

## Balloons and Hoops and their Universal Finite-Type Invariant, BF Theory, and an Ultimate Alexander Invariant

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**Abstract.** Balloons are two-dimensional spheres. Hoops are one-dimensional loops. Knotted Balloons and Hoops (KBH) in 4-space behave much like the first and second fundamental groups of a topological space - hoops can be composed like in  $\pi_1$ , balloons like in  $\pi_2$ , and hoops "act" on balloons as  $\pi_1$  acts on  $\pi_2$ . We will observe that ordinary knots and tangles in 3-space map into KBH in 4-space and become amalgams of both balloons and hoops. We give an ansatz for a tree and wheel (that is, free-Lie and cyclic word) -valued invariant  $Z$  of KBHs in terms of the said compositions and action and we explain its relationship with finite type invariants. We speculate that  $Z$  is a complete evaluation of the BF topological quantum field theory in 4D, though we are not sure what that means. We show that a certain "reduction and repackaging" of  $Z$  is an "ultimate Alexander invariant" that contains the Alexander polynomial (multivariable, if you wish), has extremely good composition properties, is evaluated in a topologically meaningful way, and is least-wasteful in a computational sense. If you believe in categorification, here's a wonderful playground.

**The Meta-Group-Action  $M$ .** Let  $T$  be a set of "tail labels" ("balloon colours"),  $H$  a set of "head labels" ("hoop colours"), and let  $\{h_x\}_{x \in H}$  be a set of formal symbols. Let  $FL = FL(T)$  and  $FA = FA(T)$  be the (graded) free Lie and free associative algebras on generators  $T$  and let  $CW = CW(T)$  be the (graded) vector space of cyclic words on  $T$ , so there's  $\text{tr} : FA \rightarrow CW$ , and both  $FA$  and  $CW$  are  $FL$ -modules. Let

$$M(T, H) := \left\{ \mu = \left( \omega, \lambda = \sum_{x \in H} h_x \lambda_x \right) : \omega \in CW, \lambda_x \in FL \right\}$$

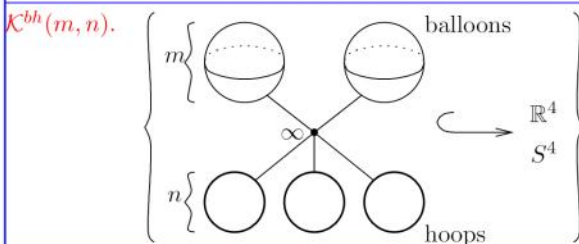
$$M(T, H) := \{ \mu = (\omega, \bar{\lambda} = \sum_{x \in H} \lambda_x) : \omega \in CW, \lambda_x \in FL \}$$

(e.g.,  $\mu = \dots$ ).  
With  $\mu = (\omega, \bar{\lambda})$  define

$$tm_w^{uv} : \mu \mapsto \mu / (u, v \mapsto w),$$

$$hm_z^{xy} : \mu \mapsto \left( \omega, \left( \dots, \widehat{\lambda}_x, \widehat{\lambda}_y, \dots, \text{bch}(\lambda_x, \lambda_y)_z \right) \right)$$

$$hta^{xu} : \mu \mapsto \underbrace{\{ \mu // (u \mapsto e^{\text{ad } \lambda_x}(\bar{u})) / (\bar{u} \mapsto \bar{u}) \}}_{\mu // CC_u^{\lambda_x}} + \underbrace{(J_u(\lambda_x), 0)}_{\text{the "J-spice"}}$$



**Example.**



- $u$ -Tangles inject into  $\mathcal{K}^{bh}$ .
- In fact,  $n$ -component  $v/w$ -tangles map into  $\mathcal{K}^{bh}(n, n)$  and we have a conjectural understanding of  $\mathcal{K}^{bh}$  in these terms.

**Meta-Group-Action.** If  $X$  is a space,  $\pi_1(X)$  is a group,  $\pi_2(X)$  is an Abelian group, and  $\pi_1$  acts on  $\pi_2$ .



properties: 1. two associative actions  
2. two "meta-actions"  
3. with  $dm = \dots$ ,  $dm$  is "associat. vU"

**The Space Meta-Cocycle** Let  $J_u(\lambda) := J(1)$  where

$$J(0) = \text{div}_u \lambda, \quad \lambda_s = CC_u^{\lambda}(\lambda), \quad \lambda // CC_u^{\lambda}$$

$$\frac{dJ(s)}{ds} = (J(s) // \text{der}(u \mapsto [\lambda_s, u])) + \text{div}_u \lambda_s.$$

claim

$$CC_u^{\text{bch}(\lambda_1, \lambda_2)} = CC_u^{\lambda_1} // CC_u^{\lambda_2} // CC_u^{\lambda_1}$$

$J_u(\text{bch}(\lambda_1, \lambda_2)) = J_u(\lambda_1) // CC_u^{\lambda_2} // CC_u^{\lambda_1} + J_u(\lambda_2) // CC_u^{\lambda_1}$   
and hence  $tm, hm, hta$  form a meta-group-action.



Also further annotations on July 27 version.

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