To show that  $r_i = x e_i - A e_i \in \ker \pi$ : Proof:  $\pi(r_i) = A e_i - A e_i = 0$ .

To show the other inclusion:

$$F^n \xrightarrow{\beta} \frac{R^n}{\langle r_i \rangle_{i=1}^k} \xrightarrow{\alpha} \frac{R^n}{\ker \pi} \xrightarrow{\cong} F^n (*)$$

Consider this sequence. This is the identity map, since

$$\begin{aligned} \text{Let's take some } e_i \in F^n \text{ and see where it goes.} \\ e_i \rightarrow e_i \text{ (modulo some relations)} \rightarrow e_i \text{ (modulo some relation)} \\ \rightarrow e_i. \end{aligned}$$

$\alpha$  is well-defined, from the first inclusion.

We must show that  $\alpha$  is injective to show the inclusion, and this is true if and only if  $\beta$  is surjective.

To show that  $\beta$  is surjective:

enough to show that every element of  $R^n / \langle r_i \rangle_{i=1}^k$  is in the image of  $\beta$   
 we need to show every  $x^k e_i$  can be written, mod  $r_i$ , as a combination of  $e_j$ 's.  
 Indeed  $x^k e_i = x^{k-1}(x e_i)$   $r_i = x e_i - A e_i$   
 $= x^{k-1}(A e_i)$   $\Rightarrow r_i + A e_i = x e_i$   
 $= A x^{k-1} e_i$   $\Rightarrow \text{mod } r_i, x e_i = A e_i$   
 now can inductively repeat process  
 $= A A^{k-1} e_i$   
 $= A^k e_i$  (just a column vector)  
 $\Rightarrow x^k e_i = A^k e_i \in \text{im } \beta$ .

#### Part 4

$$\begin{array}{ccccc} R^n & \xrightarrow[xI-A]{M} & R^n & \xrightarrow{\pi_A} & F^n \\ Q \uparrow & & \downarrow P & & \downarrow c \\ R^n & \xrightarrow[xI-B]{N} & R^n & \xrightarrow{\pi_B} & F^n \end{array}$$

Having this diagram, with  $P, Q$  invertible, we would like to recover  $c$ :

where  $c : F^n \rightarrow F^n$  is defined as  $ce_i = \pi_B(Pe_i)$ . However, applying  $\pi_B$  is highly non-trivial. Note that  $\pi_B(x^k u) = B^k u$  and write  $P = \sum_k x^k P_k$  where  $P_k \in M_n(F)$ . Then

$$\begin{aligned} ce_i &= \pi_B(Pe_i) \\ &= \pi \left( \sum_k x^k P_k e_i \right) \\ &= \sum_k B^k P_k e_i \end{aligned}$$

and so  $C = \sum_k B^k P_k$ .

GCD Trick

### The "GCD" Trick

If  $q = \gcd(a, b) = sa + tb$ , the equality  $\begin{pmatrix} s & t \\ -b/q & a/q \end{pmatrix} \begin{pmatrix} a \\ b \end{pmatrix} = \begin{pmatrix} q \\ 0 \end{pmatrix}$  allows us to replace pairs of entries in the same column by their greatest common divisor (and a zero!), using invertible row operations. A similar trick works for rows.

Factoring Diagonal Entries

### Factoring Diagonal Entries

If  $1 = \gcd(a, b) = sa + tb$ , the equality  $\begin{pmatrix} s & a & 1 \\ -t & b & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & a & b \end{pmatrix} \begin{pmatrix} a & -b \\ t & s \end{pmatrix} = \begin{pmatrix} a & 0 \\ 0 & b \end{pmatrix}$  is an invertible row-column-operations proof of the isomorphism  $\frac{R}{\langle a \rangle} \oplus \frac{R}{\langle b \rangle} \simeq \frac{R}{\langle ab \rangle}$ .

The Jordan Trick

We would like to show:

$$\begin{pmatrix} 1 & & & \\ & 1 & & \\ & & \ddots & \\ & & & p^s \end{pmatrix} \sim \begin{pmatrix} 1 & p & & \\ & 1 & p & \\ & & 1 & p \\ & & & 1 & p \end{pmatrix}$$

We know that

$$\frac{R}{\langle p^s \rangle} = \frac{\langle y \rangle}{p^s y = 0} \quad y_0 = y, y_1 = -p y, y_2 = p^2 y, y_3 = -p^3 y, \dots, y_{s-1} = \pm p^{s-1} y.$$

$$\cong \frac{\langle y_0, \dots, y_{s-1} \rangle}{\left. \begin{array}{l} p y_i + y_{i+1} = 0 \\ p y_{s-1} = 0 \end{array} \right\}} \text{ modulo both of these relations}$$

$$\begin{pmatrix} 1 & & & & \\ & 1 & & & \\ & & \ddots & & \\ & & & 1 & \\ & 0 & & & p^s \end{pmatrix}$$

corresponds to

$$\frac{R}{\langle p^s \rangle}$$

and

$$\begin{pmatrix} p & 0 & & & \\ 0 & p & & & \\ \vdots & \vdots & \ddots & & \\ 0 & 0 & & p & 0 \\ \vdots & \vdots & & \vdots & p \end{pmatrix}$$

corresponds to

$$\frac{\langle y_0, \dots, y_{s-1} \rangle}{p y_i + y_{i+1} = 0}$$

(think

structure theorem, kernel).

Explicitly,

$$\begin{pmatrix} p^{k-1} & -1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & p^{10} \end{pmatrix} \begin{pmatrix} 1 & p \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} p^{k-1} & 0 \\ 0 & p \end{pmatrix}$$

Then repeat for the bigger version...

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Claim: If  $\gcd(a, b) = 1$  then

$$\frac{R}{\langle ab \rangle} \cong \frac{R}{\langle a \rangle} \oplus \frac{R}{\langle b \rangle}$$

Proof:

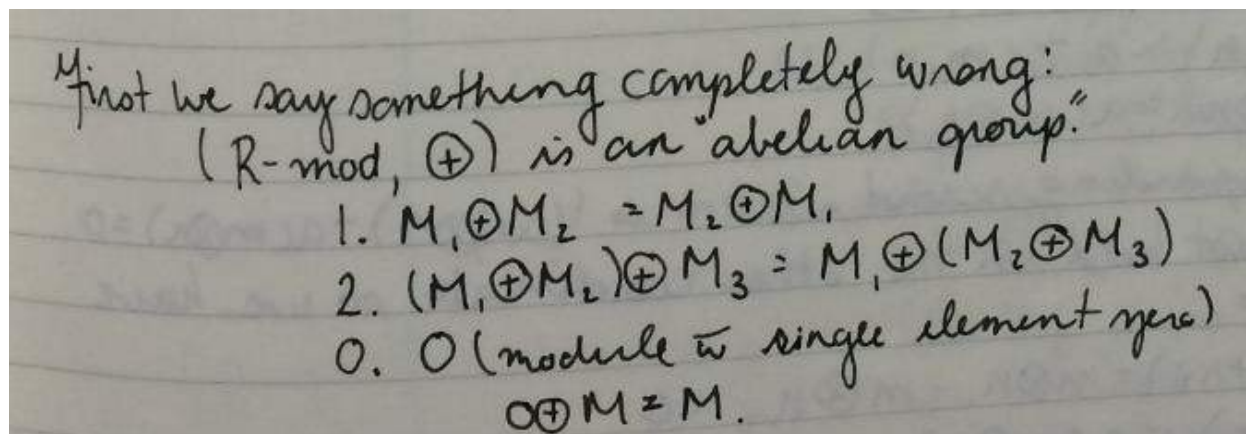
$$\begin{pmatrix} 1 & 0 \\ 0 & ab \end{pmatrix} \sim \begin{pmatrix} a & 0 \\ 0 & b \end{pmatrix}$$

This is the handout "Factoring Diagonal Entries."

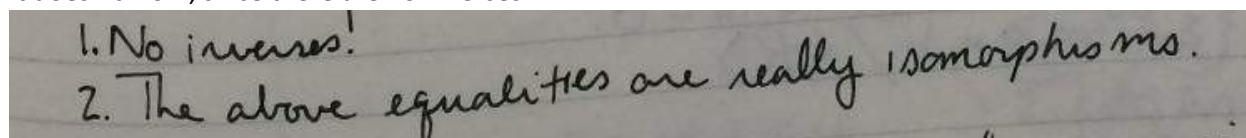


## Tensor Products

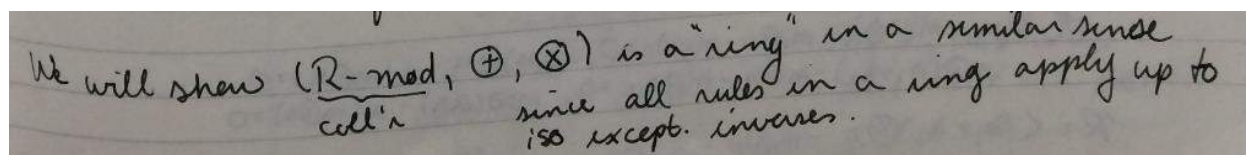
We wish to put a group structure on modules. Let's try using direct sums...



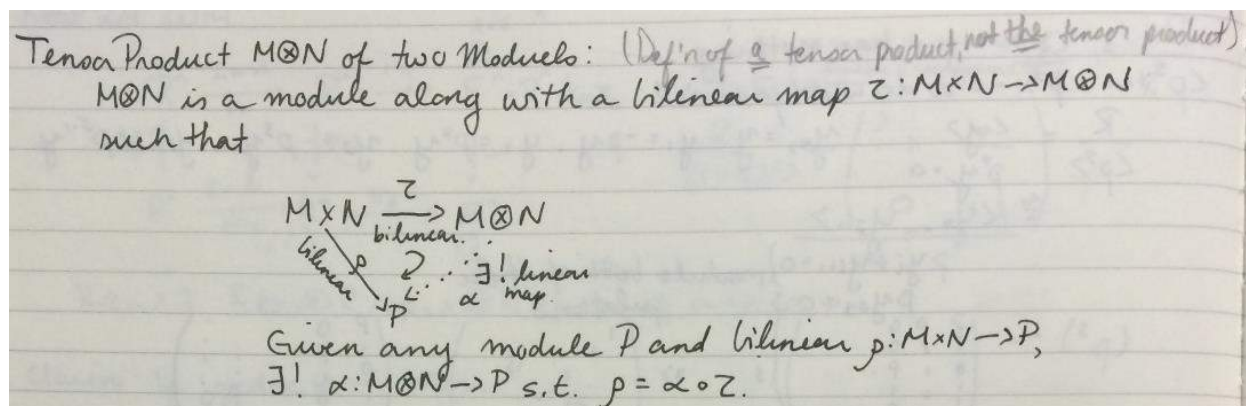
It doesn't work, since there are no inverses.



Nevertheless,



Definition of tensor product:



A better way of thinking of tensor products:

Theorem:  $M \otimes N$  exists i.e. there is such a module and it is unique up to an isomorphism.

Proof: Let  $M \otimes N = \langle m \otimes n : m \in M, n \in N \rangle / \text{relations}$ .

$$M \otimes N = \left\{ \sum_{i=1}^K a_i m_i \otimes n_i : a_i \in R, m_i \in M, n_i \in N \right\} / \text{rel.}$$

$\begin{matrix} \nearrow \text{Z} \\ \text{Z} \\ \nwarrow \end{matrix}$   
 $M \times N$

Now we need to know what the relations are.

The relations are the obvious ones:

$$v, v_1, v_2 \in V; w, w_1, w_2 \in W; c \in K;$$

$$(v_1, w) + (v_2, w) \sim (v_1 + v_2, w)$$

$$(v, w_1) + (v, w_2) \sim (v, w_1 + w_2)$$

$$c(v, w) \sim (cv, w) \sim (v, cw)$$

(from Wikipedia)

To make the mapping bilinear we mod out the relations that define a bilinear relation.

So  $M \otimes N$  is an  $R$ -module and  $Z$  is obviously bilinear.

Suppose  $p: M \times N \rightarrow P$  bilinear is given. We need to find a linear  $\alpha$  s.t.  $p = \alpha \circ Z$ .

$$\alpha \left( \sum a_i m_i \otimes n_i \right) = \sum a_i p(m_i, n_i).$$

Claim:  $\alpha$  is well defined. To check well defined we need to see if all of our relations are mapped to 0.

$$\begin{aligned} \alpha((m_1 + m_2) \otimes n - m_1 \otimes n - m_2 \otimes n) \\ = p((m_1 + m_2), n) - p(m_1, n) - p(m_2, n) \\ = 0, \text{ since } p \text{ is bilinear.} \end{aligned}$$

The same holds for all of the other relations.

That was existence.

To show uniqueness:

Main idea: Use the universal property on both of them, and then use the uniqueness of the universal property.

Theorem:  $M \otimes N$  is unique up to isomorphism.  
 Proof: Suppose  $(M \otimes N, \bar{z})$  and  $(M \bar{\otimes} N, \bar{z})$  both satisfy the universal property for  $M \otimes N$ .

$$\begin{array}{ccc} M \times N & \xrightarrow{\bar{z}} & M \otimes N \\ & \searrow \bar{z} & \swarrow \exists \alpha \\ & M \bar{\otimes} N & \end{array} \quad \exists \alpha: M \bar{\otimes} N \rightarrow M \otimes N$$

Then using the universal property for  $M \bar{\otimes} N$

$$\begin{array}{ccc} M \times N & \xrightarrow{\bar{z}} & M \bar{\otimes} N \\ & \searrow \bar{z} & \swarrow \exists \bar{\alpha} \\ & M \otimes N & \end{array} \quad \exists \bar{\alpha}: M \otimes N \rightarrow M \bar{\otimes} N.$$

Now use the universal property for  $M \bar{\otimes} N$  as follows:

$$\begin{array}{ccc} M \bar{\otimes} N & \xrightarrow{\bar{z}} & M \bar{\otimes} N \\ & \searrow \bar{z} & \swarrow \text{Id.} \\ & M \otimes N & \end{array} \quad \text{Id.} \circ \bar{\alpha} \circ \alpha.$$

both id  $\circ \bar{\alpha} \circ \alpha$  are maps that satisfy our diagram.

But we have uniqueness in the universal property so  $I = \bar{\alpha} \circ \alpha$ .  
 We do the same to find  $\alpha \circ \bar{\alpha} = I$ .

$$\begin{array}{ccc} M \times N & \xrightarrow{\bar{z}} & M \otimes N \\ & \searrow \bar{z} & \swarrow \bar{\alpha} \\ & M \bar{\otimes} N & \\ & \searrow \bar{z} & \swarrow \alpha \\ & M \otimes N & \end{array} \quad I = \bar{\alpha} \circ \alpha.$$

Dimension of tensor products:

Example: Suppose  $V, W$  are vector spaces over a field  $F$  with bases  $(u_i)_{i=1}^n$  of  $V$  and  $(w_j)_{j=1}^m$  of  $W$ .  
 Claim:  $V \otimes W$  is a vector space of dim  $n \cdot m$  with bases  $(u_i \otimes w_j)_{i,j=1}^{n,m}$ .

Proof: Pick the obvious basis  $(u_i \otimes w_j)$ .

Show the basis spans:



Proof: Given  $u \in V$ ,  $w \in W$ , we need to show  $u \otimes w$  is a linear combination of  $(u_i \otimes w_j)_{i,j=1}^{n,m}$

$$u \otimes w = (\sum \alpha_i u_i) \otimes (\sum \beta_j w_j) = \sum \alpha_i \beta_j u_i \otimes w_j \text{ due to the relations.}$$

Show linear independence:

Now we need to do linear independence. Let  $\{\phi_i\}, \{\psi_j\}$  be the dual bases of  $\{v_i\}$  and  $\{w_j\}$  in  $V^*$  and  $W^*$ , respectively.

**Claim 3.13.** If  $\phi \in V^*$  and  $\psi \in W^*$  then  $\phi \otimes \psi : V \otimes_F W \rightarrow F$  given by  $\phi \otimes \psi(\sum a_\alpha v_\alpha \otimes w_\alpha) = \sum a_\alpha \phi(v_\alpha) \psi(w_\alpha)$  is well-defined.

The above claim is easy to verify, and just involves checking that the relations quotiented out by in constructing  $V \otimes_F W$  are preserved. It is clear that  $\phi \otimes \psi$  is linear.

Now assume that  $\sum a_{i,j} v_i \otimes w_j = 0$ . Apply  $\phi_{i'} \otimes \psi_{j'}$  to both sides. We get  $\sum a_{i,j} \delta_{i,i'} \delta_{j,j'} = 0$ , so  $a_{i',j'} = 0$  and we got linear independence.  $\square$

Examples of tensor products:

Almost  $\mathcal{F}(X) \otimes \mathcal{F}(Y) \cong \mathcal{F}(X \times Y)$  where  $\mathcal{F}(X) = \{f: X \rightarrow F\}$  (module: Vector Space)

This is true if  $X$  and  $Y$  are finite ( $\mathcal{F}(X)$   $\delta$ -fns on points of  $X$ ,  $\mathcal{F}(Y)$   $\delta$ -fns on points of  $Y$ , so is so to  $\delta$ -fns on points of  $X \times Y$  where  $\delta$ -fn is indicator fns on points of  $X \times Y$ )

$\exists$  a map  $\mu: \mathcal{F}(X) \otimes \mathcal{F}(Y) \rightarrow \mathcal{F}(X \times Y)$

$$\mu(\sum \alpha_i \phi_i \otimes \psi_i)(x,y) = \sum \alpha_i \phi_i(x) \cdot \psi_i(y)$$

$\phi_i \in \mathcal{F}(X), \psi_i \in \mathcal{F}(Y)$

Alternatively, there is a bilinear  $\mu_0: \mathcal{F}(X) \times \mathcal{F}(Y) \rightarrow \mathcal{F}(X \times Y)$

$(\phi, \psi) \mapsto \mu_0(\phi, \psi)(x,y) = \phi(x) \cdot \psi(y)$

So by the universal property  $\exists! \mu: \mathcal{F}(X) \otimes \mathcal{F}(Y) \rightarrow \mathcal{F}(X \times Y)$  s.t the diagram commutes.

$\mathcal{F}(X) \otimes \mathcal{F}(Y) \cong \mathcal{F}(X \times Y)$  are isomorphic if  $X$  and  $Y$  are finite. We could either define the map directly, or use the universal property on the obvious bilinear map.

There are some properties always true about  $\mu$ :

- ①  $\mu$  is always 1-1 (challenging)
- ② Isomorphism if  $X$  or  $Y$  is finite. (easy)
- ③ If  $X$  and  $Y$  are infinite, not surjective (challenging)

Example:  $\mathcal{F}(\mathbb{N}) \otimes \mathcal{F}(\mathbb{N}) \not\cong \mathcal{F}(\mathbb{N} \times \mathbb{N})$