

Pensieve header: Proof of the main  $\mathfrak{g}_0$  lemma and a poly-time program to compute the  $\mathfrak{g}_0$  invariant.

## Reminder

Make sure that you have Mathematica and that you play with these programs!

Representing  $\mathfrak{g}_0 = \langle h, e, l, f \rangle / ([e, l] = -e, [f, l] = f, [e, f] = h, [h, *] = 0)$

$$\rho_h = \begin{pmatrix} 0 & 0 & -1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}; \rho_e = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix}; \rho_l = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix}; \rho_f = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}; \rho_0 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix};$$

```
B[x_?MatrixQ, y_?MatrixQ] := x.y - y.x;
```

```
{B[\rho_e, \rho_l] == -\rho_e, B[\rho_f, \rho_l] == \rho_f, B[\rho_e, \rho_f] == \rho_h, B[\rho_h, \rho_e] == \rho_0, B[\rho_h, \rho_l] == \rho_0, B[\rho_h, \rho_f] == \rho_0}
{True, True, True, True, True}
```

## The Main $\mathfrak{g}_0$ Theorem

**Raw Version.** The  $\mathfrak{g}_0$  invariant of any  $S$ -component tangle  $T$  can be written in the form  $Z(T) = \mathbb{O}(\omega e^{L+Q} \mid \prod_{i \in S} e_i l_i f_i)$ , where  $\omega$  is a scalar (meaning, a rational function in the variables  $h_i$  and their exponentials  $t_i = e^{h_i}$ ), where  $L = \sum a_{ij} h_i l_j$  is a balanced quadratic in the variables  $h_i$  and  $l_j$  with integer coefficients  $a_{ij}$  and where  $Q = \sum b_{ij} e_i f_j$  is a balanced quadratic in the variables  $e_i$  and  $f_j$  with scalar coefficients  $b_{ij}$ . Furthermore, after setting  $h_i = h$  and  $t_i = t$  for all  $i$ , the invariant  $Z(T)$  is poly-time computable.

**Proof.** Indeed, as shown below,

0.  $R^s = e^{s(h \otimes l + e \otimes f)} = \mathbb{O}(e^{sh + \frac{e^{sh}-1}{h} ef} \mid e \otimes lf)$ ,
  1.  $\mathbb{O}(e^{\beta l + \beta e} \mid le) = \mathbb{O}(e^{\beta l + e^\gamma \beta e} \mid el)$ ,
  2.  $\mathbb{O}(e^{\beta l + \beta f} \mid lf) = \mathbb{O}(e^{\beta l + e^\gamma \beta f} \mid lf)$ ,
  3.  $\mathbb{O}(e^{\beta e + \alpha f + \delta ef} \mid fe) = \mathbb{O}(ve^{\nu(-\alpha\beta h + \beta e + \alpha f + \delta ef)} \mid ef)$ , with  $v = (1 + h\delta)^{-1}$ ,
- and the rest is straight-forward.

## Proofs of the $\mathfrak{g}_0$ lemmas

```
(* 0 *) MatrixForm /@ {MatrixExp[h \rho_l + e \rho_f], MatrixExp[h \rho_l].MatrixExp[e^(h - 1)/h e \rho_f]}
{{1 \ e^(-1+e^h)/h 0}, {0  e^h 0}, {0  0  1}}, {{1 \ e^(-1+e^h)/h 0}, {0  e^h 0}, {0  0  1}}
```

```
(* 1 *) MatrixForm /@ {MatrixExp[y \rho_l].MatrixExp[\beta \rho_e], MatrixExp[e^\gamma \beta \rho_e].MatrixExp[y \rho_l]}
{{1 0 0}, {0 e^\gamma e^\gamma \beta 0}, {0 0 1}}, {{1 0 0}, {0 e^\gamma e^\gamma \beta 0}, {0 0 1}}
```

```
(* 2 *) MatrixForm /@ {MatrixExp[\beta \rho_f].MatrixExp[y \rho_l], MatrixExp[y \rho_l].MatrixExp[e^\gamma \beta \rho_f]}
{{1 e^\gamma \beta 0}, {0 e^\gamma 0}, {0 0 1}}, {{1 e^\gamma \beta 0}, {0 e^\gamma 0}, {0 0 1}}
```

```
(* 3 at δ=0 *)
MatrixForm /@ {MatrixExp[α pf].MatrixExp[β pe], MatrixExp[-α β ph].MatrixExp[β pe].MatrixExp[α pf]}
{ $\begin{pmatrix} 1 & \alpha & \alpha\beta \\ 0 & 1 & \beta \\ 0 & 0 & 1 \end{pmatrix}$ ,  $\begin{pmatrix} 1 & \alpha & \alpha\beta \\ 0 & 1 & \beta \\ 0 & 0 & 1 \end{pmatrix}$ }
```

For the full proof of 3, see the blackboard and then check:

```
With[{ψ = v e^(t e f - α β h + α f + β e) /. v → (1 + t h)^-1}, Simplify@{∂t ψ - ∂α,β ψ, ψ /. t → 0}]
{0, e^f α+e β-h αβ}
```

## Implementation

```
CF[E[w_, L_, Q_]] := E[Simplify[w], Simplify[L], Simplify[Q]];
E /: E[w1_, L1_, Q1_] E[w2_, L2_, Q2_] := CF@E[w1 w2, L1 + L2, Q1 + Q2];
E[w1_, L1_, Q1_] ≡ E[w2_, L2_, Q2_] := Simplify[w1 == w2 ∧ L1 == L2 ∧ Q1 == Q2];
```

0.  $R = e^{h \otimes l + e \otimes f} = O(\exp(hl + \frac{e^h - 1}{h} ef \mid e \otimes lf))$ :

```
E[Xi_, j_] := E[1, hi lj, hi^-1 (e^hi - 1) ei f j];
E[Xi_, j_] := E[1, -hi lj, hi^-1 (e^-hi - 1) ei f j];
E[p_Times] := E /@ p;
```

$E[X_{4,1}^+ X_{2,5}^+ X_{6,3}^+]$

1.  $O(e^{vl + βe} \mid le) = O(e^{vl + e^v βe} \mid el)$ ,

2.  $O(e^{vl + βf} \mid fl) = O(e^{vl + e^v βf} \mid lf)$ :

```
NO_{(x:f|e) i_1 j_1}[E[w_, L_, Q_]] := CF[E[w, L, e^x α xi + (Q /. xi → 0) /. {Y → ∂_{lj} L, α → ∂_{xi} Q}]];
ANO_{(x:f|e) i_1 j_1}[E[w_, L_, Q_]] := CF[E[w, L, e^-x α xi + (Q /. xi → 0) /. {Y → ∂_{lj} L, α → ∂_{xi} Q}]];
```

$E[X_{4,1}^+ X_{2,5}^+ X_{6,3}^+]$

$E[X_{4,1}^+ X_{2,5}^+ X_{6,3}^+] \text{ // } ANO_{e_2 l_3}$

$(E[X_{4,1}^+ X_{2,5}^+ X_{6,3}^+] \text{ // } ANO_{e_2 l_3} \text{ // } NO_{e_2 l_3}) = E[X_{4,1}^+ X_{2,5}^+ X_{6,3}^+]$

3.  $O(e^{\beta e + α f + δ e f} \mid fe) = O(v e^{v(-α β h + β e + α f + δ e f)} \mid ef)$ , with  $v = (1 + hδ)^{-1}$ :

```
NO_{f_i e_j → k_1}[E[w_, L_, Q_]] := CF[
  E[v w, L, v (-α β h_k + β e_k + α f_k + δ e_k f_k) + (Q /. f_i | e_j → 0)]
  /. v → (1 + h_k δ)^-1 /. {α → ∂_{f_i} Q /. e_j → 0, β → ∂_{e_j} Q /. f_i → 0, δ → ∂_{f_i, e_j} Q}];
```

$E[X_{4,1}^+ X_{2,5}^+ X_{6,3}^+] \text{ // } NO_{f_3 e_4 → 7}$

## The Stitching Formula

```
m_{i_, j_ → k_}[Z_] := Module[{x, z}, CF[(Z // NO_{f_i e_j → x} // NO_{1_i e_x} // NO_{f_x l_j}) /. z_{-i|j|x} → z_k]]
```

$E[X_{4,1}^+ X_{2,5}^+ X_{6,3}^+] \text{ // } m_{1,2 → 1}$

$E[X_{4,1}^+ X_{2,5}^+ X_{6,3}^+] \text{ // } m_{1,2 → 1} \text{ // } m_{1,3 → 1}$

$E[X_{4,1}^+ X_{2,5}^+ X_{6,3}^+] \text{ // } m_{1,2 → 1} \text{ // } m_{1,3 → 1} \text{ // } m_{1,4 → 1}$

$E[X_{4,1}^+ X_{2,5}^+ X_{6,3}^+] \text{ // } m_{1,2 → 1} \text{ // } m_{1,3 → 1} \text{ // } m_{1,4 → 1} \text{ // } m_{1,5 → 1} \text{ // } m_{1,6 → 1}$

## Independent Proof of Invariance

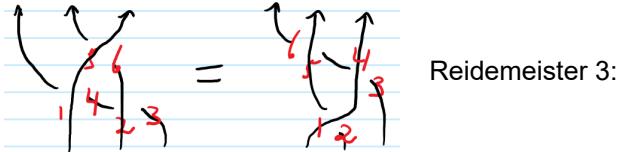
Meta-Associativity:

$$\xi = \mathbb{E} \left[ \omega, \sum_{i=1}^4 \sum_{j=1}^4 a_{i,j} h_i l_j, \sum_{i=1}^4 \sum_{j=1}^4 b_{i,j} e_i f_j \right]$$

$$\xi // m_{1,2 \rightarrow 1}$$

$$\xi // m_{1,2 \rightarrow 1} // m_{1,3 \rightarrow 1}$$

$$(\xi // m_{1,2 \rightarrow 1} // m_{1,3 \rightarrow 1}) \equiv (\xi // m_{2,3 \rightarrow 2} // m_{1,2 \rightarrow 1})$$



Reidemeister 3:

$$\text{lhs} = \mathbb{E} [X_{1,4}^+ X_{2,3}^+ X_{5,6}^+] // m_{1,5 \rightarrow 1} // m_{2,6 \rightarrow 2} // m_{3,4 \rightarrow 3}$$

$$\text{rhs} = \mathbb{E} [X_{1,2}^+ X_{4,3}^+ X_{5,6}^+] // m_{1,4 \rightarrow 1} // m_{2,5 \rightarrow 2} // m_{3,6 \rightarrow 3}$$

$$\text{lhs} \equiv \text{rhs}$$

### Homework.

1. Use the same methodology to verify  $m_{a,b \rightarrow c} // m_{d,e \rightarrow f} = m_{d,e \rightarrow f} // m_{a,b \rightarrow c}$ .
2. Likewise, verify the two types of R2 moves.
3. Make sure that R1 gives no trouble.
4. Implement the “polished version” of the main theorem below, and verify that everything works.

## The Main $g_0$ Theorem, Polished Version

**Polished Version.** With  $\bar{e} = \frac{(e^h - 1)}{h} e$ , the  $g_0$  invariant of any S-component tangle  $T$  can be written in the form

$Z(T) = \mathbb{O}(\omega^{-1} e^{L+\omega^{-1} Q} \mid \prod_{i \in S} \bar{e}_i l_i f_i)$ , where  $\omega$  is a scalar (meaning, a polynomial in the variables  $t_i = e^{h_i}$ ), where  $L = \sum a_{ij} h_i l_j$  is a balanced quadratic in the variables  $h_i$  and  $l_j$  with integer coefficients  $a_{ij}$  and where  $Q = \sum b_{ij} \bar{e}_i f_j$  is a balanced quadratic in the variables  $\bar{e}_i$  and  $f_j$  with scalar coefficients  $b_{ij}$ . Furthermore, after setting  $t_i = t$  for all  $i$ , the invariant  $Z(T)$  is poly-time computable.