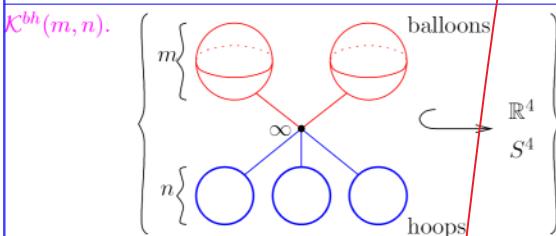


- replaced by: Scheme.*
1. Balloons & hoops in \mathbb{R}^4 .
 2. An ansatz for an invariant: compatible, related to FT. & to BF.
 3. Reduction to an "ultimate Alexander invariant".

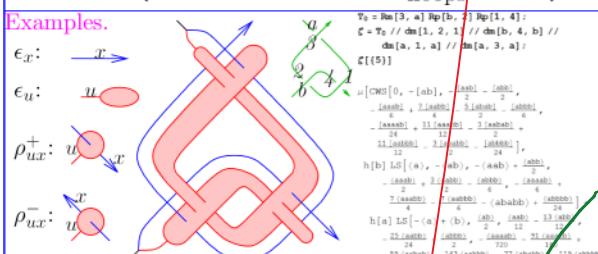
Balloons and Hoops and their Universal Finite-Type Invariant,

BF Theory, and an Ultimate Alexander Invariant

Abstract. Balloons are two-dimensional spheres. Hoops are one-dimensional loops. Knotted Balloons and Hoops (KBH) in 4-space behave much like the first and second fundamental groups of a topological space - hoops can be composed like in π_1 , balloons like in π_2 , and hoops "act" on balloons as π_1 acts on π_2 . We will observe that ordinary knots and tangles in 3-space map into KBH in 4-space and become amalgams of both balloons and hoops. We give an ansatz for a tree and wheel (that is, free-Lie and cyclic word) -valued invariant Z of KBHs in terms of the said compositions and action and we explain its relationship with finite type ("//") invariants. We speculate that Z is a complete evaluation of the BF topological quantum field theory in 4D, though we are not sure what that means. We show that a certain "reduction and repackaging" of Z is an "ultimate Alexander invariant" that contains the Alexander polynomial (multivariable, if you wish), has extremely good composition properties, is evaluated in a topologically meaningful way, and is least-wasteful in a computational sense. If you believe in categorification, here's a wonderful playground.



Examples.



Meta-Group-Action. If X is a space, $\pi_1(X)$ is a group, $\pi_2(X)$ is an Abelian group, and π_1 acts on π_2 .

Dror Bar-Natan in Hamburg, August 2012
<http://www.math.toronto.edu/~drorbn/Talks/Hamburg-1208/>



K: $K \parallel tm_w^{uv}$:

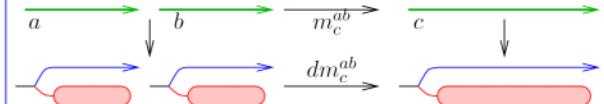
K // hm_z^{xy}: $K \parallel hm_z^{xy} \parallel hta^{xw} \parallel hta^{xv} \parallel tm_w^{uv}$:

K // hta^{xu}: $K \parallel hta^{xu} \parallel hta^{yu} \parallel hm_z^{xy}$:

Properties.

- Associativities: $m_z^{xy} \parallel m_z^{xz} = m_y^{yz} \parallel m_x^{xy}$, for $m = tm, hm$.
- Action axiom t : $tm_w^{uv} \parallel hta^{xw} = hta^{xu} \parallel hta^{xv} \parallel tm_w^{uv}$.
- Action axiom h : $hm_z^{xy} \parallel hta^{zu} = hta^{xu} \parallel hta^{yu} \parallel hm_z^{xy}$.
- SD Product: $dm_c^{ab} := hta^{ba} \parallel tm_c^{ab} \parallel hm_c^{ab}$ is associative.

u-tangles = $\pi_1 \ltimes \pi_2$.



The Meta-Group-Action M . Let T be a set of "tail labels" ("balloon colours"), H a set of "head labels" ("hoop colours"), and let $\{h_x\}_{x \in H}$ be a set of formal symbols. Let $FL = FL(T)$ and $FA = FA(T)$ be the (completed graded) free Lie and free associative algebras on generators T and let $CW = CW(T)$ be the (completed graded) vector space of cyclic words on T , so there's $\text{tr} : FA \rightarrow CW$, and both FA and CW are FL -modules. Let

$$M(T, H) := \{\mu = (\omega, \bar{\lambda} = (\lambda_x)_{x \in H}) : \omega \in CW, \lambda_x \in FL\}$$

(e.g., $\mu = \dots$).

With $\mu = (\omega, \bar{\lambda})$ define

$$tm_w^{uv} : \mu \mapsto \mu // (u, v \mapsto w),$$

$$hm_z^{xy} : \mu \mapsto \left(\omega, \left(\dots, \widehat{\lambda_x}, \widehat{\lambda_y}, \dots, \text{bch}(\lambda_x, \lambda_y)_z \right) \right)$$

"stable apply"

$$hta^{xu} : \mu \mapsto \underbrace{\mu // (u \mapsto e^{\text{ad } \lambda_x}(\bar{u})) // (\bar{u} \mapsto u)}_{\mu // CC_a^{\lambda_x}} + (J_u(\lambda_x), 0)$$

the "J-spice"

A CC_a^{λ} example.



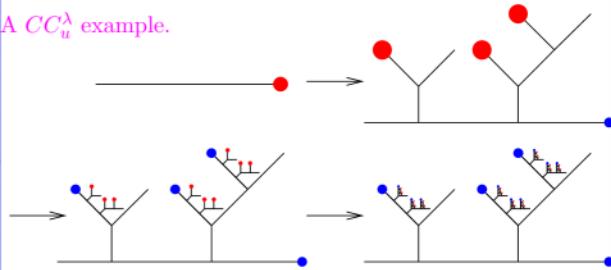
Teichner by
Bergman

- u-Knots inject into \mathcal{K}^{bh} (likely u-tangles too).
- In fact, n -component v/w-tangles map into $\mathcal{K}^{bh}(n, n)$ and we have a conjectural understanding of \mathcal{K}^{bh} in these terms.

C✓

$$ht\alpha^{\ast\ast} : \mu \mapsto \underbrace{\mu // (u \mapsto e^{\text{even } x}(\bar{u})) // (\bar{u} \mapsto u)}_{\mu // CC_u^{\lambda_x}} + (J_u(\lambda_x), 0)$$

A CC_u^λ example.



C: It would be nice to have a precise statement. ✓

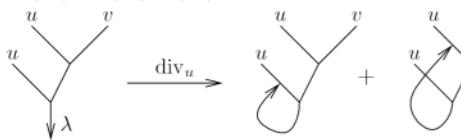
Balloons and Hoops and their Universal Finite-Type Invariant, 2

The Meta-Cocycle J . Set $J_u(\lambda) := J(1)$ where

$$J(0) = 0, \quad \lambda_s = \lambda // CC_u^{s\lambda},$$

$$\frac{dJ(s)}{ds} = (J(s) // \text{der}(u \mapsto [\lambda_s, u])) + \text{div}_u \lambda_s,$$

and where $\text{div}_u \lambda := \text{tr}(u \sigma_u(\lambda))$, $\sigma_u(v) := \delta_{uv}$, $\sigma_u([\lambda_1, \lambda_2]) := \iota(\lambda_1)\sigma_u(\lambda_2) - \iota(\lambda_2)\sigma_u(\lambda_1)$ and ι is the inclusion $FL \hookrightarrow FA$:



Claim.

$$CC_u^{\text{bch}(\lambda_1, \lambda_2)} = CC_u^{\lambda_1} // CC_u^{\lambda_2} // CC_u^{\lambda_1},$$

$$J_u(\text{bch}(\lambda_1, \lambda_2)) = J_u(\lambda_1) // CC_u^{\lambda_2 // CC_u^{\lambda_1}} + J_u(\lambda_2 // CC_u^{\lambda_1}),$$

and hence tm , hm , and hta form a meta-group-action.

A note about ℓ^∞ : Alg Top

The invariant J .

need an aside on how FL/CW parametrize formulas in f.d. Lie algebras

Also, "The Alexander relation".

The β quotient. Let $R = \mathbb{Q}[[c_u]_{u \in T}]$ and $L_\beta := R \otimes T$ with central R and with $[u, v] = c_u v - c_v u$ for $u, v \in T$. Then $FL \rightarrow L_\beta$ and $CW \rightarrow R$. Under this,

$$\mu \rightarrow (\omega, \bar{\lambda}) \quad \text{with } \omega \in R, \quad \bar{\lambda} = \sum_{x \in H, u \in T} \lambda_{ux} ux,$$

$$\text{bch}(u, v) \rightarrow \frac{c_u + c_v}{e^{c_u+c_v} - 1} \left(\frac{e^{c_u} - 1}{c_u} u + e^{c_v} \frac{e^{c_v} - 1}{c_v} v \right),$$

if $\lambda = \sum \lambda_v v$ then with $c_\lambda := \sum \lambda_v c_v$,

$$u // CC_u^\lambda = \left(1 + c_u \lambda_u \frac{e^{c_\lambda} - 1}{c_\lambda} \right)^{-1} \left(e^{c_\lambda} u - c_u \frac{e^{c_\lambda} - 1}{c_\lambda} \sum_{v \neq u} \lambda_v v \right),$$

$\text{div}_u \lambda = c_u \lambda_u$, and the ODE for J integrates to

$$J_u(\lambda) = \log \left(1 + \frac{e^{c_\lambda} - 1}{c_\lambda} c_u \lambda_u \right),$$

so ζ is formula-computable to all orders! Can we simplify?

Repackaging ✓

β Calculus. Let $\beta(H, T)$ be

$$\left\{ \begin{array}{|c|ccc|} \hline \omega & x & y & \dots \\ \hline u & \alpha_{ux} & \alpha_{uy} & \dots \\ v & \alpha_{vx} & \alpha_{vy} & \dots \\ \vdots & . & . & . \\ \hline \end{array} \right| \omega \text{ and the } \alpha_{ux} \text{'s are rational functions in variables } t_u, \text{ one for each } u \in T. \right\},$$

$$tm_w^{uw} : \begin{array}{|c|ccc|} \hline \omega & \dots & w & \dots \\ \hline u & \alpha & \alpha + \beta & \dots \\ v & \beta & \gamma & \dots \\ \vdots & & \gamma & \dots \\ \hline \end{array} \mapsto \begin{array}{|c|ccc|} \hline \omega & \dots & w & \dots \\ \hline T_1 & \alpha_1 & T_2 & \alpha_2 \\ \hline \omega_1 \omega_2 & H_1 & H_2 & \dots \\ T_1 & \alpha_1 & 0 & \dots \\ T_2 & 0 & \alpha_2 & \dots \\ \hline \end{array},$$

$$hm_z^{xy} : \begin{array}{|c|ccc|} \hline \omega & x & y & \dots \\ \hline \vdots & \alpha & \beta & \gamma \\ \hline \end{array} \mapsto \begin{array}{|c|ccc|} \hline \omega & z & \dots & \dots \\ \hline \vdots & \alpha + \beta + \langle \alpha \rangle \beta & \gamma & \dots \\ \hline \end{array},$$

$$hta^{xu} : \begin{array}{|c|ccc|} \hline \omega & x & \dots & \dots \\ \hline u & \alpha & \beta & \dots \\ \hline \vdots & \gamma & \delta & \dots \\ \hline \end{array} \mapsto \begin{array}{|c|ccc|} \hline \omega \epsilon & x & \dots & \dots \\ \hline u & \alpha(1 + \langle \gamma \rangle / \epsilon) & \beta(1 + \langle \gamma \rangle / \epsilon) & \dots \\ \hline \gamma / \epsilon & & \delta - \gamma \beta / \epsilon & \dots \\ \hline \end{array},$$

where $\epsilon := 1 + \alpha$, $\langle \alpha \rangle := \sum_v \alpha_v$, and $\langle \gamma \rangle := \sum_{v \neq u} \gamma_v$, and let

$$R_{ux}^+ := \frac{1}{u} \frac{x}{t_u - 1} \quad R_{ux}^- := \frac{1}{u} \frac{x}{t_u^{-1} - 1}.$$

Polynomiality, efficiency, categorification



Further directions.

V-knots? AT & KV? Jones? E-K?



"God created the knots, all else in topology is the work of mortals."
Leopold Kronecker (modified)



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- A: Is this because CW is "the coinvariants of FL"?
 B: Is div the only "meta-Lie-cocycle" on M^0 ?

B. Is div the only "meta-Lip-cocycle" on M^L ?

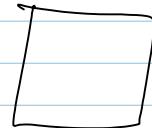
Add:

$$L_{\text{div}} = \nabla \quad t_n = \Delta$$

(The log of)

Claim: ζ is a
"universal
finite type
invariant"

✓ } and BF



Caffano

These questions remain unanswered!